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SESAR 3 Joint Undertaking Bi-Annual Work Programme for years 2022-2023

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This work programme covers 2022 and 2023. It is therefore referred to interchangeably as the ‘annual work programme’ and the ‘biannual work programme’.

In accordance with Council Regulation (EU) 2021/2085 and with Article 33 of the financial rules of the SESAR 3 Joint Undertaking, the work programme will be made publicly available after its adoption by the Governing Board.

The information contained in this work programme (including the list of topics, budget and planning of calls) may be subject to updates. Any further amendments to the work programme will also be made publicly available after its adoption by the Governing Board.

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Contents

Contents	3
List of abbreviations	7
Message from the Executive Director	11
Chapter I – Introduction	12
1. Mission statement	14
2. Link with the strategic research and innovation agenda	15
2.1. Nine flagships of the strategic research and innovation agenda	15
2.1.1. Implementing the flagships	20
2.2. SESAR research and innovation pipeline	21
3. Strategy for the implementation of the programme	23
Chapter II – Biannual work programme for 2022–2023	24
1. Executive summary	24
2. Operational activities of the SESAR 3 Joint Undertaking for 2022–2023	26
2.1. Scientific priorities, challenges and expected impacts	26
2.1.1. SESAR 2020 programme	26
2.1.2. Digital European Sky programme	26
2.2. Objectives, indicators and activities	29
2.2.1. Strategic area of operation 1: Provide strategic steering to the SESAR 2020 programme and the Digital European Sky programme	29
2.2.2. Strategic area of operation 2: Deliver exploratory research	32
2.2.3. Strategic area of operation 3: Deliver industrial research and validation	42
2.2.4. Strategic area of operation 4: Facilitate an accelerated market uptake of SESAR Solutions ..	52
2.2.5. Strategic area of operation 5: Deliver SESAR outreach (cooperation, synergies and cross-cutting themes and activities)	58
2.3. Calls for proposals	65
2.4. Calls for tender and other actions	67
2.4.1. Strategic area of operation 1 – Provide strategic steering to the SESAR 3 JU programme and the Digital European Sky programme	67
2.4.2. Strategic area of operation 2 – Deliver exploratory research	68
2.4.3. Strategic area of operation 3 – Deliver industrial research and validation	68
2.4.4. Strategic area of operation 4 – Facilitate an accelerated market uptake of SESAR Solutions ..	69
2.4.5. Strategic area of operation 5 – Deliver SESAR outreach (cooperation, synergies and cross-cutting themes and activities)	70
3. Support to operations of the SESAR 3 Joint Undertaking for 2022–2023	72
3.1. Objectives, activities, indicators and targets	72
3.2. Communication, dissemination and exploitation	75
3.2.1. Communication	75
3.2.2. Dissemination and exploitation	79
3.3. Procurement and contracts	81
3.3.1. Communication, dissemination and exploitation	81
3.3.2. Deliver effective financial, administrative and corporate management	83
3.4. Other support operations	85
3.4.1. Legal and procurement support for operations	85
3.4.2. Corporate planning and reporting activities	86

3.4.3.	Data protection	86
3.4.4.	Information and communications technology management	88
3.4.5.	Facility and support services	89
3.5.	Human resources	90
3.5.1.	Human resources management	90
3.5.2.	Staff establishment plan	98
3.6.	Efficiency gains	100
4.	Governance.....	102
4.1.	Objectives, activities, indicators and targets	102
4.2.	SESAR 3 Joint Undertaking governing bodies	103
4.2.1.	Governing Board	103
4.2.2.	Executive Director	104
4.3.	Advisory bodies	104
4.3.1.	States' Representatives Group	104
4.3.2.	Scientific Committee	104
5.	Strategy and plans for the organisational management and internal control systems.....	105
5.1.	Objectives, activities, indicators and targets	105
5.2.	SESAR 3 Joint Undertaking's quality management system	108
5.2.1.	Annual assessment	109
5.3.	Financial management	109
5.3.1.	Financial monitoring and control	110
5.4.	Internal control, risk management and audits	110
5.4.1.	<i>Ex ante</i> controls	110
5.4.2.	<i>Ex post</i> controls	110
5.4.3.	Corporate risk management	111
5.4.4.	Strategic risk assessment	111
5.4.5.	Anti-fraud strategy	112
5.4.6.	Audits	113
6.	SESAR 3 Joint Undertaking's critical risks.....	114
Chapter III – Budget	116	
1. Revenue.....	116	
2. Expenditure	120	
Annexes.....	123	
1. Annex I. Annual additional activities plan.....	123	
2. Annex II. Calls for proposals – Full description.....	124	
2.1.	Call HORIZON-SESAR-2022-DES-ER-01	124
2.1.1.	Scope of the call	124
2.1.2.	General conditions for the call	124
2.1.3.	Award criteria	127
2.1.4.	Specific conditions and topics description per Work Area	128
2.1.4.1.	Work Area 1: Fundamental Science and outreach	128
2.1.4.1.1.	Topic HORIZON-SESAR-2022-DES-ER1-WA1-1: Fundamental Science and Outreach for Connected and Automated ATM	129
2.1.4.1.2.	Topic HORIZON-SESAR-2022-DES-ER1-WA1-2: Fundamental Science and Outreach for Capacity-on-demand and Dynamic Airspace	131
2.1.4.1.3.	Topic HORIZON-SESAR-2022-DES-ER1-WA1-3: Fundamental Science and Outreach for U-space and Urban Air Mobility	132
2.1.4.1.4.	Topic HORIZON-SESAR-2022-DES-ER1-WA1-4: Fundamental Science and Outreach for Virtualisation and Cybersecure Data-Sharing	133

2.1.4.1.5.	Topic HORIZON-SESAR-2022-DES-ER1-WA1-5: Fundamental Science and Outreach for Multimodality and Passenger Experience	135
2.1.4.1.6.	Topic HORIZON-SESAR-2022-DES-ER1-WA1-6: Fundamental Science and Outreach for Aviation Green Deal	136
2.1.4.1.7.	Topic HORIZON-SESAR-2022-DES-ER1-WA1-7: Fundamental Science and Outreach for Artificial Intelligence (AI) for Aviation	137
2.1.4.1.8.	Topic HORIZON-SESAR-2022-DES-ER1-WA1-8: Fundamental Science and Outreach for Civil/military Interoperability and Coordination	139
2.1.4.2.	Work Area 2 – ATM Application-Oriented Research (RIA)	140
2.1.4.2.1.	Topic HORIZON-SESAR-2022-DES-ER1-WA2-1: ATM application-oriented Research for Connected and Automated ATM.....	140
2.1.4.2.2.	Topic HORIZON-SESAR-2022-DES-ER1-WA2-2: ATM application-oriented Research for Air-Ground Integration and Autonomy	143
2.1.4.2.3.	Topic HORIZON-SESAR-2022-DES-ER1-WA2-3: ATM application-oriented Research for Capacity-on-demand and Dynamic Airspace	145
2.1.4.2.4.	Topic HORIZON-SESAR-2022-DES-ER1-WA2-4: ATM application-oriented Research for U-space and urban Air Mobility	147
2.1.4.2.5.	Topic HORIZON-SESAR-2022-DES-ER1-WA2-5: ATM application-oriented Research for Virtualisation and Cybersecure Data-Sharing	149
2.1.4.2.6.	Topic HORIZON-SESAR-2022-DES-ER1-WA2-6: ATM application-oriented Research for Multimodality and Passenger Experience	150
2.1.4.2.7.	Topic HORIZON-SESAR-2022-DES-ER1-WA2-7: ATM application-oriented Research for Aviation Green Deal	152
2.1.4.2.8.	Topic HORIZON-SESAR-2022-DES-ER1-WA2-8: ATM application-oriented Research for Artificial Intelligence (AI) for aviation.....	154
2.1.4.3.	Work Area 3 – Knowledge Transfer Network (CSA)	155
2.1.4.3.1.	Topic HORIZON-SESAR-2022-DES-ER1-WA3-1: Knowledge Transfer Network.....	156
2.2.	Call HORIZON-SESAR-2022-DES-IR-01	158
2.2.1.	Scope of the call	158
2.2.2.	EUROCONTROL services	158
2.2.3.	General conditions for the call	159
2.2.4.	Award criteria	161
2.2.5.	Specific conditions and topics description per Work Area	163
2.2.5.1.	Work Area 1 – transversal activities.....	163
2.2.5.1.1.	Topic HORIZON-SESAR-2022-DES-IR1-WA1-1: Performance Management & Network impact Assessment.....	163
2.2.5.1.2.	Topic HORIZON-SESAR-2022-DES-IR1-WA1-2: Master Planning & Monitoring DES-IR1-WA1-2: Master Planning & Monitoring.....	164
2.2.5.2.	Work Area 2 – IR Topic addressing “Aviation Green Deal”	165
2.2.5.2.1.	Topic HORIZON-SESAR-2022-DES-IR1-WA2-1: Industrial Research & Validation for Aviation Green Deal	166
2.2.5.3.	Work Area 3 – IR Topics	170
2.2.5.3.1.	Topic HORIZON-SESAR-2022-DES-IR1-WA3-1: Industrial Research & Validation for Connected and Automated ATM.....	170
2.2.5.3.2.	Topic HORIZON-SESAR-2022-DES-IR1-WA3-2: Industrial Research & Validation for Air-Ground Integration and Autonomy	174
2.2.5.3.3.	Topic HORIZON-SESAR-2022-DES-IR1-WA3-3: Industrial Research & Validation for Capacity-on-Demand and Dynamic Airspace	176
2.2.5.3.4.	Topic HORIZON-SESAR-2022-DES-IR1-WA3-4: Industrial Research & Validation for Artificial Intelligence for Aviation.....	177
2.2.5.3.5.	Topic HORIZON-SESAR-2022-DES-IR1-WA3-5: Industrial Research & Validation for Civil-military Interoperability & Coordination.....	179
2.2.5.4.	Work Area 6 – IR Topics addressing key SESAR 2020 Solutions required to complete ATM MP Phase C (only in IR1 call).....	181

2.2.5.4.1.	Topic HORIZON-SESAR-2022-DES-IR1-WA6-1: Industrial Research & Validation for Master Plan Phase C Connected and Automated ATM	182
2.2.5.4.2.	Topic HORIZON-SESAR-2022-DES-IR1-WA6-2: Industrial Research & Validation for Master Plan Phase C Air-ground Integration and Autonomy	188
2.2.5.4.3.	Topic HORIZON-SESAR-2022-DES-IR1-WA6-3: Industrial Research & Validation for Master Plan Phase C Capacity-on-Demand and Dynamic Airspace	190
2.2.5.4.4.	Topic HORIZON-SESAR-2022-DES-IR1-WA6-4: Industrial Research & Validation for Master Plan Phase C Civil-military Interoperability & Coordination	191
2.2.5.5.	Work Area 4 – FTIU topic addressing U-space and UAM	193
2.2.5.5.1.	Topic HORIZON-SESAR-2022-DES-IR1-WA4-1: Fast Track Innovation and Uptake U-space and Urban Air Mobility	194
2.2.5.6.	Work Area 5 – FTIU topics	196
2.2.5.6.1.	Topic HORIZON-SESAR-2022-DES-IR1-WA5-1: Fast Track Innovation and Uptake Capacity-on-Demand and Dynamic Airspace	197
2.2.5.6.2.	Topic HORIZON-SESAR-2022-DES-IR1-WA5-2: Fast Track Innovation and Uptake Virtualisation and Cybersecure Data-Sharing	199
2.2.5.6.3.	Topic HORIZON-SESAR-2022-DES-IR1-WA5-3: Fast Track Innovation and Uptake Multi-modality and Passenger Experience	201
2.2.5.6.4.	Topic HORIZON-SESAR-2022-DES-IR1-WA5-4: Fast Track Innovation and Uptake Artificial Intelligence for Aviation	204
2.3.	Call CEF-T-2021-SIMOBGEN	206
2.3.1.	Background	206
2.3.2.	Indicative budget	207
2.3.3.	Objectives and themes addressed in the projects	207
2.3.3.1.	CEF-T-2021-SIMOBGEN-SESAR-DSDU-WORKS: Digital Sky Demonstrators on U-space and urban air mobility	207
2.3.3.2.	CEF-T-2021-SIMOBGEN-SESAR-DSDA-WORKS: Digital Sky Demonstrators on Aviation Green Deal	209
2.4.	Call SESAR3-DSD1b	213
2.4.1.	Indicative budget	213
2.4.2.	Expected outcomes and scope of the projects	213
2.4.2.1.	Digital Sky Demonstrators on gradual transition towards higher levels of automation ..	213
2.4.2.2.	Digital Sky Demonstrators on Virtual centre and ATM Data Service Providers (ADSPs) ..	215
3.	Annex III. List of members of the SESAR 3 Joint Undertaking	219

List of abbreviations

Abbreviation	Definition
4D	four-dimensional
ABAC	attribute-based access control
ACI	Airports Council International
ADS-C	automatic dependent surveillance contract
AI	artificial intelligence
AMAN	arrival management
ANS	air navigation service
ANSP	air navigation service provider
ARES	European Commission's advanced records system
ATC	air traffic control
ATCO	air traffic controller
ATFM	air traffic flow management
ATM	air traffic management
ATS	air traffic service
ATSEP	air traffic safety electronics personnel
ATSU	air traffic service unit
BAWP	biannual work programme
CA	contract agent
CANSO	Civil Air Navigation Services Organisation
CAS	Common Audit Service of the Directorate-General for Research and Innovation
CDM	collaborative decision-making
CEF	Connecting Europe Facility
CINEA	European Climate, Infrastructure and Environment Executive Agency
CNS	communications, navigation and surveillance
CO ₂	carbon dioxide
DFMC	dual-frequency multi-constellation

DMSC	Delivery Management Subcommittee
DSD	Digital Sky Demonstrator
EASA	European Union Aviation Safety Agency
ECAC	European Civil Aviation Conference
EDA	European Defence Agency
EDPS	European Data Protection Supervisor
EFTA	European Free Trade Association
ER	exploratory research
ESA	European Space Agency
EUROCAE	European Organisation for Civil Aviation Equipment
EUROCONTROL	European Organisation for the Safety of Air Navigation
FAA	US Federal Aviation Administration
FTE	full-time equivalent (staff)
GANP	global air navigation plan (from the International Civil Aviation Organization)
GBAS	ground-based augmentation system
GNSS	global navigation satellite system
HAO	high-altitude operation
HMI	human-machine interface
IAS	Internal Audit Service
ICAO	International Civil Aviation Organization
ICT	information and communications technology
IDMS	information and document management system
IFR	instrument flight rules
IKOP	in-kind contributions to operational activities
IR	industrial research and validation
IT	information technology
KPA	key performance area
KPI	key performance indicator
LDACS	L-band Digital Aeronautical Communications System

MAWP	multiannual work programme
ML	machine learning
NextGen	next generation air transportation system
NM	Network Manager
PDCA	plan, do, check and act or improve
PR	public relations
QICT	Quality and Information and Communications Technology
QMS	quality management system
R&D	research and development
R&I	research and innovation
RNP	required navigation performance
RPAS	remotely piloted aircraft system
SBAS	satellite-based augmentation system
SC	Scientific Committee, established in accordance with Council Regulation (EU) 2021/2085 Article 154
SES	Single European Sky
SESAR	Single European Sky ATM Research
SESAR 2020	SESAR 2020 innovation R & I programme, also referred to as the 'SESAR 2020 Programme' or 'SESAR 2020 R & I Programme'. It is the coordinated set of activities described in this document, being undertaken by the SESAR JU Members and managed by the SESAR JU
SESAR JU	Single European Sky ATM Research Joint Undertaking, established as a joint undertaking within the meaning of Article 187 of the Treaty on the Functioning of the European Union, established under the SESAR JU basic act
SESAR JU basic act	Council Regulation (EC) No 219/2007 of 27 February 2007 (OJ L 64, 2.3.2007, p. 1) on the establishment of a joint undertaking to develop the new generation European air traffic management system (SESAR), as amended by Council Regulation (EC) No 1361/2008 of 16 December 2008 (OJ L 352, 31.12.2008, p. 12) and by Council Regulation (EU) No 721/2014 of 16 June 2014 (OJ L 192, 1.7.2014, p. 1)
SESAR 3 JU	Single European Sky ATM Research 3 Joint Undertaking
Single Basic Act	Council Regulation (EU) 2021/2085 of 19 November 2021 establishing the Joint Undertakings under Horizon Europe and repealing Regulations (EC) No 219/2007, (EU) No 557/2014, (EU) No 558/2014, (EU) No 559/2014, (EU) No 560/2014, (EU) No 561/2014 and (EU) No 642/2014
SLA	service-level agreement

SMEs	small and medium-sized enterprises
SRIA	strategic research and innovation agenda
SWIM	system-wide information management
TA	temporary agent
TBO	trajectory-based operation
TMA	terminal manoeuvring area
TRL	technology readiness level
UAM	urban air mobility
UAS	unmanned aerial system
UAV	unmanned aerial vehicle
UDPP	user-driven prioritisation process
U-space	A set of new services relying on a high level of digitalisation and automation of functions, and specific procedures designed to support safe, efficient and secure access to airspace for a large numbers of drones, with an initial look at very low-level operations
VHF	very high frequency
VLD	very-large-scale demonstration
VTOL	vertical take-off and landing
WA	work area

Table 1: List of acronyms and definitions

Message from the Executive Director



Change is literally in the air. The combination of the climate and COVID-19 crises has accelerated a societal shift towards more sustainable air transport. Our industry is responding by stepping up efforts to make flying smarter and more sustainable.

While an energy transition is the only way to ensure carbon-neutral air transport in the long term, we are showing that aviation infrastructure (air traffic management (ATM)) can be modernised at a more rapid pace, bringing environmental benefits in the shorter term.

However, transforming the infrastructure that supports European aviation requires close collaboration between all the stakeholders that contribute to it. A public-private partnership that is strongly linked to policy and regulation through an institutional partnership offers the best means to coordinate all stakeholders, pooling the critical mass of resources and expertise needed to bring about this transformation, to deliver the Digital European Sky.

Launched in December 2021, the Single European Sky ATM Research 3 Joint Undertaking (SESAR 3 JU) and its Digital European Sky programme builds on the work and achievements of earlier SESAR research and innovation programmes (SESAR 1 and SESAR 2020), but seeks to accelerate the market uptake of innovative solutions through a portfolio of demonstrators and a fast-track mechanism. The partnership will provide a catalyst for speeding up the transition towards a green, climate-neutral and digital Europe, and for making European industry more resilient and competitive.

These strategic objectives must now be translated into a detailed plan of short-term work. This is the role of this work programme for 2022–2023. This work programme sets out the operational and support activities, as established within the context of SESAR 2020, that remain to be completed, while also detailing the priorities and plans for the SESAR 3 JU's new Digital European Sky programme of research and innovation.

Key to the success of our work will be having the right support, governance and human resources in place at the right time. In addition, the SESAR 3 JU will seek to continually improve the quality, performance, internal control and information and communications technologies infrastructure delivered, as well as to invest in its talented people.

Richard Frizon

Executive Director *ad interim* of the SESAR 3 JU

Chapter I – Introduction

The biannual work programme (BAWP) for 2022 and 2023 of the Single European Sky ATM Research 3 Joint Undertaking (SESAR 3 JU ⁽¹⁾) outlines the scope of the research and innovation (R&I) activities that will be performed in 2022 and 2023, implemented through open call(s) for proposals and call(s) for tenders. It also details the resources of the SESAR 3 JU for this period in the staff establishment plan and in the budget.

The 2022–2023 BAWP should be read in conjunction with the SESAR 3 JU multiannual work programme (MAWP), which establishes the overall principles and framework under which the operations of the SESAR 3 JU will be defined, planned and executed from 2021 to 2031. In particular, the MAWP introduces the following elements.

- The policy context of the SESAR 3 JU (set out in Section 1.2 of the MAWP) defines the relationship between the SESAR 3 JU and the main policy initiatives of the EU, namely the single European sky policy framework and the European Commission’s sustainable and smart mobility strategy (including the drone strategy 2.0), European Green Deal and ‘Europe fit for the digital age’ priorities.
- Its strategic objectives focus on building a Digital European Sky, as defined in the European ATM Master Plan (2020 edition), the main planning tool for air traffic management (ATM) modernisation across Europe (set out in Section 1.4 of the MAWP). The Master Plan connects ATM R&I activities with deployment activities and scenarios, with the aim of achieving the performance objectives of the single European sky programme and the policy objectives of the EU; the objectives of the SESAR 3 JU are set out in the Single Basic Act ⁽²⁾ and introduced in Section 1.3 of the MAWP.
- The overall R&I activities carried out from 2021 to 2031 are referred to as the ‘Digital European Sky’ programme. They are organised in the SESAR innovation pipeline described in Sections 2.2.1 and 2.2.3 of the MAWP.
- Other activities necessary to achieve the strategic objectives (set out in Section 2.3) aim to:
 - leverage synergies with other European partnerships and with national or regional ATM modernisation programmes;
 - ensure engagement among institutional and industry stakeholders;
 - secure cooperation with non-EU countries and international organisations;
 - promote the SESAR 3 JU activities and results through communication initiatives.
- The final element is its governance (in Section 3 of the MAWP).

According to Article 174 of the Single Basic Act, the SESAR 3 JU ‘is the legal and universal successor in respect of all contracts, including employment contracts and grant agreements, liabilities and acquired property of the SESAR Joint Undertaking ..., which it shall replace and succeed’. The SESAR 3 JU is also responsible for the closure of the SESAR 2020 programme (an R&I programme made up of

⁽¹⁾ The SESAR 3 JU was established as a joint undertaking within the meaning of Article 187 of the Treaty on the Functioning of the European Union, established under the Single Basic Act.

⁽²⁾ Council Regulation (EU) 2021/2085 of 19 November 2021 establishing the Joint Undertakings under Horizon Europe and repealing Regulations (EC) No 219/2007, (EU) No 557/2014, (EU) No 558/2014, (EU) No 559/2014, (EU) No 560/2014, (EU) No 561/2014 and (EU) No 642/2014, OJ L 427, 30.11.2021, p. 17.

a coordinated set of activities undertaken by the SESAR JU members, managed by the SESAR JU and financed under the multiannual financial framework for 2014–2020) and the finalisation of the delivery of SESAR solutions.

Therefore, the 2022–2023 BAWP also provides information on the supervision and closure of the SESAR 2020 programme, and its structure clearly distinguishes between the two programmes managed by the SESAR 3 JU (i.e. the SESAR 2020 programme and the Digital European Sky programme) every time it is possible to do so.

1 Mission statement

The MAWP (in Section 1.6) provides the following mission statement for the SESAR 3 JU: **to accelerate through research and innovation the delivery of an inclusive, resilient and sustainable digital European sky:**

- accelerate – reducing the time to market through focused and agile R&I, supporting faster transition to deployment through an extended innovation life cycle;
- inclusive – integrating and connecting all types of air vehicles and users, including civil and military, manned and unmanned;
- resilient – enabling flexible, scalable, safe and secure ATM that can withstand disruptions in the aviation system;
- sustainable – establishing Europe as the most efficient and environmentally friendly sky to fly in the world.

2 Link with the strategic research and innovation agenda

2.1 Nine flagships of the strategic research and innovation agenda

The strategic research and innovation agenda (SRIA)⁽³⁾ presents the strategic R&I roadmaps for 2021–2027 for delivering on the implementation of the Digital European Sky programme (i.e. fully scalable services supported by a digital ecosystem, minimising the environmental footprint of aviation), including the integration of drones, while matching the ambitions of the European Green Deal and the ‘Europe fit for the digital age’ initiative.

The activities outlined in the SRIA to build a digitalised infrastructure are also critical for the post-COVID-19 recovery, enabling aviation to become more scalable, economically sustainable, environmentally efficient and predictable.

To achieve the Digital European Sky (phase D of the European ATM Master Plan), nine R&I flagships (referred to as ‘destinations’ in Horizon Europe) have been identified in the SRIA, along with their underlying R&I needs/challenges⁽⁴⁾. These will be the basis for identifying future solutions that address phase D of the upgrade of the European ATM system (see Section 1.5 of the MAWP), as targeted by the Digital European Sky programme. The nine flagships are listed below, while the 59 related R&I needs are described in more detail in Appendix B of the MAWP, ‘SRIA flagships and their R&I needs’.

1. Connected and automated air traffic management

The vision of the Digital European Sky recognises that the future ATM environment will be increasingly complex, with new airspace vehicles flying at different speeds and altitudes from conventional aircraft. Moreover, there will be increasing pressure to reduce the costs of the ATM infrastructure while improving performance. Secure data-sharing between all components of the ATM infrastructure and the relevant stakeholders is a key part of the Digital European Sky, as is automation using the shared data to improve ATM performance. This flagship identifies the specific research needed to realise the automation and connectivity vision of the European ATM Master Plan for the future ATM ground system.

Expected impact. These activities will boost the level of automation that can be achieved in the relevant areas. This will contribute to achieving the European ATM Master Plan vision to reach at least level 2 (task execution support) for all air traffic control (ATC) tasks and up to level 4 (high automation) for certain tasks. The impact on U-space⁽⁵⁾ services will be even greater, where the goal is between level 4 and level 5 (full automation) for all relevant tasks. Higher levels of automation are considered an essential enabler for increasing the performance of the socio-technical ATM system.

An affordable and service-oriented way of sharing trajectories across ATM actors will be available, enabling the capacity, cost-efficiency, operational efficiency and environmental performance

⁽³⁾ The document is [available on the SESAR 3 JU website](#).

⁽⁴⁾ The Master Plan explicitly states that essential operational changes are defined only for elements that are ‘in the pipeline towards deployment’ (phases A–C) and therefore these are not defined for phase D, which is the central focus of SESAR 3. The nine flagships identified in the SRIA (which focuses on the how) were derived from the phase D vision defined in the Master Plan (which focuses on the why, what and when).

⁽⁵⁾ U-space encompasses a set of new services relying on a high level of digitalisation and automation of functions, and specific procedures designed to support safe, efficient and secure access to airspace for a large numbers of drones, with an initial look at very low-level operations.

ambitions of the European ATM Master Plan to be achieved for controlled airspace and airports. Unmanned traffic will have been integrated with manned traffic where required and will utilise additional airspace resources where available in an efficient and safe manner.

The future ATM system will deliver hyperconnectivity between all stakeholders (vehicle-to-vehicle and vehicle-to-infrastructure) via high-bandwidth, low-latency ground-based and satellite networks. Highly automated systems with numerous actors will interact with each other seamlessly with fewer errors, making the system scalable and even safer than today.

2. Air–ground integration and autonomy

Future ATM needs to evolve, exploiting existing technologies as much as possible and developing new technologies to increase global ATM performance in terms of capacity, operational efficiency and the accommodation of new and/or more autonomous air vehicles, that is, supporting the evolving demand in terms of diversity and complexity arising from the combination of very-low-level airspace and a high density of operations. This progressive move towards autonomous flying, enabled by self-piloting technologies, requires closer integration and advanced means of communication between vehicle and infrastructure capabilities so that the infrastructure can act as a digital twin of the aircraft. Ultimately, manned and unmanned aerial vehicles should operate in a seamless and safe environment using common infrastructure and services supporting a common concept of trajectory-based operations (TBOs). Future operations should therefore rely on direct interactions between air and ground automation, with the human role focused on strategic decision-making while monitoring automation.

Expected impact. The air–ground integration supported by automation levels 2/3 and then 4/5 will enable the implementation of target architecture and transformation to TBOs (ATM Master Plan phases C and D). In particular, the integration of certified drones into all classes of airspace will be achieved thanks to increased automation and the delegation of separation responsibility to systems. In addition to full U-space services, single pilot operations will be rendered possible.

3. Capacity on demand and dynamic airspace

In recent decades, capacity has not been available when and where it was needed and it has often been available when and where it was not needed. New airspace users, including remotely piloted aircraft system (RPAS) and high-altitude operations (HAOs) traffic, will increase by 2030 and will require an increased level and variability of capacity. Integrated ATM requires agility and flexibility in providing capacity where and when it is needed, particularly for maximising the use and performance of limited resources (i.e. airspace and ATC operators (ATCOs)). It will require the dynamic reconfiguration of resources and new capacity-on-demand services to maintain safe, resilient, smooth and efficient air transport operations while allowing for the optimisation of trajectories even during busy periods.

Expected impact. By providing capacity dynamically where and when it is needed and reconfiguring the airspace to match the traffic flows, overall system resilience and flexibility will be increased significantly. Predictability (from an airline- and airport-scheduling perspective) is ensured by a more stable and predictable level of capacity in all-weather operations.

Peak runway throughput increases could deliver improved exploitation of airports in terms of both airport slot increases (in the scheduling phase) and on-time operations.

The optimisation of trajectories helps to reduce fuel-burn and increases predictability, contributing to flight efficiency, reducing the environmental impact and enhancing the passenger experience.

The establishment of the common network performance cockpit, following the definition of appropriate key performance areas (KPAs) for the performance of the airspace, will allow an increased level of connectivity, providing new opportunities for revenue generation and creating new opportunities for business cooperation between European regions.

An increased level of ATCO productivity will make it possible to manage traffic growth with the current level of resources, thus improving cost-efficiency.

4. U-space and urban air mobility

Over the next 10 years, through the implementation of the SRIA, the aim is to unlock the potential of the drone economy and enable urban air mobility (UAM) on a wide scale. To that end, a new ATM concept for low-altitude operations needs to be put in place to cater safely for the unprecedented complexity and high volume of the operations that are expected. This concept, referred to as U-space, will include new digital services and operational procedures, and its development was already started within the SESAR 2020 programme. U-space is expected to provide the means to safely and efficiently manage high-density traffic at low altitudes involving heterogeneous vehicles (small unmanned aerial vehicles, electric vertical take-off and landing (VTOL) vehicles and conventional manned aircraft), including operations in overpopulated areas and within controlled airspace. U-space will have to integrate seamlessly with the ATM system to ensure safe and fair access to airspace for all airspace users, including UAM flights departing from airports.

Expected impact. The assumption made in the 2020 edition of the European ATM Master Plan is that the coordinated development and deployment of U-space is key to realising in a timely manner the economic benefits anticipated in the 2016 European drones outlook study. In addition, the assumption is that U-space will not have a negative effect on the Master Plan performance ambitions for the European ATM system. This holds in particular for the ambitions relating to safety, security and capacity (notably at airports), as well as cost-efficiency.

Specific performance metrics for measuring the efficiency of U-space service provision need to be developed as part of the U-space R&I and will result in a specific U-space performance framework. This will not only ensure that U-space service provisions can be properly evaluated but will also enable an assessment of the additional benefits obtained through the coordinated development of such services.

5. Virtualisation and cybersecure data-sharing

The 2019 airspace architecture study ('A proposal for the future architecture of the European airspace') clearly highlighted the lack of flexibility in the sector configuration capabilities at pan-European level. This is caused by the close coupling of ATM service provision to air traffic service (ATS) systems and operational procedures, which prevents air traffic from making use of cloud-based data service provision. A more flexible use of external data services, considering data properties and access rights, will allow the infrastructure to be made more efficient, reducing the related costs. It will enable data-sharing and foster more dynamic airspace management and ATM service provision, allowing ATS units (ATSUs) to improve capacity in portions of airspace where traffic demand exceeds the available capacity. It furthermore offers options for the contingency of operations and the resilience of ATM service provision.

Expected impact. The interoperability criteria on the flight object (i.e. sharing of flight data in a consistent and widely and easily available manner, subject to appropriate access controls) will need to have reached a sufficient level of maturity to allow access to the data by all of the parties involved at any time during all flight phases, from pre-departure to on-block.

Additional connectivity measures relying on controller–pilot data link communication are available for consideration, providing a solid alternative to the very high frequency (VHF) voice communication channels.

The establishment of a fully virtualised environment will need to be coordinated with the ATCO licensing scheme and will therefore also be people-centric. The active inclusion of the ATCO and air traffic safety electronics personnel (ATSEP) communities in the development phase is a prerequisite for successful implementation. Close collaboration within and input into the EU regulatory process is required so that, where necessary, the regulations can be adapted in a timely manner to allow for deployment.

The standardisation processes conducted by the European standardisation organisations, including the European Organisation for Civil Aviation Equipment (EUROCAE), must be put in place to ensure a set of common standards.

The activities performed at European level must become a building block for the global ATM environment; therefore, close collaboration with the International Civil Aviation Organization (ICAO) is needed.

6. Multimodality and passenger experience

A significant portion of the planned door-to-door journey time is taken up by the buffers needed to absorb uncertainties associated with the performance of the various modes contributing to a journey (including within airports). Mobility providers need access to reliable planning and real-time information on schedules to give more accurate forecasts of arrival and transfer times. Optimising door-to-door mobility for people and goods is essential in meeting citizens' expectations for increasingly seamless mobility, where they can rely on the predictability of every planned door-to-door journey and can choose how to optimise it (shortest travel time, least cost, minimal environmental impact, etc.). Considering ATM to be an integrated part of an intermodal transport system will make it possible to share data between modes and to collaborate better to optimise the performance of both the overall transport system and the door-to-door journey.

Expected impact. Optimised operations as a result of improved gate-to-gate planning will contribute to the optimisation of fuel-burn and therefore of carbon dioxide (CO₂) emissions per flight. Additional environmental benefits will come from alleviating congestion at and around airports by improving passenger flows (through predictability and single ticketing), from helping access/egress to/from airports using environmentally friendly means, and from integrating vertiports for electric UAM vehicles.

Sharing data on air transport with travel service providers will help passengers plan intermodal journeys that include air segments. During such journeys, complete integration of airports as multimodal nodes within the ATM network will enable full and seamless interoperability between the surface transport network, airports and the airport operators' and Network Manager (NM) systems, contributing to increasing network resilience and the reliability and predictability of journey parameters, enhancing punctuality and passenger experience overall.

Fully integrating the most congested airports into the ATM planning process and introducing tools that allow user-driven prioritisation based on real-time multimodal passenger constraint information that is monitored and shared accurately at the network level will help reduce departure delay, while improving instrument flight rules (IFR) movement numbers at these airports and ultimately IFR network throughput.

The data-sharing-powered network performance cockpit will enable increased predictability of traffic flows coupled with increased network flexibility and resilience. This will in turn help to reduce en

route congestion and air navigation service (ANS) costs. New data-sharing standards and systems will allow new 'as a service' businesses, creating more value for aviation, within an integrated transport-system.

Improved, accurate, customer-focused planning, including user-driven prioritisation, will allow operators to customise and optimise every flight, balancing their individual constraints against those of the network. This will have a direct positive impact on additional gate-to-gate flight times, fuel-burn per flight and operational costs from congestion and disruption.

There will be also a positive impact on resilience from data-sharing, increased knowledge and integrated network crisis management processes.

The better integration of unmanned aerial systems (UASs), UAM and GA operations at airports and within terminal manoeuvring areas (TMAs) will directly contribute to increased, seamless and hassle-free mobility while enhancing operational safety. Similarly, punctual, predictable and integrated ground transport to and from the airport will reduce passenger stress and contribute to reducing stress-related accidents.

7. Aviation Green Deal

The objective of net-zero greenhouse gas emissions by 2050 set by the European Green Deal, in line with the EU's commitment to global climate action under the Paris Agreement, requires accelerating the shift towards smarter and more sustainable mobility. This requires aviation to intensify its efforts to reduce emissions. To this end, a set of operational measures to improve the fuel efficiency of flights will have to be put in place with the aim of enabling aircraft to fly their optimum fuel-efficient four-dimensional (4D) trajectory. At the same time, to ensure sustainable air traffic growth, it is necessary to speed up the modernisation of the air infrastructure to provide more capability and capacity, making it more resilient to future traffic demands and adaptable through more flexible ATM procedures. Furthermore, reducing the impacts of aircraft noise and improving air quality will remain a priority around airports.

Expected impact. Not splitting sectors further and increasing capacity will enable optimal flight trajectories, providing important fuel efficiencies and thus reductions in CO₂ emissions. Innovative approaches such as formation flights will bring additional fuel savings.

A high level of automation will make it possible to go beyond the current limits of sector capacity due to controller workload, which will allow optimal and environmentally friendly flight trajectories. This will also have significant benefits in terms of productivity gains and will remove limitations related to sector and controller workload. In addition, minimising fuel consumption by airspace users will reduce CO₂ emissions and the related costs of emissions allowances.

A high level of automation will also ensure that safety levels are maintained or improved, with the greatest benefit being at the highest level of automation. An intermediate level of automation, whereby humans are kept in the loop, might be delicate and would not necessarily bring the best safety benefits. It is suggested that automation be initially started at night, in oceanic or low-density airspace, to gain experience.

8. Artificial intelligence for aviation

Artificial intelligence (AI) is one of the main enablers for overcoming the current limitations in the ATM system. A new field of opportunities arises from the general introduction of AI, enabling higher levels of automation and affecting the ATM system in different ways. AI can identify patterns in complex real-world data that human and conventional computer-assisted analyses struggle to identify. It can also identify events and provide support for decision-making, and even optimisation.

Over recent years, developments in and applications of AI have shown that it is a key ally in overcoming these present-day limitations, as in other domains. Tomorrow's aviation infrastructure will be more data-intensive and, thanks to the application of machine learning (ML), deep learning and big data analytics, aviation practitioners will be able to design an ATM system that is smarter and safer, by constantly analysing and learning from the ATM ecosystem.

Expected impact. AI will enable the optimisation of aircraft trajectories, allowing a potential reduction in the aviation environmental footprint. It will also play a fundamental role in aviation/ATM to address airspace capacity shortages, enabling dynamic configuration of the airspace and allowing dynamic spacing separation between aircrafts. Furthermore, AI will enrich aviation datasets with new types of datasets, unlocking air/ground AI-based applications, fostering data-sharing and building up an inclusive AI aviation–ATM partnership. This will support decision-makers, pilots, air traffic controllers and other stakeholders, bringing benefits in cost-efficiency by increasing ATCO productivity (reducing workloads and increasing complexity capabilities).

Increasing predictability will be a key role for AI, by enabling traffic predictions and forecasts that will boost punctuality. Safety science will also need to evolve to cope with the safety challenges posed by the introduction of ML.

Finally, actual safety levels will be at least maintained if not improved using this technology, and AI will offer the possibility to stay cyber-resilient to new technologies and threats; the objective is to maintain a high level of security.

9. Civil/military interoperability and coordination

The digital transformation of the European ATM network will have an impact on both civil and military aviation and ATM operations. Care must be taken to ensure a sufficient level of civil/military interoperability and coordination, especially concerning trajectory and airspace information exchange, as well as the use of interoperable communications, navigation and surveillance (CNS) technologies. Therefore, a joint and cooperative civil–military approach to ATM modernisation would be the best choice to achieve the appropriate level of interoperability, including maximising synergies between civil and military research and development activities.

Expected impact. Civil/military interoperability and coordination will make direct contributions to military mission effectiveness through improved collaborative decision-making (CDM) in the mission planning phase, increased adherence to planned trajectories, the accommodation of unpredictable and complex mission profiles, and enriched surveillance and threat detection at a reasonable cost.

Such activities will also make indirect contributions to the European network's performance in terms of safety, predictability, capacity, flight efficiency and reductions in CO₂ emissions for all operational stakeholders, resulting in particular from a common civil/military approach in defining the European ATM network evolution that respects the national and collective defence requirements.

The related military ambition is to execute missions as required. Achieving higher congruence between mission planning and execution leads to greater mission effectiveness and the improved predictability of 4D mission trajectories.

2.1.1 Implementing the flagships

As a part of the Digital European Sky programme, these flagships and the related R&I needs will be mapped with the performance ambitions of the single European sky programme and further developed into candidate SESAR Solutions.

A number of further activities will be carried out to ensure coherence in the developments across the individual projects. These are the so-called transversal activities of architecture, master planning,

performance management and preparation for standardisation. As part of the SESAR 3 JU programme management activities, these activities contribute to the overall framework that will make the Digital European Sky programme not just a list of disconnected projects but a coherent programme, delivering solutions that are aligned at the content level with the direction set by the SRIA and the ambition in the European ATM Master Plan.

2.2 SESAR research and innovation pipeline

For the Digital European Sky programme, the pipeline is constructed from four categories of activities; categories 2 and 3 are within the industrial research phase ⁽⁶⁾:

1. Exploratory Research (technology readiness levels (TRLs) 0–2), funded under Horizon Europe for the EU part;
2. Industrial Research and Validation (TRLs 3–6), funded under Horizon Europe for the EU part;
3. Fast-Track Innovation and Uptake (TRLs 2–7), funded under Horizon Europe for the EU part;
4. Digital Sky Demonstrators (TRL7 and TRL8), funded under the Connecting Europe Facility (CEF) for the EU part ⁽⁷⁾, in collaboration with CINEA.

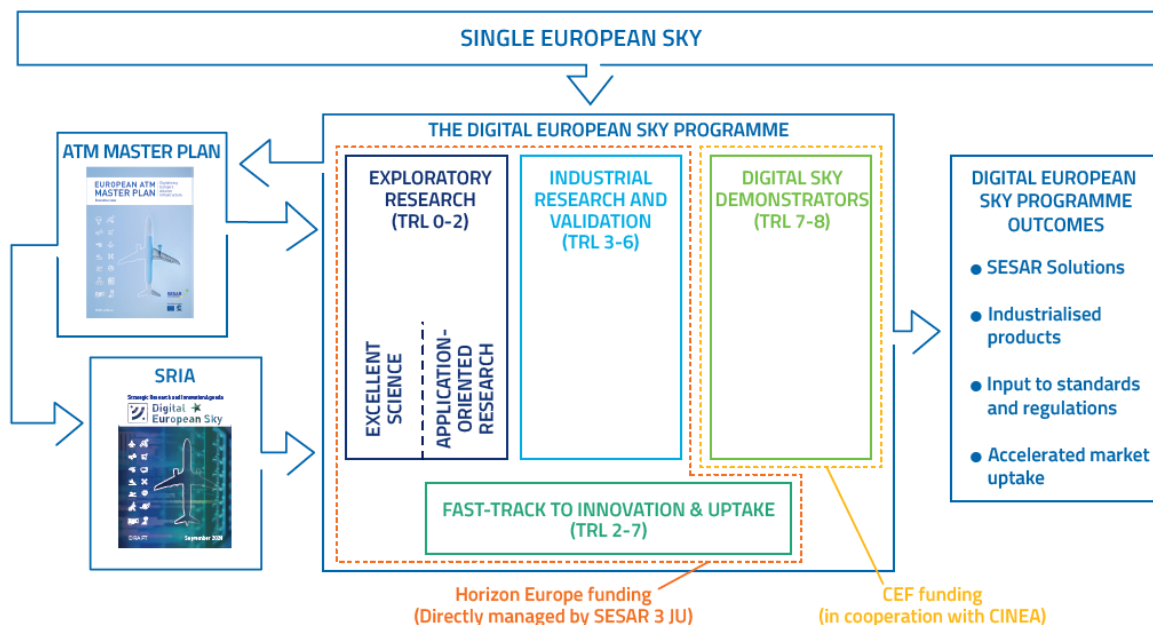


Figure 1: The SESAR innovation pipeline

The four categories of activity, including their full scope, are shown in Figure 1 and are further described in Chapter II. They enable a best-practice managed approach that is suited to the needs of the SESAR R&I activities to be performed and is at the applicable level of research maturity. The innovation pipeline allows for both a more traditional ‘waterfall’ development method and iterative

⁽⁶⁾ The indicated source of funding is further detailed in Chapter II, Sections 2.2.3, 2.2.3.3 and 4.

⁽⁷⁾ Within the Digital European Sky programme, Digital Sky Demonstrators are subject to a specific working arrangement. In this arrangement, the SESAR 3 JU ensures the strategic orientation of the projects and provides technical advice to the European Commission, in coordination with the European Climate, Infrastructure and Environment Executive Agency (CINEA), which manages the calls for proposals and the resulting grants.

developments and is primarily focused on ‘fast-track innovation and uptake’. The innovation pipeline will make it possible to rapidly transition from exploration (low TRL) to validation, thus enabling accelerated progression to demonstration (high TRL) and transition to the market.

3 Strategy for the implementation of the programme

For the period of reference (2022–2023), the priority is to complete the SESAR 2020 programme and to ramp up the Digital European Sky programme to ensure the timely delivery of expected SESAR solutions.

The Digital European Sky programme is delivered using a range of instruments under Horizon Europe for both the exploratory research and the industrial research activities, while the Digital Sky Demonstrators are secured using the CEF financing framework.

Under the Digital European Sky programme, there will be three types of calls for proposals covering three R&I phases: (1) exploratory research calls with Horizon Europe funding, (2) industrial research and validation, also covering fast-track innovation and uptake, with Horizon Europe funding, and (3) Digital Sky Demonstrators with CEF funding managed by CINEA in close cooperation with the SESAR 3 JU.

Four calls for proposals are planned for the **Exploratory Research** phase. The first call for exploratory research is to be published in early Q2 2022 to allow the launch of the first exploratory research projects in Q2 2023. The subsequent calls will be scheduled in a way that allows the proposed exploratory research content described in the MAWP to be completed. The proposed duration of the exploratory research projects is 2.5 years, including a 6-month period at the end of their life cycle for carrying out communication, dissemination and exploitation activities (as recommended by the SESAR 2020 Scientific Committee, established in accordance with Article 154 of the Single Basic Act. The duration of the projects under the last exploratory research call will be limited to 2 years to secure the closure procedure of the Digital European Sky programme and the SESAR 3 JU by the end of 2031.

Three calls for proposals are planned for the **Industrial Research and Validation** phase, also covering Fast-track Innovation and Uptake. Like the exploratory phase, the first industrial research call is also planned to be published in early Q2 2022, allowing the launch of the first industrial research projects in Q2 2023. The first industrial research call will be synchronised with the first exploratory research call in order to optimise their management (e.g. call publication, evaluation and grant agreement preparation activities) and resource allocation. Three waves of calls for proposals will be organised, with each intended to make significant steps towards the achievement of the specific objectives of the SESAR 3 JU and performed in a manner similar to that of the SESAR 2020 programme. This will also support ongoing feedback for the European ATM Master Plan, standards development and the identification of needs for future regulation. The proposed duration of industrial research projects is 3 years, except for the final industrial research call, which will have a limited duration of 2 years to secure the closure procedure of the Digital European Sky programme and the SESAR 3 JU by the end of 2031.

For **Digital Sky Demonstrators**, a first call was launched by CINEA at the end of Q3 2021. Subject to the signing of a contribution agreement between the SESAR 3 JU and the Commission and of a specific working arrangement established between the SESAR 3 JU and CINEA, the SESAR 3 JU will ensure the strategic orientation of this call for proposals and support the management of resulting grants through technical expertise during the call evaluation and grant management phases. A second call for proposals for Digital Sky Demonstrators is planned to be launched in Q3 2022.

Chapter II – Biannual work programme for 2022–2023

1 Executive summary

Introduction

The SESAR 3 JU builds on the work and achievements of earlier SESAR research and innovation programmes (SESAR 1 and SESAR 2020), but seeks to accelerate the market uptake of innovative solutions through a portfolio of demonstrators and a fast-track mechanism. The partnership will provide a catalyst for speeding up the transition towards a green, climate neutral and digital Europe, and for making European industry more resilient and competitive.

The SESAR 3 JU's Bi-Annual Work Programme 2022–2023 sets out the remaining research and innovation activities within the context of SESAR 2020, while also detailing the priorities and plans for SESAR 3 JU, including the launch of its Digital European Sky programme of R&I and related calls.

Operational activities

Specifically, the following operational activities are planned over the course of 2022 and 2023.

SESAR 2020 programme

- Supervision of ongoing projects under the exploratory research (ER) open call for proposals (ER4 (H2020-SESAR-2019-2)), management of related grant agreements and closure of these grants.
- Supervision of ongoing projects under the industrial research and validation (IR) and very-large-scale demonstration (VLD) restricted calls for proposals (IR-VLD wave 2 (H2020-SESAR-2019-1) and IR-VLD wave 3 (H2020-SESAR-2020-2)), management of related grant agreements and closure of these grants.
- Completion of release 11 in line with the plan published in 2020 and execution of release 12 based on the plan published at the end of 2021.
- Supervision of ongoing projects under the open call for proposals (VLD Open 2 (H2020-SESAR-2020-1)), management of related grant agreements and closure of these grants.

Digital European Sky programme

- Follow-up of activities as outlined in the European ATM Master Plan (2020 edition) and in particular in levels 2 and 3 (the 'implementation view').
- Launch of the HORIZON-SESAR-2022-DES-ER-01 (S3 ER1) call, evaluation of the proposals and signing of awarded grants.
- Launch of the HORIZON-SESAR-2022-DES-IR-01 (S3 IR1) call, evaluation of the proposals and signing of awarded grants.
- Provision of technical expertise to CINEA in support of the call evaluation relating to SESAR Digital Sky Demonstrators (DSDs; CEF-T-2021-SIMOBGEN) and for the technical monitoring of awarded grants.

- Provision of expertise to CINEA for the technical specifications in support of the second CEF call addressing the SESAR Digital Sky Demonstrators to be launched in September 2022, followed by the technical contribution to the evaluation.
- Planning and management of procurement services to provide the JU with the necessary support

These activities will be underpinned by synergies with partners and initiatives across Horizon Europe and CINEA, and major national (sectorial) policies, programmes and activities, as well as outreach and engagement with key civil and military aviation stakeholders beyond the membership of the SESAR 3 JU. At the same time, the SESAR 3 JU will work with third countries and/or international organisations to ensure global interoperability and harmonisation.

Support to operations

Over 2022 and 2023, the SESAR 3 JU will carry out a range of corporate and back-end services to support the aforementioned operational activities. These services will be carried out efficiently and effectively in line with best practices, standards and applicable regulatory frameworks.

The following are just some of the support activities planned over the course of 2022 and 2023:

- promoting the SESAR 3 JU partnership and showcasing 2020 results through events, publications and digital media; familiarising audiences with the flagship areas of work; enlarged membership and partnership; and targeting stakeholder and generalist audiences both in Europe and worldwide;
- providing guidance to members and projects (beneficiaries) and monitoring their compliance with obligations and commitments to communicate, disseminate and exploit project outcomes within the framework of the partnership, Horizon 2020 and Horizon Europe;
- ensuring the effective and efficient financial, administrative, legal and corporate management of the SESAR 3 JU through the implementation of internal control principles and systematic quality assurance activities, as well as synergies, where applicable, with the European Organisation for the Safety of Air Navigation (EUROCONTROL) on back-office functions.

The SESAR 3 JU will rely on its governance structure to ensure the strategic orientation and effective supervision of its operations and support activities. In 2022 and 2023, the SESAR 3 JU will provide secretariat support to the Governing Board to ensure effective and timely decision-making. At the same time, the SESAR 3 JU will also provide secretariat support for the establishment and operation of the States' Representatives Group and Scientific Committee, as well as operational committees, ensuring that the necessary procedures and support structures are put in place.

2 Operational activities of the SESAR 3 Joint Undertaking for 2022–2023

2.1 Scientific priorities, challenges and expected impacts

The R&I priorities of the SESAR 3 JU are described in Chapter II, Section 1.2. In 2022 and 2023, the SESAR 3 JU will complete the closure of the SESAR 2020 programme and start the implementation of the Digital European Sky programme with the launch of three calls for proposals, two in exploratory research and one in industrial research and validation, with the support of CINEA for two additional calls.

2.1.1 SESAR 2020 programme

The priority of the SESAR 2020 programme is to close the programme and finalise the delivery of SESAR solutions. Figure 2 provides an overview of the portfolio of projects under the SESAR 2020 programme.

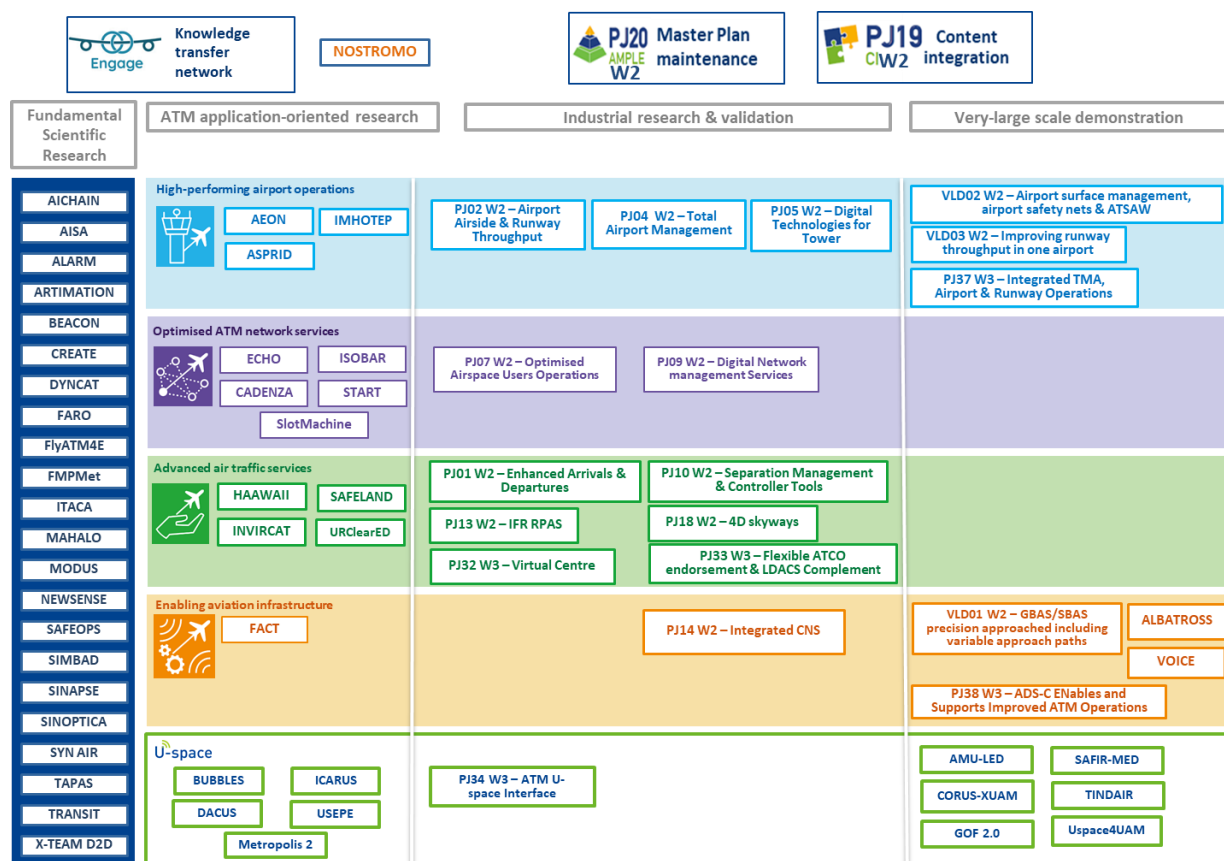


Figure 2: SESAR 2020 programme – portfolio of projects

2.1.2 Digital European Sky programme

Figure 3 presents the scope of the first set of calls for proposals under the Digital European Sky programme. In particular, it shows how the different SRIA flagships are covered across the R&I pillars. It also presents the budget allocated per work area (WA).

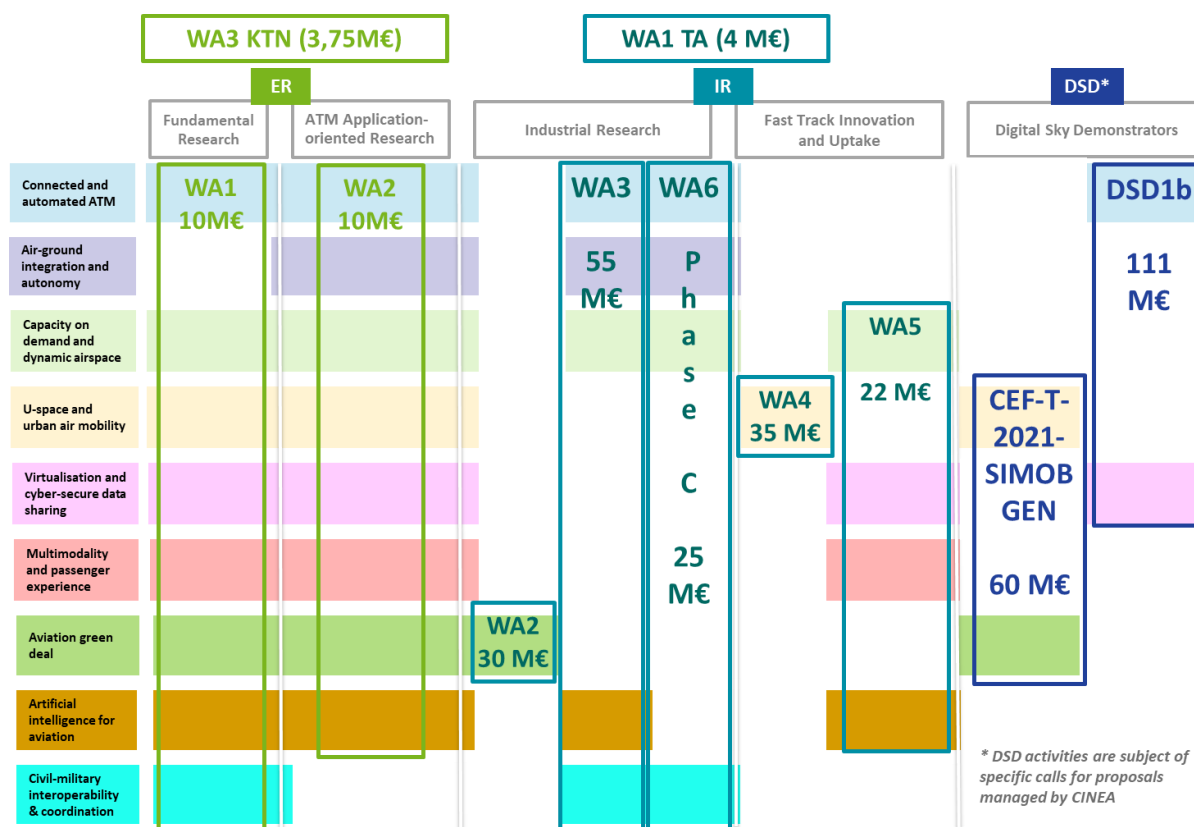


Figure 3: Scientific priorities and links with the SRIA flagships covered through the first set of calls for proposals of the Digital European Sky programme

In 2022, the SESAR 3 JU will launch two open calls for proposals under the Horizon Europe programme.

1. The open Digital European Sky ER1 call for proposals (HORIZON-SESAR-2022-DES-ER-01) will be composed of the following three work areas.
 - **WA1 (fundamental science and outreach)** comprises the exploratory research necessary to develop new concepts for ATM beyond those identified in the European ATM Master Plan, and will help to develop emerging technologies and methods to the level of maturity required to feed the applied research conducted by the SESAR 3 JU. This part of the research is structured around the same flagships identified in the rest of the programme to ensure that there is a flow of ideas and results in a structured manner across the whole programme.
 - **WA2 (ATM application-oriented research)** comprises exploratory research aiming to bridge the results of ATM excellent science and outreach and the higher maturity ATM research performed with the wider research community, as part of SESAR 3 JU industrial research activities. It also aims to provide the necessary scientific support to ATM change.
 - **WA3 (knowledge transfer network)** provides support for the SESAR 3 JU to continue maintaining the overarching view across ATM exploratory research that was established in SESAR 2020, provides a coordinated exchange of research knowledge across a wide range of relevant themes and, within the context of this networking, helps to further stimulate the future ATM skilled workforce. The challenge is to support

and encourage collaborative research on future and emerging innovative ideas, expertise and knowledge for the benefit of the future evolution of the European ATM system and its people.

2. The open Digital European Sky IR1 call for proposals (HORIZON-SESAR-2022-DES-IR-01) will be composed of the following six work areas.

- **WA1** covers transversal activities with a focus on Master Plan activities and performance management.
- **WA2** comprises the industrial research required to achieve the objective of net-zero greenhouse gas emissions by 2050 set by the European Green Deal, in line with the EU's commitment to global climate action under the Paris Agreement, which requires accelerating the shift towards smarter and more sustainable mobility. This requires aviation to intensify its efforts to reduce emissions, in line with the targets set out in *Flightpath 2050* ⁽⁸⁾.
- **WA3** focuses on the delivery of the next generation of enabling platforms and services in view of achieving the ambition of the Digital European Sky and phase D of the European ATM Master Plan.
- **WA4** covers fast-track innovation and uptake activities addressing U-space and UAM.
- **WA5** covers fast-track innovation and uptake activities addressing capacity on-demand and dynamic airspace, virtualisation and cybersecure data-sharing, multimodality and passenger experience, and the aviation Green Deal.
- **WA6** comprises the industrial research activities required to complete TRL6 for the key research and development (R&D) solutions that define the European ATM Master Plan phase C ambition, establishing a solid foundation for ATM Master Plan phase D. The scope of this work area includes a number of elements that, although addressed in SESAR 2020 wave 1 and 2 activities, did not lead to the completion of TRL6. It also covers the integration of solutions that, having achieved (or nearly achieved) TRL6 as part of previous SESAR programmes, still require integrated validation activities to facilitate and de-risk the industrialisation and deployment phases: these activities may target a TRL7 level of maturity. It may also include activities for the early integration of less mature SESAR Solutions.

Further detail on these two calls for proposals, including on the conditions of the calls and relative management rules, can be found in Annex III.

In 2023, the SESAR 3 JU will prepare and launch the ER2 call for proposals.

A second call for proposals for Digital Sky Demonstrators is also planned to be launched in Q3 2022, preferably funded under the CEF programme. Once it is available, more detailed information about these calls will be included in the future amendments of the BAWP.

⁽⁸⁾ *Flightpath 2050* is a report of the High Level Group on Aviation and Aeronautics Research established by the European Commission in December 2010, which sets out a new vision for the aviation sector to be achieved by 2050.

2.2 Objectives, indicators and activities

2.2.1 Strategic area of operation 1: Provide strategic steering to the SESAR 2020 programme and the Digital European Sky programme

2.2.1.1 *SESAR 2020 programme*

Under the leadership of the SESAR 3 JU, all SESAR R&I activities are undertaken under a common framework. This framework is mainly applicable to industrial research and validation and to Digital Sky Demonstrators activities and relies on the following elements:

- maintenance of the European ATM Master Plan;
- content integration activities aiming for transversal steering of the programme through the concept of operations, architecture activities and the performance framework;
- system engineering support activities aiming to create traceability and coverage reports between high-level concept and operational requirements, with requirements, validation objectives and validation results at the level of solution development.

In 2022 and 2023, the following projects will support the execution of this framework: PJ20 W2, 'Master Plan maintenance', covering the maintenance of the European ATM Master Plan, and PJ19 W2, 'content integration', covering the required coordination of industrial research and validation projects to address the architecture, the performance and alignment with the European ATM Master Plan.

Furthermore, based on the outcome of the last SESAR 2020 Master Plan Committee, all ATM stakeholders came together to develop an action plan composed of three practical improvement packages that, if implemented successfully, will significantly simplify and strengthen the Master Plan process. The scope of the action plan is to help direct the successful design of future changes to the Master Plan process, providing a clear set of goals, actions and success criteria for each proposed improvement package. The oversight of the successful implementation of this action plan is proposed to be ensured by the SESAR 3 JU Governing Board.

The future Master Plan process is proposed to exclusively focus on strategic planning and monitoring of the execution of the SESAR project (i.e. both development and deployment). This implies that the outputs of the Master Plan process will exclusively be tailored to the needs of an audience of public (including Member States) and private decision-makers. By contrast, in the past, it targeted a much wider audience (covering both decision-makers and experts without fully satisfying either). The future Master Plan process will also provide a more robust outlook on the network performance impact that could be gained through the roll-out of SESAR across all implementing bodies than it ever did in the past.

In areas in which the SESAR 3 JU does not have executive powers (e.g. the execution of rule-making or standardisation plans or the deployment programme for common projects), the principle of subsidiarity will be applied more strictly. In this way, the European ATM Master Plan will continue to be recognised as the unique and official strategic reference point for ATM modernisation efforts in Europe, with supporting planning details being left to be handled by the organisation in charge of execution. In particular, in the area of SESAR deployment and within the boundaries of the EU regulatory obligations and tasks of each entity, special attention will be paid to the unification of processes between the SESAR 3 JU, EUROCONTROL (such as European and local single sky

implementation) and the deployment manager and to preserving the strategic value of the Master Plan while also connecting this with actual deployment activities that are taking or have taken place.

The SESAR 3 JU Governing Board members will benefit from a lower administrative burden, as formal approvals for changes to the European ATM Master Plan will be limited to multi-annual update cycles (typically every 3–4 years) unless requested otherwise by the Governing Board. This will enable a full synchronisation of formal adoption cycles between the EU, EUROCONTROL and private representatives of the Governing Board. By extension, this should also enable a much more solid buy-in from Member States, which will in turn play a more direct role in the implementation of activities of the SESAR 3 JU via the States' Representatives Group.

In addition, the Governing Board members will be provided (for information) on an annual basis with information showing the strategic alignment of SESAR development and deployment activities with the strategic vision and direction outlined in the European ATM Master Plan in a format that can also be used to stimulate corrective actions where and when appropriate.

The improvements are proposed to be gradually implemented from 2022 and completed in time for the next update of the Master Plan.

In addition, transversal activities in the exploratory research area will continue with the NOSTROMO project, which aims to develop new approaches to ATM performance modelling, and with the ENGAGE project, which provides the knowledge transfer network.

The strategic steering activities are performed in close coordination with the programme management activities to provide an additional level of integration to de-risk the delivery of candidate SESAR solutions.

Project reference	Project title	Short project description	Call for proposals	Status (at the beginning of 2021)	Maximum grant amount (EUR)
PJ19 W2	Content integration	Content integration activities aim to coordinate and integrate operational and technical solutions, and as such to support and guide the processes to ensure their completeness, consistency and coherency from a holistic perspective as expressed in the SESAR concept of operations	H2020-SESAR2019-1	Ongoing from 2020 to 2022	4 473 969
PJ20 W2	Master Plan maintenance	The European ATM Master Plan has three levels (executive, planning and implementation), which require synchronised monitoring and alignment. The work consists in	H2020-SESAR2019-1	Ongoing from 2020 to 2022	2 011 278

		maintaining, updating and publishing the master plan as and when necessary, and in managing the Master Plan update campaigns			
NOSTROMO	Next-generation open-source tools for ATM performance modelling and optimisation	The ATM system is composed of elements that interact with each other generating a number of properties characteristic of complex adaptive systems. NOSTROMO aims to develop new approaches to ATM performance modelling that are able to reconcile model transparency, computational tractability and ease of use with the necessary sophistication required for a realistic representation of the ATM system	H2020-SESAR2019-2	Ongoing from 2020 to 2022	1 771 361

One transversal project (Engage), which addresses the knowledge transfer network under the open ER3 call (H2020-SESAR-2016-1) is expected to be completed by the end of June 2022 (as a result of a grant duration extension)

Table 2: SESAR 2020 programme transversal and strategic steering activities with related co-financing

The SESAR 3 JU has set up an external support contract to help in steering the SESAR 2020 programme, namely the SESAR development support services contract, covering industrial support, programme management support and the provision of a collaborative programme management platform until the end of December 2022.

2.2.1.2 Digital European Sky programme

The main activities will cover the finalisation of the call material, focusing on the transversal activities and the publication of the SESAR 3 JU IR1 call (HORIZON-SESAR-2022-DES-IR-01). Following the call deadline, the proposals received will be evaluated according to the award criteria. The selected proposals will initiate their grant preparation phase, which will be concluded by the signing of the grants and the initiation of the execution of the projects. Considering the huge number of new founding members, who are not familiar with the needs and details of the transversal activities of the SESAR R&I programme, and considering the potential cost in terms of their learning curve on ramping up and executing these activities, in particular on content integration and architecture modelling, the SESAR 3 JU transversal activities will initially focus on master planning and on performance management (both activities are further described in Annex III, Section 1.2.4.1). In the meantime, an assessment of content integration and architecture modelling tasks is under review and, before becoming applicable, these will be validated within a few projects first. Subject to the positive outcomes of the pilot, these transversal activities will be further initiated.

2.2.1.3 Objectives, activities, indicators and targets

	Objectives	Activities	Indicators	Target for 2022	Target for 2023
SESAR 2020	Supervise and close the transversal activities (Engage, NOSTROMO, PJ19 W2 and PJ20 W2)	Execution of the Horizon 2020 reporting and payment process	Percentage of transversal activities with project review completed	25 %	100 %
		Execution of the final Horizon 2020 reporting and payment process	Percentage of transversal activities that have submitted their final Horizon 2020 technical and financial reports	n/a	100 %
	Prepare the SESAR 2020 programme closure	Carry out the relevant aspects of the closure process over 2022–2023	Level of compliance with the requirements	n/a	100 %
Digital European Sky	Launch of call HORIZON-SESAR-2022-DES-IR-01 (S3 IR1) and evaluation of the transversal projects proposals	Run the call for proposals and evaluation procedure	Evaluation report prepared in view of its submission to the Executive Director	n/a	100 %
		Run the grant preparation phase	Grant agreement preparation and signing completed	n/a	100 %
	Supervise transversal projects (under call for proposals HORIZON-SESAR-2022-DES-IR-01)	Ramping up of the projects	Percentage of IR1 projects that have their project management plans approved	n/a	100 %

Table 3: Objectives, activities, indicators and targets for strategic steering activities in 2022 and 2023

2.2.2 Strategic area of operation 2: Deliver exploratory research

2.2.2.1 SESAR 2020 programme

As outlined in the SESAR 2020 programme, exploratory research topics are all essential and integral components of the R&I scope funded and managed by the SESAR 3 JU. These activities are structured around three key areas.

- There is one transversal area, the **knowledge transfer network**, which aims to assess and coordinate project results to contribute to spotting innovative ideas, concepts and models that can support the identification of ATM system concept trade-offs, new technology

validation at system level, and defining and consolidating requirements. The ATM research community will be able to share research results.

- There are two research areas, which have the following characteristics.
 - **ATM excellent science and outreach.** The 22 projects help in developing new concepts for ATM beyond those identified in the European ATM Master Plan, and will help to develop emerging technologies and methods to the level of maturity required to feed into the applied research conducted by the JU. This area of exploratory research is structured around the four key features and the transversal needs of the programme to ensure there is a flow of ideas and results in a structured manner across the whole programme. The domains of research cover:
 - automation and autonomy,
 - complexity, data science and information management,
 - environment and meteorology for ATM,
 - performance, economics, legal and regulation,
 - ATM's role in intermodal transport,
 - CNS for ATM.
 - **ATM application-oriented research.** The 18 ⁽⁹⁾ projects aim to link the results of ATM excellent science and outreach with the higher maturity ATM research performed with the wider research community, as part of the JU industrial research activities. The projects also aim to provide the necessary scientific support for ATM change. There is also a particular focus on bringing the ATM capacity to the level required to comply with the expected traffic growth, either directly or through connection to further funded research areas in other disciplines or sectors.

All ER4 projects are expected to close by the end of 2022. By the end of 2021, the SESAR 2020 programme included the projects set out in Table 4 as a result of the ER4 call.

Project reference	Project title	Short project description	Maximum grant amount (EUR)
AEON	Advanced engine-off navigation	AEON aims to define a concept of operations focusing on engine-off taxiing techniques, and a set of dedicated tools to support the operators. The project defines how to determine, in real time, efficient and conflict-free routing plans for autonomous and non-autonomous aircraft taxiing from gates to the corresponding runways and vice versa	1 444 525
AICHAIN	A platform for privacy preserving federated ML using blockchain to enable	AICHAIN proposes an innovative digital information management concept combining federated ML and blockchain technologies. This enables the cybersecured exploitation of large private datasets by a	996 505

⁽⁹⁾ One project, NOSTROMO, funded through the ER 4 call for proposals, supports strategic steering activities. Therefore, it is not included in this list and the relevant details are described in Section 2.2.1.1 of this chapter.

	operational improvements in ATM	privacy-preserving federated learning architecture in which neither the training data nor the training model needs to be exposed	
AISA	AI situational awareness foundation for advancing automation	To implement advanced automation, AI and humans need to be able to share situational awareness. Therefore, the AISA project is exploring the effect of, and opportunities for, distributed human-machine situational awareness in en route ATC operations. The project is developing an intelligent situationally aware system by combining ML with a reasoning engine	990 125
ALARM	Multi-hazard monitoring and early warning system	ALARM aims to develop a prototype global multi-hazard monitoring and early warning system. A global multi-hazard monitoring involves near-real-time and continuous global Earth observations from satellite, with the objectives being to generate prompt alerts of natural hazards affecting ATM and to provide information for enhancing situational awareness and providing resilience in crisis	991 269
ARTIMATION	Transparent AI and automation to ATM systems	ARTIMATION aims to investigate AI methods in predicting air transportation traffic and optimising traffic flows based on explainable AI to address the challenge related to the transparency of an automated system in the ATM domain. ARTIMATION will provide a proof-of-concept for transparent AI models that includes visualisation, explanation and generalisation to ensure safe and reliable decision support	999 375
ASPRID	Airport system protection from intruding drones	ASPRID aims to assess the problem of protecting airport operations from drone intrusion (careless or malicious) under a holistic and operationally oriented approach. The project proposes to investigate the vulnerability of airports under the different types of threat and the possible ways of responding, as well as to study the interrelations between all of those aspects involving different scenarios	1 235 195
BEACON	Behavioural economics for ATM concepts	BEACON aims to study the feasibility of extending the user-driven prioritisation process (UDPP) to allow multi-prioritisation processes in the airspace and the exchange of slots between airlines. It will build two models: a strategic model and a detailed tactical simulator. BEACON will make use of behavioural economics to capture the agents' behaviours properly	996 594

BUBBLES	Defining the building basic blocks for a U-space separation management service	BUBBLES aims to formulate and validate the concept of a U-space advanced (U3) 'separation management service'. It will develop algorithms to compute the collision risk of UASs, allowing separation minima and methods to be defined so that a safety level stated in terms of overall probability of collision can be defined and maintained	1 606 109
CADENZA	Advanced capacity and demand management for European network performance optimisation	CADENZA aims to develop a detailed trajectory broker concept for the European network, incorporating advanced demand–capacity balancing mechanisms. The trajectory broker will balance capacity and demand through a coordinated capacity provision process and collaborative trajectory management (including a novel trajectory-charging scheme). Significant improvements in cost-efficiency and delay are expected	1 158 124
CREATE	Innovative operations and climate and weather models to improve ATM resilience and reduce impacts	Air operations largely use weather information to make the air traffic flow safe, continuous and efficient. As climate changes continue, the information available on the weather at short and longer notice is increasing and technology is being improved. CREATE aims to achieve innovative procedures in ATM to reduce the climate and environmental impact, while becoming more resilient to weather phenomena	998 165
DACUS	Demand and capacity optimisation in U-space	DACUS aims to develop a service-oriented demand and capacity balancing process for drone traffic management. This overall objective responds to an operational and technical need in European drone operations for a tangible solution integrating the functionalities of SESAR's U-space services for traffic management to produce timely, efficient and safe decisions	1 739 618
DYNCAT	Dynamic configuration adjustment in the TMA	DYNCAT aims to enable more environmentally friendly and more predictable flight profiles in the TMA, namely on approach, by supporting pilots in configuration management	989 299
ECHO	European concept of operations for higher airspace operations	ECHO aims to deliver a comprehensive demand analysis and innovative and feasible concept of operations enabling near-term and future higher airspace operations in a safe and orderly manner. The higher airspace including the operators forms a new, almost legacy-free environment enabling an expeditions uptake of innovations or extrapolated SESAR Solutions	1 968 865
FACT	Future all-aviation CNS technology	FACT aims to increase safety, security, efficiency and robustness of the future air traffic environment through the	1 850 500

		development of integrated CNS functional architecture supporting the use of a common performance-based approach, addressing the needs of a large spectrum of airspace users across varied operational environments	
FARO	Safety and resilience guidelines for aviation	FARO aims to bring new insights into safety and resilience in ATM, with four objectives: to exploit existing safety knowledge, to quantify the impact of increasing automation on ATM safety, to analyse the impact of increasing automation on ATM resilience and to provide design guidelines and identify future research needs	999 559
FlyATM4E	Flying ATM for the benefit of environment and climate	FlyATM4E aims to expand approved climate assessment methods and the optimisation of aircraft trajectories to identify promising mitigation options suitable for solving the task of reducing the overall climate impact of aircraft operations. The project will assess the feasibility of a concept for the environmental assessment of ATM operations working towards the environmental optimisation of air traffic operations	999 765
FMPMet	Meteorological uncertainty management for flow management positions	FMPMet aims to integrate meteorological forecast uncertainty information into the decision-making process for flow management positions. FMPMet aims to provide flow management positions with an intuitive and interpretable probabilistic assessment of the impact of convective weather on the operations, up to 8 hours in advance	849 000
HAAWAIL	Highly automated air traffic controller workstations with AI integration	HAAWAIL aims to research and develop a reliable, error-resilient and adaptable solution to automatically transcribe voice commands issued by both air traffic controllers and pilots, and to perform proof-of-concept trials in challenging environments. In addition, the objectively estimated controllers' workload utilising digitised voice recordings of the complex London TMA will be assessed	1 825 000
ICARUS	Integrated common altitude reference system for U-space	ICARUS aims to propose an innovative solution to the challenge of the common altitude reference inside very low-level airspaces with the definition of a new U-space service and its validation in a real operational environment	1 144 588
IMHOTEP	Integrated multimodal airport operations for efficient passenger flow management	IMHOTEP aims to develop a concept of operations and a set of data analysis methods, predictive models and decision support tools that allow information-sharing, common situational awareness and real-time	1 999 805

		CDM between airports and ground transport stakeholders	
INVIRCAT	IFR RPAS control in airports and TMA	INVIRCAT aims to create a concept of operations for RPASs in the TMA of airports, assessing it through simulations, and to draft a set of recommendations for rule-makers and standardisation bodies	1 416 055
ISOBAR	AI solutions to meteorology-based demand and capacity imbalances for network operations planning	ISOBAR aims to provide a service- and AI-based network operations plan, by integrating enhanced convective weather forecasts for predicting imbalances between capacity and demand and exploiting AI to select mitigation measures at the local and network levels in a collaborative air traffic flow and capacity management operations paradigm	1 908 798
ITACA	Incentivising technology adoption for accelerating change in ATM	ITACA aims to accelerate the development, adoption and deployment of new technologies in ATM. ITACA will develop a new set of methodologies and tools enabling the rigorous and comprehensive assessment of policies and regulations aimed at amplifying the uptake of new technologies within ATM	999 938
MAHALO	Modern ATM via human/automation learning optimisation	To answer the question of whether automation should match human behaviour or be understandable to humans, MAHALO aims to develop an individually tuned ML system to solve ATC conflicts and couple this with an enhanced en route conflict detection and resolution display. Insights will be used to define a framework to guide the design of future AI systems	997 213
Metropolis 2	Metropolis 2: A unified approach to airspace design and separation management for U-space	Metropolis 2 aims to provide the fundamentals for concrete solutions for U-space U3/U4 services that are needed to enable high-density urban aerial operations, with a unified approach to the following U-space services: strategic deconfliction, tactical deconfliction and dynamic capacity management	1 692 760
Modus	Modelling and assessing the role of air transport in an integrated, intermodal transport system	Modus analyses the performance of the overall transport system by considering the entire door-to-door journey holistically. The project identifies (future) drivers for passenger demand and supply and assesses the impact on airside and landside processes and capacities. Based on these analyses, potential solutions to meet high-level European transport objectives are proposed	998 875
NewSense	Combining simulation models and big data analytics for ATM	NewSense aims to improve the safety and efficiency of operations primarily in secondary airports with innovative low-cost surface surveillance solutions, based on 5G	943 960

	performance analysis	cellular networks for the long term, and millimetre-wave radar for the medium term, allowing the implementation of affordable advanced surface movement guidance and control systems	
SAFELAND	Safe landing through enhanced ground support	SAFELAND aims to support the flight and landing of aircraft operated by a single pilot, in case of partial or total incapacitation of the pilot. SAFELAND will focus on the ground side, and specifically on the role ATM could have in managing the transition from a single-pilot-operated flight to a status with reduced or absent contribution of the on-board pilot to landing	1 978 138
SafeOps	From prediction to decision support – Strengthening safe and scalable ATM services through automated risk analytics based on operational data from aviation stakeholders	Maintaining the safety and cost-efficiency of air transport operations while increasing the capacity will push the next generation of ATM systems towards digitalisation. In the mid-term, a digitalised system in the human-operated ATM environment will be capable of delivering reliable predictive analytics based on automated information processing. SafeOps aims to support these future services by investigating the use of big data analytics together with new risk assessment methodologies	997 750
SIMBAD	Combining simulation models and big data analytics for ATM performance analysis	SIMBAD aims to develop and evaluate a set of ML approaches aimed at providing state-of-the-art ATM microsimulation models with the level of reliability, tractability and interpretability required to support performance evaluation at the European Civil Aviation Conference (ECAC) level effectively. The project will demonstrate and evaluate the newly developed methods and tools through a set of case studies	999 938
SINAPSE	Software-defined networking architecture augmented with AI to improve aeronautical communications performance, security and efficiency	SINAPSE aims to propose an intelligent and secured aeronautical data link communications network architecture design, based on the software-defined networking architecture model augmented with AI to predict and prevent safety service outages, to optimise available network resources and to implement cybersecurity functions protecting the network against digital attacks	853 300
SINOPTICA	Satellite-borne and in situ observations to predict the initiation of convection for ATM	SINOPTICA aims to explore the potential of assimilating remote-sensing, global navigation satellite system (GNSS)-derived datasets and in situ weather-station data into very high-resolution, very short-range numerical weather forecasts to provide improved predictions of extreme weather events to the benefit of ATM operations	999 285

SlotMachine	A privacy-preserving marketplace for slot management	Until now, air traffic flow management (ATFM) slots have been subject only to intra-airline swaps, used by airlines to prioritise expensive flights and thus minimise overall costs. Airlines want to keep the cost structure of their flights confidential, as they fear a competitive disadvantage when disclosed. This desire for confidentiality has hampered slot swapping between different airlines. SlotMachine aims to employ blockchain technology and secure multi-party computation to extend the existing UDPP with the possibility of keeping private the participating airlines' confidential information, such as the cost structure of flights	1 937 739
START	A stable and resilient ATM by integrating robust airline operations into the network	START aims to develop, implement and validate optimisation algorithms for robust airline operations that result in stable and resilient ATM performance even in disturbed scenarios. The main focus of the project is the optimisation of conventional traffic situations while considering disruptive weather events such as thunderstorms	1 999 411
SYN+AIR	Synergies between transport modes and air transportation	SYN+AIR aims to generate common goals for transport service providers that will justify data-sharing while helping the user to execute a seamless door-to-door journey. SYN+AIR will generate customer door-to-door journeys and will analyse how those journeys can be facilitated through improved planning and operations activities (following the ATFM phases: strategic, pre-tactical, tactical) powered by data-sharing	997 250
TAPAS	Towards an automated and explainable ATM system	TAPAS aims to explore highly automated AI-based scenarios through analysis and experimental activities applying explainable AI and visual analytics to derive general principles of transparency that pave the way for the application of AI technologies in ATM environments, enabling higher levels of automation	997 410
TRANSIT	Travel information management for seamless intermodal transport	TRANSIT aims to develop a set of multimodal key performance indicators (KPIs), mobility data analysis methods and transport simulation tools, allowing the evaluation of the impact of innovative intermodal transport solutions on the quality, efficiency and resilience of the door-to-door passenger journey	999 950
URClearED	A unified integrated remain-well-clear concept in airspace Classes D–G	URClearED aims to support current study activities on the remain-well-clear functionalities by defining and analysing operational scenarios, which allow the	1 631 768

		requirements of and assumptions made in current standards and applicable documents to be assessed. It aims to pave the way for future industrial-level activities on such systems	
USEPE	U-space separation in Europe	USEPE aims to research drones' separation methods in highly demanding environments such as cities, and the use of ML algorithms to automate the safe separation and deconfliction of drones, while maintaining airspace capacity in different environments. The research approach will take into account both the strategic and the tactical flight phases	1 999 309
X-TEAM D2D	Extended ATM for door-to-door travel	X-TEAM D2D aims to define, develop and initially validate a concept of operations for the seamless integration of ATM and air transport into an overall intermodal network, including other available transportation means (ground and water), to enable door-to-door connectivity, within 4 hours, between any locations in Europe	997 375

Table 4: Ongoing ER4 projects in 2022 (under call for proposals H2020-SESAR-2019-2)

The abovementioned three areas of exploratory research are further complemented by the SESAR digital academy initiative.

The SESAR Digital Academy initiative aims to improve the skills of and inspire the next generation of the aviation workforce in anticipation of the digital economy.

The vision of the SESAR Digital Academy is to become a recognised learning initiative supporting Europe's future aviation and ATM workforce. The mission is to nurture Europe's brightest minds and advance learning, scientific excellence and innovation in aviation and ATM; to promote student mobility and a whole spectrum of learning opportunities, from fundamental research to industry-focused applied research; and to enhance the knowledge, skills and employability of aviation professionals.

The SESAR Digital Academy seeks to bring together under one umbrella SESAR exploratory research activities and outreach relating to education and training, as well as professional learning opportunities offered by research centres, universities, industry partners and other entities within the ATM/aviation domain.

Linked with the knowledge transfer network (addressed by the ER3 project 'Engage'), and making use of a dedicated portal on the SESAR 3 JU's website, during 2021–2023 the initiative will increase the accessibility and visibility of existing SESAR outreach and will continue to highlight relevant events, such as the SESAR Innovation Days, along with other activities targeting students and the academic community, such as the Young Scientist Award.

2.2.2.2 Digital European Sky programme

The main activities will cover the finalisation of the call material and the publication of the S3 ER1 call (HORIZON-SESAR-2022-DES-ER-01). Following the call deadline, the proposals received will be evaluated according to the award criteria. The selected proposals will initiate their grant preparation

phase, which will be concluded by the signing of the grants and the initiation of the execution of the projects.

Similarly, the call material of the S3 ER2 call (HORIZON-SESAR-2023-DES-ER-02) will be finalised with an initial publication date tentatively scheduled for mid-2023, leading to a call deadline tentatively set in Q4 2023.

2.2.2.3 Objectives, activities, indicators and targets

	Objectives	Activities	Indicators	Target for 2022	Target for 2023
SESAR 3 JU	Build on the commitment of the wide scientific community around the SESAR topics	Organisation of the SESAR Innovation Days	Number of papers submitted (yearly)	Greater than 25	Greater than 30
		Active coordination with the scientific community (ASDA, Association of Scientific Development of ATM in Europe, Agency Research Team, ART)	Number of meetings organised (yearly)	At least 1 meeting with each organisation	At least 1 meeting with each organisation
		Develop a role for the European Aeronautics Science Network in and around the existing research community	Number of meetings and events organised with the European Aeronautics Science Network	At least 1 meeting and 1 event	At least 1 meeting and 1 event
		Organisation of the Young Scientist Award	Number of applications received	Greater than 10	Greater than 12
		Secure the active support of the SESAR 3 JU Scientific Committee	Number of active participations	1 Role in the SESAR Innovation Days	3
		Increase the content available through the SESAR Digital Academy	Number of visits to the SESAR Digital Academy website	140	150
	Maintain the SESAR Digital Academy initiative and expand its outreach	Expand the contributions across different sectors (academia, industry,	Number of additional organisations contributing to the academy (yearly)	At least 4	At least 4

	standards and regulation)				
		Organisation of events under the framework of the SESAR Digital Academy	Number of events organised (yearly)	At least 2	At least 2
SESAR 2020	Supervise and close ER4 projects (under call for proposals H2020-SESAR-2019-2)	Execution of the Horizon 2020 reporting and payment process including the project reviews	Percentage of ER4 projects that have submitted their final Horizon 2020 technical and financial report	n/a	100 %
Digital European Sky	Launch of call HORIZON-SESAR-2022-DES-ER-01 (S3 ER1) and evaluation of the proposals	Run the call for proposals and evaluation procedure	Evaluation report prepared in view of its submission to the ED	n/a	100 %
		Run the grant preparation phase	Grant agreement preparation and signing completed	n/a	100 %
	Supervise exploratory research projects (under call for proposals HORIZON-SESAR-2022-DES-ER-01)	Ramp up the projects	Percentage of S3 ER1 projects that have their project management plans approved	n/a	100 %
	Preparation and launch of call HORIZON-SESAR-2023-DES-ER-02 (S3 ER2)	Preparatory activities in view of the launch of the call	Call material ready for publication	n/a	100 %
		Run the call for proposals and start the evaluation procedure	Evaluation initiated	n/a	20 %

Table 5: Objectives, activities, indicators and targets for ER in 2022 and 2023

2.2.3 Strategic area of operation 3: Deliver industrial research and validation

2.2.3.1 SESAR 2020 programme

During the reporting period, SESAR 2020 industrial research and validation activities will facilitate the migration of ideas from exploratory research and will extend them further into applied research and

towards the pre-industrial development stage, validation, large-scale demonstration and final preparation for deployment. Therefore, the main objective of this strategic area of operation is to deliver SESAR solutions that are derived from the European ATM Master Plan and identified in the SESAR 2020 MAWP.

This will be done through projects funded under the two calls for proposals restricted to the 19 SESAR JU members other than the EU and EUROCONTROL (i.e. wave 2 and wave 3 calls).

Facing the COVID-19 crisis, the projects reported to the SESAR JU Programme Committee greater than anticipated difficulty in implementing mitigation actions (e.g. the allocation of tasks to another contributor); in the case of airports, a refocus on core business, with a knock-on impact on R&I activities; and difficulty in accessing validation platforms and preparing validating exercises. Therefore, delays and their impact on programme output increased, for the following reasons: simulation facilities were not available or were not sufficient to cope with all of the demands (i.e. delayed exercises create a peak of demands) and, for some projects, 'buffers' to finalise the different outcomes and deliverables with the desired quality were consumed. As a result, the Programme Committee supported the JU's proposal to extend the duration of some grants as a key mitigation action to secure the delivery of the SESAR Solutions. This exercise was successfully conducted, leading to the signing at the end of 2021 of the grant amendments for extending the duration of 13 wave 2 and wave 3 projects until end of June 2023. The duration extension will allow the projects to reschedule their activities, providing more time to execute the validation activities, finalise the technical deliverables and run the maturity gates.

2.2.3.1.1 IR wave 2 projects (from 2019 to mid-2023) – H2020-SESAR-2019-1

The IR wave 2 call aims to enable the flexibility needed to align future research with the results of wave 1, reassess relative priorities and ensure the best value for money for the EU and delivery against the single European sky goals. This call will also allow for the completion of those candidate SESAR solutions that were not planned to be delivered to maturity level V3 within wave 1. Finally, it will allow strategic input for assessing new projects from the Master Plan update and to build on the results of the outcome of exploratory research projects from the ER1 call to increase the maturity of the research towards future solutions.

Furthermore, the results from the 12 IR wave 2 projects will provide the basis for setting up a changed ecosystem for aviation, and more specifically to modernise the underlying ATM infrastructure. This ecosystem will mainly be built upon ATM solutions characterised by:

- higher levels of autonomy and connectivity of all air vehicles, coupled with more automated traffic management;
- digital and automated tools provided on board the air vehicle itself or as part of the ground-based infrastructure;
- virtual technologies to decouple the physical infrastructure, such as sensors, communication devices and navigation devices, from the services that are provided to manage the airspace;
- big data analytics and open-source data usage to encourage the creation of new services;
- system modularity to allow scalable and easier upgrades and greater interoperability.

All solutions referred to in the following tables are being developed through IR wave 2 projects launched in Q4 2019.



High-performing airport operations

The high-performing airport operations industrial research projects will deliver the results (candidate SESAR solutions) in 2022.

Candidate SESAR solution reference	Candidate SESAR solution title	Expected maturity level by mid-2023	ATM system upgrade phase	European ATM Master Plan KPAs to which the solution contributes
PJ02 W2-04 (wave 2)	Advanced geometric GNSS-based procedures in the TMA	V2	Phase C – regional, trajectory-based, flight- and flow-centric operations	Capacity Operational efficiency (fuel and CO ₂ reduction) Predictability Safety Cost-efficiency Flexibility
PJ02 W2-14 (wave 2)	Evolution of separation minima for increased runway throughput	V2	Phase C – regional, trajectory-based, flight- and flow-centric operations	Capacity Operational efficiency (fuel and CO ₂ reduction) Resilience Safety Human performance
PJ02 W2-21 (wave 2)	Digital evolution of integrated surface management	V3	Phase C – regional, trajectory-based, flight- and flow-centric operations	Capacity Predictability Safety Human performance
PJ02 W2-17 (wave 2)	Improved access to secondary airports	V3	Phase C – regional, trajectory-based, flight- and flow-centric operations	Capacity Predictability Safety
PJ02 W2-25 (wave 2)	Safety support tools for avoiding runway excursions	V3	Phase B – efficient services and infrastructure delivery	Safety Human performance Interoperability Cost-efficiency Capacity
PJ04 W2-28 (wave 2)	Enhanced collaborative airport performance planning and monitoring	V3	Phase C – regional, trajectory-based, flight- and flow-centric operations	Predictability Punctuality Operational efficiency (fuel and CO ₂ reduction) Resilience



PJ04 W2-29 (wave 2)	Digital collaborative airport performance management	V3	Phase C – regional, trajectory-based, flight- and flow-centric operations	Capacity Predictability Punctuality Operational efficiency (fuel & CO ₂ reduction)
PJ05 W2-35 (wave 2)	Multiple remote towers and remote tower centre	V3	Phase C – regional, trajectory-based, flight- and flow-centric operations	Cost-efficiency Access and equity Human performance
PJ05 W2-97 (wave 2)	Human-machine interface (HMI) interaction modes for airport tower	TRL4	Phase C – regional, trajectory-based, flight- and flow-centric operations	Capacity Cost-efficiency Safety



Optimised ATM network services

The optimised ATM network services industrial research projects will deliver the results (candidate SESAR solutions) in 2022.

Candidate SESAR solution reference	Candidate SESAR solution title	Expected maturity level by mid-2023	ATM system upgrade phase	European ATM Master Plan KPAs to which the solution contributes
PJ07 W2-38 (wave 2)	Enhanced integration of civil airspace user trajectory definition and network management processes	V3	Phase C – regional, trajectory-based, flight- and flow-centric operations	Operational efficiency (fuel and CO ₂ reduction) Predictability Flexibility Access and equity
PJ07 W2-40 (wave 2)	Mission trajectories management with integrated dynamic mobile areas Type 1 and Type 2	V3	Phase C – regional, trajectory-based, flight- and flow-centric operations	Cost-efficiency Safety Operational efficiency (fuel and CO ₂ reduction) Capacity Predictability Human performance Cost-efficiency Flexibility Civil/military cooperation and coordination Access and equity

PJ07 W2-39 (wave 2)	Collaborative framework managing delay constraints on arrivals	V3	Phase C – regional, trajectory-based, flight- and flow- centric operations	Predictability Flexibility Access and equity
PJ09 W2-44 (wave 2)	Dynamic airspace configurations	V3	Phase C – regional, trajectory-based, flight- and flow- centric operations	Cost-efficiency Safety Operational efficiency (fuel and CO ₂ reduction) Capacity Predictability Human performance Cost-efficiency Flexibility Civil/military cooperation and coordination Access and equity
PJ09 W2-45 (wave 2)	Enhanced network traffic prediction and shared complexity representation	V3	Phase C – regional, trajectory-based, flight- and flow- centric operations	Safety Operational efficiency (fuel and CO ₂ reduction) Capacity Cost-efficiency Predictability Flexibility Access and equity
PJ09 W2-49 (wave 2)	Collaborative network performance management	V3	Phase C – regional, trajectory-based, flight- and flow- centric operations	Safety Operational efficiency (fuel and CO ₂ reduction) Predictability Cost-efficiency Capacity Flexibility Security Access and equity



Advanced air traffic services

The advanced ATSS industrial research projects will deliver the results (candidate SESAR solutions) in 2022.

Candidate SESAR solution reference	Candidate SESAR solution title	Expected maturity level by mid-2023	ATM system upgrade phase	European ATM Master Plan KPAs to which the solution contributes
PJ01 W2-08 (wave 2)	Dynamic extended TMA for advanced continuous climb and descent operations and improved arrival and departure operations	V3	Phase B – efficient services and infrastructure delivery	Capacity Predictability Safety Cost-efficiency Operational efficiency (fuel and CO ₂ reduction) Flexibility
PJ01 W2-06 (wave 2)	Advanced rotorcraft operations in the TMA	V2	Phase B – efficient services and infrastructure delivery	Capacity Predictability Safety Operational efficiency
PJ10 W2-73 (wave 2)	Flight-centric ATC and improved distribution of separation responsibility in ATC	V3	Phase C – regional, trajectory-based, flight- and flow-centric operations	Capacity Flexibility Cost-efficiency Human performance Operational efficiency Predictability
PJ10 W2-93 (wave 2)	Delegation of airspace among ATSUUs	V3	Phase C – regional, trajectory-based, flight- and flow-centric operations	Capacity Operational efficiency Safety Human performance Cost-efficiency
PJ10 W2-96 (wave 2)	HMI interaction modes for ATC centre	TRL6	Phase C – regional, trajectory-based, flight- and flow-centric operations	Capacity Safety Human performance Cost-efficiency
PJ13 W2-111 (wave 2)	Collision avoidance for IFR RPASs	V3	Phase B – efficient services and infrastructure delivery	Safety Interoperability
PJ13 W2-115 (wave 2)	IFR RPAS accommodation in airspace Classes A to C	V3	Phase C – regional, trajectory-based, flight- and flow-centric operations	Safety Interoperability
PJ13 W2-117 (wave 2)	IFR RPAS integration in airspace Classes A to C	V2	Phase C – regional, trajectory-based, flight- and flow-centric operations	Safety Interoperability

PJ18 W2-53 (wave 2)	Improved ground trajectory predictions enabling future automation tools	V3	Phase C – regional, trajectory-based, flight- and flow-centric operations	Capacity Operational efficiency Predictability Safety Human performance Cost-efficiency
PJ18 W2-56 (wave 2)	Improved vertical profiles through enhanced vertical clearances	V2	Phase C – regional, trajectory-based, flight- and flow-centric operations	Capacity Operational efficiency Predictability Safety Human performance
PJ18 W2-57 (wave 2)	Reference business trajectory revision supported by data link and increased automation	V2	Phase C – regional, trajectory-based, flight- and flow-centric operations	Capacity Operational efficiency Predictability Safety Human performance
PJ18 W2-88 (wave 2)	Trajectory prediction service	TRL4	Phase C – regional, trajectory-based, flight- and flow-centric operations	Cost-efficiency Interoperability



Enabling aviation infrastructure

The enabling aviation infrastructure industrial research projects will deliver the results in 2021 and 2022. These projects are not mapped against the European ATM Master Plan KPAs, as their role in the work programme is to support the achievement of performance targets through operational projects. Similarly, the maturity of the candidate solutions in these projects is indicated according to the TRL criteria and not according to the European operational concept validation methodology like other key features.

Candidate SESAR solution reference	Candidate SESAR solution title	Expected maturity level by mid 2023	ATM system upgrade phase
PJ14 W2-76 (wave 2)	Integrated CNS and spectrum	TRL4	Phase C – regional, trajectory-based, flight- and flow-centric operations
PJ14 W2-77 (wave 2)	Future communication infrastructure services	TRL6	Phase C – regional, trajectory-based, flight- and flow-centric operations
PJ14 W2-60 (wave 2)	Future communication infrastructure terrestrial data link and alternative position, navigation and timing enabler (L-band	TRL6	Phase C – regional, trajectory-based, flight- and flow-centric operations

	Digital Aeronautical Communications System (LDACS))		
PJ14 W2-107 (wave 2)	Future satellite communications data link	TRL6; ongoing	Phase C – regional, trajectory-based, flight- and flow-centric operations
PJ14 W2-61 (wave 2)	Hyper-connected ATM	TRL2	Phase C – regional, trajectory-based, flight- and flow-centric operations
PJ14 W2-81 (wave 2)	Long-term alternative position, navigation and timing	TRL4	Phase C – regional, trajectory-based, flight- and flow-centric operations
PJ14 W2-79 (wave 2)	Dual-frequency multi-constellation (DFMC) GNSS / satellite- and ground-based augmentation systems (SBAS and GBAS)	TRL6	Phase C – regional, trajectory-based, flight- and flow-centric operations
PJ14 W2-110 (wave 2)	Aircraft as an aeronautical information management / meteorological sensor and consumer	TRL4	Phase B – efficient services and infrastructure delivery
PJ14 W2-83 (wave 2)	Surveillance performance monitoring	TRL6	Phase C – regional, trajectory-based, flight- and flow-centric operations
PJ14 W2-84 (wave 2)	New use and evolution of cooperative and non-cooperative surveillance	TRL6	Phase C – regional, trajectory-based, flight- and flow-centric operations
PJ17 W2-100 (wave 2)	System-wide information management (SWIM) technical infrastructure purple profile for air/ground safety-critical information-sharing	TRL4	Phase C – regional, trajectory-based, flight- and flow-centric operations
PJ17 W2-101 (wave 2)	SWIM technical infrastructure green profile for ground/ground civil–military information-sharing	TRL6	Phase C – regional, trajectory-based, flight- and flow-centric operations

Table 6: Delivery of candidate SESAR solutions in 2022 (under the call for proposals with reference H2020-SESAR-2019-1)

2.2.3.1.2 *IR wave 3 projects (from 2020 to mid 2023) – H2020-SESAR-2020-2*

The objective of the wave 3 call for proposals is to optimise coverage of the R&I topics identified in the SESAR 2020 programme as necessary to address phase C of the European ATM Master Plan taking due account of the outcome of the airspace architecture study.

The three IR wave 3 projects are developing their solutions with a particular focus on virtual centre operations, the increased flexibility in the allocation of ATCOs from a specific system rather than a specific geographical area perspective, and the further development of the collaborative ATM and U-

space environment for the simultaneous operation of drones and manned aviation. The projects co-financed under this call are expected to deliver the results (candidate SESAR solutions) set out in Table 9 in 2022.

Candidate SESAR solution reference	Candidate SESAR solution title	Expected maturity level by mid 2023	ATM system upgrade phase	European ATM Master Plan KPAs to which the solution contributes
PJ32 W3-01	ATFCM aspects of airspace delegation across ATSUs	V2	Phase C – regional, trajectory-based, flight- and flow-centric operations	Cost-efficiency Human performance Capacity
PJ33 W3-01a	Increased flexibility in ATCO validation supported by advanced controller assistance systems and procedures	V2	Phase C – regional, trajectory-based, flight- and flow-centric operations	Cost-efficiency Human performance Capacity
PJ33 W3-01b	Generic controller validations	V2	Phase C – regional, trajectory-based, flight- and flow-centric operations	Cost-efficiency Human performance Capacity
PJ33 W3-02	LDACS digital voice capability	TRL4	Phase C – regional, trajectory-based, flight- and flow-centric operations	Cost-efficiency
PJ34 W3-01	Collaborative U-space ATM interface	V2	Phase C – regional, trajectory-based, flight- and flow-centric operations	Cost-efficiency Human performance Safety
PJ34 W3-02	Highly-automated collaborative U-space ATM interface	V1	Phase C – regional, trajectory-based, flight- and flow-centric operations	Cost-efficiency Human performance Safety

Table 7: Delivery of candidate SESAR solutions by mid-2023 (under the call for proposals with reference H2020-SESAR-2020-2)

2.2.3.2 Digital European Sky programme

The main activities will cover the finalisation of the call material related to industrial research and fast-track innovation and uptake topics and the publication of the S3 IR1 call (HORIZON-SESAR-2022-DES-IR-01). Following the call deadline, the proposals received will be evaluated according to the award criteria. The selected proposals will initiate their grant preparation phase, which will be concluded by the signing of the grants and the initiation of the execution of the projects.

2.2.3.3 Objectives, activities, indicators and targets

	Objectives	Activities	Indicators	Target for 2022	Target for 2023
SESAR 2020	Execute validation exercises of release 11	Execute validation exercises of release 11	Percentage of release 11 solution validation exercises completed	100 %	n/a

	Objectives	Activities	Indicators	Target for 2022	Target for 2023
Digital European sky	Close release 11	Close release 11	Delivery of the release 11 close-out report	Report available and approved by the Programme Committee	n/a
	Execute validation exercises of release 12	Execute validation exercises of release 12	Percentage of release 12 (candidate) solution validation exercises completed	90 %	10 %
	Supervise and close IR-VLD wave 2 projects (under call for proposals H2020-SESAR-2019-1)	Execution of the Horizon 2020 reporting and payment process	Percentage of wave 2 project reviews completed	100 %	n/a
		Delivery of project results and closure of grants	Percentage of wave 2 projects that have submitted their final Horizon 2020 technical and financial report	40 %	100 %
	Supervise and close IR-VLD wave 3 projects (under call for proposals H2020-SESAR-2020-2)	Execution of the Horizon 2020 reporting and payment process	Percentage of wave 3 project reviews completed	100 %	n/a
		Delivery of project results and closure of grants	Percentage of wave 3 projects that have submitted their final Horizon 2020 technical and financial report	20 %	100 %
Digital European sky	Launch of call HORIZON-SESAR-2022-DES-IR-01 (S3 IR1) and evaluation of the proposals	Run the call for proposals and evaluation procedure	Evaluation report prepared in view of its	n/a	100 %

Objectives	Activities	Indicators	Target for 2022	Target for 2023
		submission to the ED		
	Run the grant preparation phase	Grant agreement preparation and signing completed	n/a	100 %
	Supervise industrial research projects (under call for proposals HORIZON-SESAR-2022-DES-IR-01)	Ramp up the projects Percentage of IR1 projects that have their project management plans approved	n/a	100 %

Table 8: Objectives, activities, indicators and targets for IR in 2022 and 2023

2.2.4 Strategic area of operation 4: Facilitate an accelerated market uptake of SESAR Solutions

2.2.4.1 SESAR 2020 programme

VLDs are designed to help bridge the gap between the development and deployment phases of the SESAR programme; they are not designed to replace either type of activity. VLDs use early versions of end-user systems and include the integration of new technology elements into existing systems when needed and when possible. As such, VLDs will mostly derive from work matured through an earlier phase of industrial research.

From 2022 to 2023, the SESAR 3 JU will, in the context of VLDs, supervise and ensure the final delivery of the wave 2, wave 3 and VLD Open 2 projects, then close the grant agreements by mid 2023. The SESAR 3 JU will then ensure the financial and administrative closure of the grant agreements by the end of 2023.

2.2.4.1.1 VLD wave 2 projects (from 2019 to mid 2023) – H2020-SESAR-2019-1

The three VLD projects demonstrate the following ATM solutions:

- runway enhanced approaches (e.g. increased glide slope and second runway aiming point) supported by advanced GNSS navigation technologies with business jets and commercial aircraft;
- traffic alerts for pilots during runway operations to prevent runway incursion and aircraft collision addressing both mainline and business aviation;
- fundamental changes in wake turbulence separation minima, safe and efficient runway use tailored to the individual aircraft level using new technology and analytics, and reduced radar separation minima on final approach.

A summary of the VLD wave 2 projects launched into execution by the end of October 2020 is provided in able.

Project reference	Project title	Short project description	Maximum grant amount (EUR)
VLD01 W2 DREAMS	Demonstration of runway enhanced approaches made with satellite navigation	The project intends to demonstrate how GNSS technology can bring benefits in terms of enhancing arrival procedures developed in wave 1. The demonstration will cover business jets and mainline aircraft and will pioneer flights on GBAS CAT-III operations	4 481 278
VLD02 W2 STAIRS	Airport surface management, airport safety nets and airborne traffic situation awareness	The project intends to demonstrate the use of specific avionics (validated within wave 1) that provide traffic alerts for pilots during runway operations to prevent runway incursion and aircraft collision. The demonstration will address both mainline and business aviation solutions during the life cycle of the demonstration project; the systems will go through a full certification review process to ensure compliance with the applicable certification specification and to be ready for deployment	3 948 760
VLD03 W2 SORT	Safely optimised runway throughput	The projects aims to demonstrate fundamental changes in wake turbulence separation minima, safe and efficient runway use tailored to the individual aircraft level using new technology and analytics, and reduced radar separation minima on final approach	4 500 000

Table 9: Ongoing Wave 2 VLD projects in 2022 (under the call for proposals with reference H2020-SESAR-2019-1)

2.2.4.1.2 VLD wave 3 projects (from 2020 to mid 2023) – H2020-SESAR-2020-2

The two VLD projects (Table 12) demonstrate the following ATM solutions:

- integrating time-based separation for final approach, arrival management (AMAN) extended to en-route airspace (E-AMAN) and required navigation performance (RNP)-based operations in the terminal airspace (RNP 1) with pairwise wake separation minima and flight-deck interval management;
- operational use of automatic dependent surveillance contract (ADS-C) data demonstrating both the improvements that can be achieved in many common operational situations that ATCOs have to manage and the efficiency and robustness of technological infrastructure to support the exchange of trajectory-related information between the aircraft and various ground consumers using data link communications.

Project reference	Project title	Short project description	Maximum grant amount (EUR)
PJ37 ITARO	Integrated TMA, airport and runway operations	The project intends to demonstrate integrating time-based separation for final approach, AMAN extended to en-route airspace (E-AMAN) and RNP-based operations in the terminal airspace (RNP 1) with pairwise wake separation minima and flight-deck interval management	4 292 802
PJ38 ADSCENSIO	ADS-C enables and supports improved ATM operations	The project intends to expand the operational use of ADS-C data demonstrating both the improvements that can be achieved in many common operational situations that ATCOs have to manage and the efficiency and robustness of technological infrastructure to support the exchange of trajectory-related information between the aircraft and various ground consumers using data link communications	6 759 969

VLD Table 10: Ongoing wave 3 VLD projects in 2022 under the Wave 3 call for proposals

2.2.4.1.3 Open 2 projects (from 2020 to mid 2023) – H2020-SESAR-2020-1

The eight demonstration activities will mainly address:

- the safe integration of drones with the demonstration of U-space capabilities and services to enable UAM using different unmanned platforms, such as passenger electric VTOL vehicles and hydrogen fuel cell VTOL vehicles, combined with manned aviation in an authentic urban environment;
- the demonstration of ATM operations mitigating aviation's environmental footprint and contributing to the reduction of CO₂ emissions, with the target being flights with zero waste of fuel and CO₂ emissions (i.e. fuel consumption as close as possible to the theoretical optimum);
- the demonstration of the use of satellite-based VHF systems providing voice and data link communications to allow ATS traffic in remote airspace to be handled in the same way as in a continental environment, and that current separation can be reduced without compromising safety.

A summary of each project can be found in table.

Project reference	Project title	Short project description	Maximum grant amount (EUR)
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ALBATROSS	ALBATROSS: The most energy efficient flying bird	The project will demonstrate a 'perfect zero fuel and CO ₂ emissions waste flight' in real conditions, through a series of live trials in various European operating environments and adopting the following approach: calculate the optimum flight profile, check ATM constraints and try to relax them, then finalise the optimum flight	3 996 331
AMU-LED	Air mobility urban – Large experimental demonstrations	The project proposes to design and deliver a detailed concept of operations and a definition of urban air missions followed by a large real-flight demonstration campaign to (1) address various use cases applicable to logistics and the urban transport of passengers, (2) design or integrate a UAM environment, (3) test the UAS ground and airborne platforms and (4) assess safety, security, sustainability and public acceptance	3 997 415
CORUS-XUAM	Concept of operations for European U-space services – Extension for UAM	The project will update the U-space concept of operations, addressing the integration of UAM/UAS operations into the airspace, also identifying new U3/U4 services. The project will then combine flights by electric VTOL vehicles with other traffic and operations in the controlled traffic regions of major airports with a focus on different types of missions, such as passenger transport, logistic, delivery, emergency response and surveillance missions, using different U-space deployment architectures and state-of-the-art technologies	3 999 389
GOF2.0	GOF2.0 integrated urban airspace VLD	The project will demonstrate the operational validity of serving combined UAS, electric VTOL and manned operations in a unified, dense urban airspace using current ATM and U-space services and systems. The demonstrations focus on the validation of the GOF 2.0 architecture for highly automated real-time separation assurance in dense airspace including precision weather and telecommunications networks for air-ground communication	3 911 774

SAFIR-Med	Safe and flexible integration of advanced U-space services focusing on medical air mobility	The project will demonstrate the integration of medical air mobility unmanned aerial vehicle (UAV) platforms and services within a real urban environment. Technologies of all partners will be leveraged to make use of the maximum number of U-space services, working towards the highest possible operational safety level, including advanced detect-and-avoid U-space services	2 038 609
TINDAiR	Tactical instrumental deconfliction and in-flight resolution	The project will demonstrate the safe integration of UAM as an additional airspace user. The results of the VLD will help to refine the safety, performance, standardisation and regulatory requirements to enable UAM. The VLD will include the execution of flight demonstrations in accordance with the safety conditions	3 269 884
Uspace4UAM	U-space for UAM	The project will bridge the gap between development and deployment for U-space capabilities and services that will enable a safe introduction of UAM in Europe. It will study safety cases and their impact on system requirements, and it will look at how regulation and standardisation can be set up to support innovators to build a sustainable business case while operating safely in a multimodal transport network	3 999 966
VLD2-VOICE	Reduced separations and improved efficiency based on VHF communications over low Earth orbit satellites	The project will demonstrate that with the use of satellite-based VHF systems providing voice and data link ATS, traffic in remote airspace can be handled in the same way as in a continental environment, and current separation can be reduced without compromising safety. The demonstration will cover operations in Canarias and Sal flight information regions, where ATCOs communicate in real time with aircraft at distances greater than 1 500 km	3 989 808

Table 11: Ongoing VLD Open 2 projects in 2022 (under the call for proposals with reference H2020-SESAR-2020-1)

2.2.4.2 Digital European Sky programme

The main activities will consist in providing technical support to CINEA for the call for proposals CEF-T-2021-SIMOBGEN. CINEA will remain responsible for the contractual management of the grants,

while the SESAR 3 JU will provide technical expertise, in particular during the evaluation of the proposals and the grant preparation phase. As soon as the grants are signed by CINEA, the SESAR 3 JU will closely monitor the projects launched to ensure their alignment with the SESAR 3 JU expectations. This technical monitoring will be closely coordinated with CINEA.

The technical specifications for a second Digital Sky Demonstrator call will be defined by the SESAR 3 JU with the objective being to finalise the launch of the call in Q3 2022. It is expected that the evaluation of the proposals will be completed in Q2 2023 and that the resulting grants will be signed by Q4 2023.

2.2.4.3 Objectives, activities, indicators and targets

	Objectives	Activities	Indicators	Target for 2022	Target for 2023
SESAR 3 JU	Facilitate an accelerated market uptake of SESAR solutions (for VLDs and Digital Sky Demonstrators)	Organising and coordinating large-scale demonstration activities	Level of compliance of the JU with the regulatory requirements on facilitating market uptake	100 %	100 %
		Coordinating closely with the European Union Aviation Safety Agency (EASA) to enable timely development by EASA of regulatory measures that fall under the EASA basic regulation and the relevant implementing rules			
SESAR 2020	Supervise and close IR-VLD wave 2 projects (under call for proposals H2020-SESAR-2019-1)	Supporting the related standardisation activities, in close cooperation with standardisation bodies and EASA, as well as with the entity established to coordinate the tasks of the SESAR deployment phase in line with Commission Implementing Regulation (EU) No 409/2013			
		Execution of the Horizon 2020 reporting and payment process	Percentage of wave 2 project reviews completed	100 %	n/a
		Delivery of project results and closure of grants	Percentage of wave 2 projects that have submitted their final Horizon 2020 technical and financial report	n/a	100 %
	Supervise and close IR-VLD wave 3 projects (under call	Execution of the Horizon 2020 reporting and payment process	Percentage of wave 3 projects review completed	100 %	n/a

	Objectives	Activities	Indicators	Target for 2022	Target for 2023
Digital European sky	for proposals H2020-SESAR-2020-2)	Delivery of project results and closure of grants	Percentage of wave 3 projects that have submitted their final Horizon 2020 technical and financial report	n/a	100 %
	Supervise and close VLD Open 2 projects (under call for proposals H2020-SESAR-2020-1)	Execution of the Horizon 2020 reporting and payment process	Percentage of VLD Open 2 call project reviews completed	100 %	n/a
		Delivery of project results and closure of grants	Percentage of VLD Open 2 call projects that have submitted their final Horizon 2020 technical and financial report	n/a	100 %
	Provide technical expertise to CINEA for call for proposals CEF-T-2021-SIMOBGEN	Finalisation of the launch of the call and beginning of project implementation	Percentage of the targeted number of grant agreements signed for S3 DSD1 projects (main list)	100 %	n/a
			Percentage of the S3 DSD1 projects having their project management plan approved	100 %	n/a
	Provide technical expertise to CINEA for call for proposals S3 DSD1b	Finalisation of the launch of the call	Contribution to the technical specifications	100 %	n/a
		Call evaluation and beginning of project implementation	Contribution to the evaluation	n/a	100 %

Table 12: Objectives, indicators and targets for VLDs and DSDs in 2022 and 2023

2.2.5 Strategic area of operation 5: Deliver SESAR outreach (cooperation, synergies and cross-cutting themes and activities)

2.2.5.1 Synergies

2.2.5.1.1 Maximising synergies across Horizon Europe and in particular with the Clean Aviation Joint Undertaking

In 2022 and 2023, the SESAR 3 JU will seek to put in place measures to maximise its impact using all possible synergies with other European partnerships and related national activities, consistent with the expectations laid down in the Single Basic Act.

As a first step, the SESAR 3 JU will reach out to other mobility joint undertakings to explore possibilities for building consolidated roadmaps and action plans for climate-neutral mobility solutions. The aim is to address common sectorial issues such as multimodality transport, automated vehicles and the decarbonisation of the sector. In particular, the SESAR 3 JU will seek to establish coordination with the European partnership for clean aviation, namely the Clean Aviation Joint Undertaking, during the course of 2022. Opportunities will be sought for complementary or joint activities, notably joint demonstration activities, which would enable the two programmes to demonstrate in practice the complementarities and synergies between them. Such coordination could also allow the programmes to evaluate the combined benefits and impact of particular solutions, in particular the measurement of the aggregated effect of green operations and green aircraft on the achievement of the overall decarbonisation goal. To facilitate joint demonstration activities, there will need to be a sufficiently flexible funding framework in place.

An initial set of potential areas to demonstrate these synergy effects has been identified (this list is not exhaustive):

- combined simulations
- performance and impact assessment
- autonomous operations
- airport infrastructure for new vehicles.

As a second step, the SESAR 3 JU will explore opportunities for synergies with the European partnerships in the cluster ‘digital, industry and space’, given that the digital transformation of aviation is at the core of the SESAR 3 JU’s goals. For example, AI, cybersecurity and high-performance computing are cross-sectorial issues that require significant coordination, especially for the development of use cases and the application of European standards. In addition, these partnerships will contribute to the achievement of the European space policy. According to the European ATM Master Plan, satellite communication, navigation and surveillance services are considered essential enablers of the Digital European Sky. Therefore, the partnership will build on the achievements of SESAR 2020 in the space domain to further engage the space actors in the innovation ecosystem.

2.2.5.1.2 Synergies with CINEA

The implementation of Digital Sky Demonstrators as part of the Digital European Sky programme requires strong cooperation with CINEA, as in Section 2.2.4.2 of this chapter.

2.2.5.1.3 Coherence and synergies in relation to major national (sectorial) policies, programmes and activities

The SESAR 3 JU will also seek to exploit all possible synergies in relation to major national (sectorial) policies, programmes and activities (such as those that will be part of the Recovery and Resilience Facility – an EU stimulus package – to ensure maximum levels of complementarity and impact). It will aim to leverage local investments and complement the R&I needs by looking at the wider European goals and applications.

During 2022 and 2023, the SESAR 3 JU will explore opportunities for coordination with national and regional initiatives, consulting widely through the newly established States’ Representatives Group.

2.2.5.2 *Stakeholder engagement*

The SESAR 3 JU's outreach work during 2022–2023 will target and involve a wide range of SESAR 3 JU member and stakeholder organisations. This outreach aims to secure the involvement of stakeholders in the SESAR 3 JU's R&I activities, including in support of validating SESAR solutions, as well as to ensure close coordination and, where appropriate, alignment with activities delivered by other organisations, but which are of strategic importance to the success of the SESAR project, such as standardisation.

2.2.5.2.1 *Institutional stakeholders*

The SESAR 3 JU will maintain close relations with its key institutional stakeholders, such as the European Parliament, the European Council and the European Commission, along with EUROCONTROL, to ensure that its activities are aligned with and take into account developments in the EU's policy on ATM. It will also establish appropriate cooperation and coordination with the following organisations, including through formal cooperative arrangements when appropriate.

EASA. The service-level agreement (SLA) between the SESAR 3 JU and EASA secures close collaboration between these organisations to ensure an early exchange of knowledge on new technologies being developed, thereby facilitating the certification and regulatory process of resulting products and services, and ultimately accelerating market uptake of SESAR solutions. The arrangements will allow EASA to contribute expertise in support of key SESAR project activities and, at the same time, allow the SESAR 3 JU to provide support to EASA in European and international activities that relate to securing the necessary safety, security and regulatory arrangements.

European Defence Agency (EDA). Through its memorandum of cooperation with the EDA, the SESAR 3 JU will secure support and buy-in from the military community (in their roles as ANSPs, airport operators, airspace users and regulators) in relation to SESAR 3 JU activities and the European ATM Master Plan. In particular, areas of common interest include the European ATM Master Plan, regulations, space-based systems, the integration of UAS, cybersecurity threats and vulnerabilities of ATM, and the development of aviation/ATM standards.

European Space Agency (ESA). Through its memorandum of cooperation with ESA, the SESAR 3 JU will secure strategic cooperation to coordinate roadmaps, specifically in relation to the integrated CNS strategy defined in the European ATM Master Plan, defining the role of satellite communications as an element of importance for the future enabling CNS infrastructure for ATM.

EU Agency for the Space Programme. The SESAR 3 JU will seek coordination in relation to the role of the European Geostationary Navigation Overlay Service (EGNOS) and Galileo in the future multifrequency, multi-constellation GNSS system.

2.2.5.2.2 *Industry stakeholders*

The SESAR 3 JU will foster strong ties with key European stakeholder groups, including, in particular, the following.

SESAR deployment. The SESAR 3 JU will establish formal cooperation with the entity established to coordinate the tasks of the SESAR deployment phase to ensure the necessary connections between SESAR research, development, innovation and validation activities and SESAR deployment.

Advisory Council for Aviation Research and Innovation in Europe (ACARE). The SESAR 3 JU will participate in the advisory council to ensure the appropriate representation of ATM in the European strategic R&I agenda, and to secure the link with *Flightpath 2050*.

Standardisation bodies. The contribution of the SESAR 3 JU to the development of European standards is of key importance in helping accelerate market uptake of SESAR solutions. During 2022–2023, the SESAR 3 JU will seek to intensify its engagement with relevant standards development organisations and in particular EUROCAE through strengthening the pre-existing memorandum of understanding reflecting the new regulatory obligations of the SESAR 3 JU. The SESAR 3 JU will continue to participate actively in the EUROCAE Council and the Technical Advisory Committee, as well as the European ATM Standards Coordination Group and the European UAS Standards Coordination Group. The aim is to secure close collaboration between the SESAR 3 JU members and the availability of SESAR material in support of standardisation, and to enable SESAR material to be used to plan the effective development of standards to support European regulation, international standardisation and the delivery of the European ATM Master Plan and the ICAO global air navigation plan (GANP).

ANS providers (ANSPs). The SESAR 3 JU will work closely with the Civil Air Navigation Services Organisation (CANSO) to ensure the broadest possible awareness of SESAR 3 JU activities and to secure engagement and buy-in from ANSPs, including those outside the membership of the SESAR 3 JU.

Professional staff organisations. The SESAR 3 JU will put in place arrangements to secure the support of different professional staff associations to provide operational and technical knowledge of direct relevance to the successful delivery of SESAR results and solutions. This will also serve to enhance the buy-in of front-end users in relation to ATM modernisation and SESAR solutions.

Civil and military airspace users. The SESAR 3 JU will continue to reach out to airspace user organisations to secure awareness of and commitment to its work and activities, including putting in place arrangements to secure, where appropriate, their technical expertise and advice for project-related activities.

European airports. The SESAR 3 JU will work closely with European airports and the Airports Council International (ACI) on airport-related activities in its work programme to secure airports' active engagement and to raise awareness of SESAR among airport partners, including through events such as roadshows and conferences.

New entrants. New innovative airspace users and organisations in the field of unmanned traffic management / U-space, UASs and HAO will be approached based on relevant EU strategies and on a case-by-case basis to find the most efficient mechanism of cooperation for the benefit of SESAR 3 JU tasks and activities.

Small and medium-sized enterprises (SMEs) and start-ups. The SESAR 3 JU will seek opportunities to reach out to SMEs and start-ups to associate them with its activities and thereby help stimulate and scale up the R&I network. This will include exploring the possibilities to put in place cooperative arrangements to inform and involve this community, for example with the European Aerospace Cluster Partnership sponsored by the Directorate-General for Internal Market, Industry, Entrepreneurship and SMEs and the European Start-Up Prize for Mobility under the patronage of the European Parliament.

2.2.5.3 Cooperation with non-EU countries and international organisations

Pursuant to its strategy for cooperation with third countries and international organisations, the SESAR 3 JU will continue during 2022–2023 to engage actively with key international partners in support of global interoperability and harmonisation. It will do so in close coordination with the

European Commission to ensure consistency and alignment with the EU's broader aviation strategy, in particular its external affairs dimension.

At the global level, in relation to ICAO, the SESAR 3 JU will maintain active participation and collaboration under the leadership of the European Commission. This will include participation in the preparation of Europe's contributions to the 41st ICAO Assembly in 2022. The SESAR 3 JU will also participate in the ICAO GANP Study Group, which oversees the future evolution of the ICAO GANP. The alignment between the ICAO GANP, the ATM Master Plan and the SESAR 2020 programme is essential to de-risk development towards deployment.

The SESAR 3 JU will maintain and intensify its close collaboration with the US Federal Aviation Administration (FAA) and its next generation air transportation system (NextGen) programme under the umbrella of the EU–US memorandum of cooperation on ATM modernisation, civil aviation research and development, and global interoperability. The existing cooperative arrangements with other international partners covering the ATM domain, whether of the SESAR 3 JU or at the level of the European Commission or the EU, will be further enhanced during 2022–2023 as SESAR solutions evolve and are deployed. This includes arrangements with Georgia, Japan, Qatar and Singapore. The SESAR 3 JU will also work closely with the European Commission and other SESAR members to identify and leverage opportunities to extend and deepen international collaboration, including under EU technical cooperation projects with China, Latin America, South Asia and South-East Asia. In doing so, the SESAR 3 JU will closely follow the policies of the EU and the needs of the EU aviation strategy and of the single European sky framework.

2.2.5.4 Objectives, activities, indicators and targets

	Objectives	Activities	Indicators	Target for 2022	Target for 2023
SESAR 3 JU	Ensure global ATM interoperability and harmonisation based on SESAR solutions	Active engagement with international partners through ICAO and bilaterally, including via cooperative arrangements with key partners	Coverage of ICAO GANP aviation system block upgrade modules	Securing inputs to and outcomes from the 41st ICAO Assembly in 2022 that are aligned with the SESAR 3 JU's priorities and requirements	Active participation in the ICAO GANP Study Group
			Transparent progress towards interoperability and harmonisation between SESAR and NextGen	Prioritised work plan agreed with the FAA under the EU–US memorandum of cooperation	Progress against agreed work plan
			Number of cooperative arrangements in place with international partners	Actors and initiatives to be addressed as priority: • United States • Singapore • Japan • Qatar	Actors and initiatives to be addressed as priority: • United States • Singapore • Japan • Qatar
Digital European Sky	Seek and maximise synergies with relevant activities and programmes at EU, national and regional levels	Active engagement with relevant European partnerships and national or regional programmes or initiatives	Number of cooperative arrangements or engagements with relevant partnerships, programmes or initiatives	Actors and initiatives to be addressed as a priority: • Clean Aviation Joint Undertaking • national (sectorial) policies, programmes and activities	Actors and initiatives to be addressed as a priority: • Clean Aviation Joint Undertaking • national (sectorial) policies, programmes and activities

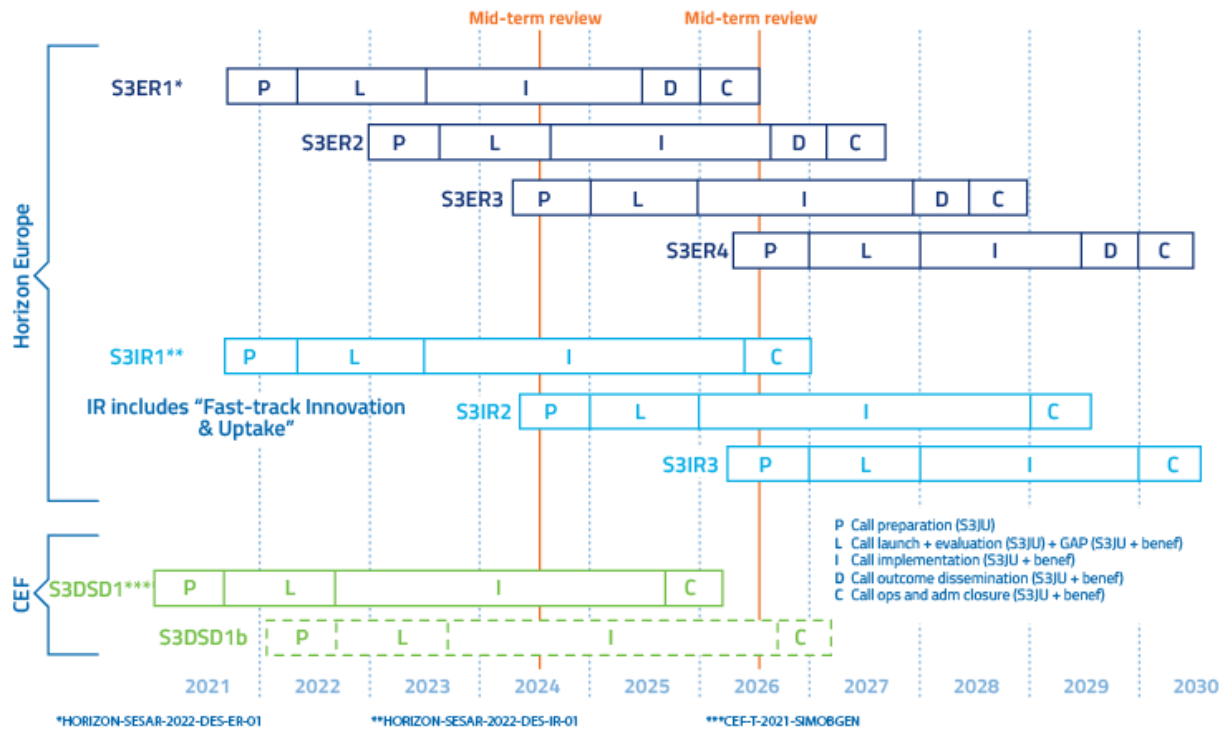
Objectives	Activities	Indicators	Target for 2022	Target for 2023
Secure the active involvement of the civil and military stakeholders of the aviation sector in the Digital European Sky R&I programme	Active cooperative arrangements or agreements with European stakeholders	Number of cooperative arrangements or agreements in place	Actors and initiatives to be addressed as a priority: • EASA • EDA • ESA • EUROCAE • airports (ACI Europe) • professional staff organisations	Actors and initiatives to be addressed as a priority: • EASA • EDA • ESA • EUROCAE • airports (ACI Europe) • professional staff organisations
Strengthen links with standards-making organisations in the context of the enlarged scope of the SESAR 3 JU	Develop an approach to better integrate standards identification, planning and application during industrialisation, by defining the stakeholder relationship with EUROCONTROL, EASA and EUROCAE in the scope of the SESAR 3 JU Single Basic Act	Level of the collaboration between the SESAR 3 JU, EASA, EUROCONTROL and other standards-developing organisations, in particular EUROCAE (to be reflected in the various standards coordination groups and market uptake)	Joint position paper with EASA and EUROCONTROL established, respecting the regulatory and statutory responsibilities of each organisation and the objective to better support industrialisation and market uptake Memorandum of understanding with EUROCAE renewed and updated to reflect the agreed needs of the SESAR 3 JU, EASA and EUROCONTROL	Agreed position described in the position paper with EASA and EUROCONTROL enacted through SESAR 3 JU contributions to EUROCAE and the standards coordination groups, while maintaining the tripartite collaboration

Table 13: Objectives, activities, indicators and targets for delivering SESAR outreach in 2022 and 2023

2.3 Calls for proposals

As defined in Article 5.2(a) of the Single Basic Act, the SESAR 3 JU will provide financial support, mainly in the form of grants, to R&I indirect actions, selected following open, transparent and competitive calls ensuring their openness for any newcomers.

Figure 4 provides an overview of the high-level planning and calls sequence of the Digital European Sky programme for 2021–2030.



The following activities are scheduled to take place in 2022 and 2023 in relation to the calls for proposals:

- the management of grant agreements through monitoring project implementation and the execution of payments in accordance with the financial circuit for the grant agreements within the Horizon 2020 set of rules on when reporting periods become due and/or projects close their work and final payment is due, namely:
 - the IR and VLD wave 2 grants resulting from restricted call for proposals H2020-SESAR-2019-1;
 - the IR and VLD wave 3 grants resulting from restricted call for proposals H2020-SESAR-2020-2;
 - the VLD Open 2 grants resulting from open call for proposals H2020-SESAR-2020-1;
 - the ER4 grants resulting from open call for proposals H2020-SESAR-2019-2;
- the management of three new calls for proposals within the Horizon Europe set of rules, the conditions of which are defined in Annex III, namely:
 - the open Digital European Sky ER1 call for proposals (HORIZON-SESAR-2022-DES-ER-01), including the launch, evaluation, award, grant agreement preparation and signing, as well as the launch of projects and the related management of grant agreements;

- the open Digital European Sky IR1 call for proposals (HORIZON-SESAR-2022-DES-IR-01), including the launch, evaluation, award, grant agreement preparation and signing, as well as the launch of projects and the related management of grant agreements;
- the open digital European sky ER2 call for proposals (HORIZON-SESAR-2023-DES-ER-02), including preparation and the launch of the call;
- the technical contribution to the evaluation and award of the proposals, and then the technical supervision of the projects launched as a result of the DSD1 call for proposals (CEF-T-2021-SIMOBGEN), which is launched and administratively managed (grant management) by CINEA;
- the technical contribution to the definition of topics, call management, and the evaluation and award of proposals, and then the technical supervision of the projects launched as a result of the DSD1 call for proposals (S3 DSD1b), which is planned to be launched and administratively managed (grant management) by CINEA.

Figure 5 presents the scope of the first set of calls for proposals of the Digital European Sky programme. In particular, it shows how the different SRIA flagships are covered across the R&I pillars. It also presents the budget allocated per work area (WA).

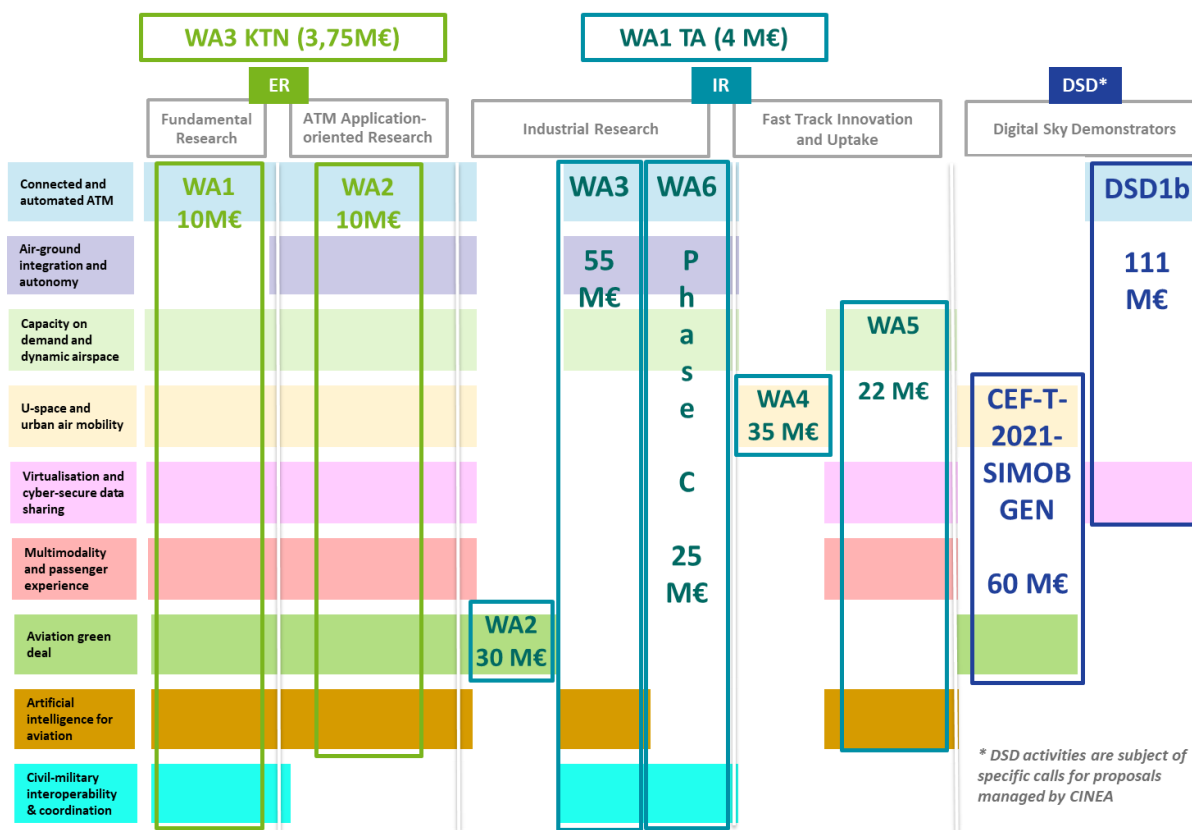


Figure 5: Coverage of the SRIA flagships through the first set of calls for proposals of the Digital European Sky programme

The topics of the calls and their full descriptions can be found in Annex III.

2.4 Calls for tender and other actions

As per Article 110 of the EU financial regulation ⁽¹⁰⁾, ‘a budgetary commitment shall be preceded by a financing decision adopted by the Union institution or by the authority to which powers have been delegated by the Union institution’. This financing decision must set out certain essential elements for actions involving expenditure from the budget for procurement and prizes. The BAWP for 2022 and 2023 constitutes the financing decision for 2022 and, upon confirmation by the Commission, for 2023.

It should be noted that, in accordance with Article 110(5) of the EU financial regulation and the principle of sound financial management, the SESAR 3 JU authorising officer may decide to make non-substantial changes and amend the indicative budget or timing identified in Section 2.4.1 of this chapter for a given procurement procedure if this allows for improved adherence to the SESAR 3 JU’s objectives. A change of more than 20 % in the volume of appropriations, the introduction of a new action or other changes affecting the political choices in the work programme are to be considered substantial.

The maximum global budgetary envelope reserved for procurements covered by operational appropriations is estimated to be a minimum of EUR 24 310 000 in 2022 and a minimum of EUR 2 260 000 in 2023.

2.4.1 Strategic area of operation 1 – Provide strategic steering to the SESAR 3 JU programme and the Digital European Sky programme

Reference	Budget line(s)	Procurement area	Procurement description	Target signature date	Budget year	Total estimated budget (EUR)	Type of contract/procedure	Comments
Op1.1	4060	Transversal consultancy services	Provision of consultancy services to support the SESAR JU in transversal steering, the facilitation of market uptake and outreach activities (for 4 years)	Q4 2022	2022	2 570 000	Framework contract / open call for tenders	Synergies with other joint undertakings will be sought
Op1.2	4000–4004	Expert call evaluations	Provision of technical expertise for the evaluation of calls for proposals	Q3 2022	2022	700 000	Expert contracts Interinstitutional call for expressions of interest	
Op1.3	4010	Experts for deliverables and project reviews	Provision of technical expertise for assessing the deliverables and contributing to the Horizon 2020 and Horizon Europe project review	Q4 2022	2022	350 000	Expert contracts Interinstitutional call for expressions of interest	Under Horizon 2020 funds

⁽¹⁰⁾ Regulation (EU, Euratom) 2018/1046 of the European Parliament and of the Council of 18 July 2018 on the financial rules applicable to the general budget of the Union, amending Regulations (EU) No 1296/2013, (EU) No 1301/2013, (EU) No 1303/2013, (EU) No 1304/2013, (EU) No 1309/2013, (EU) No 1316/2013, (EU) No 223/2014, (EU) No 283/2014, and Decision No 541/2014/EU and repealing Regulation (EU, Euratom) No 966/2012, OJ L 193, 30.7.2018, p. 1.

Reference	Budget line(s)	Procurement area	Procurement description	Target signature date	Budget year	Total estimated budget (EUR)	Type of contract/procedure	Comments
Op1.4	4030	Programme management support	Provision of SESAR 3 JU development support services to the SESAR 3 JU for SESAR 3 JU programme management	Q4 2022	2022	16 000 000	New framework contract / open call for tenders	4-year contract EUR 4 000 000 per year (2023–2026)
Op1.5	4001	Expert call evaluations	Provision of technical expertise for the evaluation of calls for proposals	Q3 2023	2023	350 000	Expert contracts Interinstitutional call for expressions of interest	
Total for strategic area of operation 1 – Provide strategic steering to the SESAR 3 programme and the Digital European Sky Programme – Year 2022						EUR 19 620 000		
Total for strategic area of operation 1 – Provide strategic steering to the SESAR 3 programme and the Digital European Sky Programme – Year 2023						EUR 350 000		

2.4.2 Strategic area of operation 2 – Deliver exploratory research

Reference	Budget line	Procurement area	Procurement description	Target signature date	Budget year	Total estimated budget (EUR)	Type of contract/procedure	Comments
Op2.1	4140	Expert Scientific Committee	Secure the active support of the SESAR 3 JU Scientific Committee	Q2 2022	2022	480 000	Expert contract Call for expressions of interest	4-year contract EUR 120 000 per year
Total for strategic area of operation 2 – Deliver exploratory research – Year 2022						EUR 480 000		
Total for strategic area of operation 2 – Deliver exploratory research – Year 2023						EUR 0		

2.4.3 Strategic area of operation 3 – Deliver industrial research and validation

Reference	Budget line	Procurement area	Procurement description	Target signature date	Budget year	Total estimated budget (EUR)	Type of contract/procedure	Comments
Total for strategic area of operation 3 – Deliver industrial research and validation – Year 2022								

Reference	Budget line	Procurement area	Procurement description	Target signature date	Budget year	Total estimated budget (EUR)	Type of contract/procurement	Comments
Total for strategic area of operation 3 – Deliver industrial research and validation – Year 2023								

2.4.4 Strategic area of operation 4 – Facilitate an accelerated market uptake of SESAR Solutions

Reference	Budget lines	Procurement area	Procurement description	Target signature date	Budget years	Total estimated budget (EUR)	Type of contract/procurement	Comments
Op4.1	3400 (Horizon 2020) and 4330 (Horizon Europe)	Coordination with EASA	Implementation of the work plans for 2022 and 2023 under the SESAR 3 JU-EASA SLA	n/a	2022 and 2023	400 000		The SLA with EASA has already been signed and the implementation of the work plans for 2022 and 2023 requires only electronic approval The total amount (EUR 400 000) covers activities to be carried out in 2022 under Horizon 2020 funds (EUR 200 000) and in 2023 under Horizon Europe funds (EUR 200 000)
Total for strategic area of operation 4 – Facilitate an accelerated market uptake of SESAR Solutions – Year 2022							EUR 200 000	
Total for strategic area of operation 2 – Facilitate an accelerated market uptake of SESAR Solutions – Year 2023							EUR 200 000	

2.4.5 Strategic area of operation 5 – Deliver SESAR outreach (cooperation, synergies and cross-cutting themes and activities)

Reference	Budget line	Procurement area	Procurement description	Target signature date	Budget year	Total estimated budget (EUR)	Type of contract/procedure	Comments
Op5.1	4400	Airspace users	Provision of advice services for civil airspace users to support SESAR 3 JU activities	Q4 2022	2022	1 600 000	New framework contract / open call for tenders	4-year contract to be broken down into lots (yearly maximum amount EUR 400 000)
Op5.2	4400	Airspace users	Provision of advice services for civil airspace users to support SESAR 3 JU activities	Q4 2022	2022	400 000	Specific contract under the framework contract	
Op5.3	4400	Airspace users	Provision of advice services for civil airspace users to support SESAR 3 JU activities	Q4 2023	2023	400 000	Specific contract under the framework contract	
Op5.4	4401	Professional staff organisations	Provision of professional staff organisation expertise for the execution of the SESAR 3 JU programme	Q4 2022	2022	1 200 000	New Framework contract/Open call for tenders	4-year contract to be broken down into lots (yearly maximum amount EUR 300 000)
Op5.5	4401	Professional staff organisations	Provision of professional staff organisation expertise for the execution of the SESAR 3 JU programme	Q4 2022	2022	300 000	Specific contract under the framework contract	
Op5.6	4401	Professional staff organisations	Provision of professional staff organisation expertise for the execution of the SESAR 3 JU programme	Q4 2023	2023	300 000	Specific contract under the framework contract	
Op5.7	4402	Airport expertise	Provision of airport expertise for the execution of the SESAR 3 JU programme	Q4 2022	2022	250 000	Negotiated procedure	4-year contract with 1 specific contract each year (yearly maximum amount EUR 250 000) Increase by 50 % of the current framework contract
Op5.8	4402	Airport expertise	Provision of airport expertise for the execution of the SESAR 3 JU programme	Q4 2022	2022	250 000	Specific contract under the framework contract	
Op5.9	4402	Airport expertise	Provision of airport expertise for the execution of the SESAR 3 JU programme	Q4 2023	2023	1 000 000	New framework contract / open call for tenders	4-year contract 1 specific contract each year (yearly maximum amount EUR 250 000 from 2024)
Op5.10	4403	Young Scientist Award	Price for Young Scientist Award (including travel costs)	Q4 2022	2022	10 000	Award	

Reference	Budget line	Procurement area	Procurement description	Target signature date	Budget year	Total estimated budget (EUR)	Type of contract/procedure	Comments
Op5.11	4403	Young Scientist Award	Price for Young Scientist Award (including travel costs)	Q4 2023	2023	10 000	Award	
Total for strategic area of operation 5 – Deliver SESAR outreach (Cooperation, synergies and cross-cutting themes and activities) –2022						EUR 4 010 000		
Total for strategic area of operation 5 – Deliver SESAR outreach (Cooperation, synergies and cross-cutting themes and activities) –2023						EUR 1 710 000		

Table 14: Main procurement activities to be conducted in 2022 and 2023 covered by operational appropriations

3 Support to operations of the SESAR 3 Joint Undertaking for 2022–2023

3.1 Objectives, activities, indicators and targets

	Objectives	Activities	Indicators	Target for 2022	Target for 2023
SESAR 3 JU	Promote the Digital European Sky vision, the added value of the SESAR 3 JU partnership, and innovation as an integral part of the EU's and industry's efforts to become economically and environmentally sustainable in the long-term	SESAR 3 JU virtual and physical events	Number of events hosted by the SESAR 3 JU	8	8
			Total number of attendees at events	200/event	200/event
		External events	Total number of externally hosted events with SESAR 3 JU representation	50	50
		SESAR 3 JU publications	Total number produced	3	4
		E-news and mailshots	Number of news items	24 news articles	30 news articles
			Number of newsletters/mailshots sent	15 newsletters/mailshots	20 newsletters/mailshots
		Digital communications: website	Website traffic	34 000 web visits	38 000 web visits
		Digital communications: social media	Number of posts (Twitter, LinkedIn)	700	900
		Digital communications: digital assets (e.g. animations, videos, playbacks, virtual reality experiences)	Number of assets produced	8	10
		External media	Number of physical and digital publications/articles contributed to	8 articles	12 articles

Objectives	Activities	Indicators	Target for 2022	Target for 2023
	Communications coordination	Number of meetings of the Communications Coordination Group	2 meetings, subject to the official launch of the group	2 meetings
Ensure full compliance with programming and reporting requirements	Execution of the programming requirements	Level of compliance with programming obligations for joint undertakings:	Full compliance	Full compliance
		• 2022–2023 BAWP submitted to the Governing Board for adoption by 17 March 2022	100 %	n/a
		• first amended 2022–2023 BAWP submitted to the Governing Board for timely adoption in 2023	100 %	100 %
		• 2024–2025 BAWP submitted to the Governing Board for timely adoption in 2023	n/a	100 %
	Execution of the reporting requirements	Level of compliance with reporting obligations for joint undertakings:	Full compliance	Full compliance
		• consolidated annual activity reports for 2021 and 2022 adopted by the Governing Board and timely sent to the budgetary authority	100 %	100 %
		• number of critical observations from auditors	0	0
		• number of files sent to the European Anti-Fraud Office for investigation	0	0

Objectives	Activities	Indicators	Target for 2022	Target for 2023
Monitor efficiency and effectiveness of legal and procurement activities	Provision of legal advice to the SESAR 3 JU on: • Horizon 2020 grants • Horizon Europe grants • other grants • other matters in relation to the European network with agencies and the Common Support Centre	Percentage of completed legal and procurement aspects of the contract action planning within deadlines	95 %	95 %
Deliver infrastructure services to enable teams and the SESAR 3 JU to operate smoothly	Maintenance of information technology (IT), infrastructure and facilities	Level of quality of IT, infrastructure and facilities and existence of business continuity and disaster recovery planning	No major disruption of service unless triggered under business continuity and disaster planning	No major disruption of service unless triggered under business continuity and disaster planning
Monitor efficiency and effectiveness of human resources management	career development review (CDR)	Level of completion of the CDR	95 %	95 %
	Objectives setting	Level of completion of objectives setting	80 %	90 %
	Reclassification exercise	Level of completion of the reclassification exercise	100 %	100 %
Efficient implementation of the human resources legal framework	• Activation of opt-out through the Governing Board • Adoption of implementing rules or Commission decisions through the Governing Board	Level of implementation of opt-out from Commission implementing decisions and models	100 %	100 %

Table 15: Objectives, activities, indicators and targets for support to operations in 2022 and 2023

3.2 Communication, dissemination and exploitation

3.2.1 Communication

Communication plays an integral role in building trust, securing buy-in and maintaining momentum for the SESAR 3 JU's R&I activities. It is also key for accelerating innovation and the implementation of SESAR solutions. The following table provides an overview of the SESAR 3 JU communications objectives and their corresponding actions, audiences and key messages.

For full details of the planned approach, activities and channels, see the SESAR 3 JU communications strategy for 2021–2026¹¹.

Objectives	Actions	Target audiences	Messages
Promote the Digital European Sky vision	Ensure programme coherence – implement a process to ensure that projects promote their objectives and results as part of the overall Digital European Sky programme and in line with Horizon Europe obligations	The SESAR 3 JU community	The SESAR 3 JU takes a holistic look at ATM modernisation, ensuring coherency and synergies between solutions, with a view to delivering the Digital European Sky
	Showcase solutions – illustrate that the digital European sky programme is developing solutions that can deliver tangible benefits to aviation, the economy and society		
Promote the role of the SESAR 3 JU partnership in creating added value	Deliver value for money as a coordinated R&I ecosystem under a partnership – showcase the role of the SESAR 3 JU partnership in ensuring a coherent R&I programme fulfilling industries' performance needs	Polymakers/decision-makers	The SESAR 3 JU as a public–private partnership is the most effective and cost-efficient instrument to deliver an integrated and modernised R&I ecosystem for ATM within Horizon Europe. It offers the best means to build consensus and coordinate stakeholders, pooling a critical mass of resources and expertise
	Enable global interoperability – promote SESAR solutions within the ICAO and third countries to ensure global interoperability, to facilitate EU exports and to gain a competitive edge internationally	Stakeholders beyond the SESAR 3 JU	The SESAR 3 JU cooperates internationally to enhance global harmonisation and promote EU innovation and competitiveness

¹¹ The SESAR 3 JU communications strategy for 2021–2026 will be adopted by the Governing Board in 2022.

	Promote new talent – embrace disruptive technologies and unlock change by supporting and encouraging new talent entering the ATM/aviation ecosystem	Polymakers/decision-makers	The SESAR 3 JU extends the partnership to academia, SMEs and start-ups to consolidate and enrich the R&I network of talent
Promote SESAR R&I as an integral part of the EU's and industry's efforts to become economically and environmentally sustainable in the long term	Ensure alignment with European policy – support the delivery of EU initiatives on mobility, digitalisation and sustainability	Polymakers/decision-makers	The SESAR 3 JU supports the delivery of the digital European sky programme. It is a key element of several EU policy agendas: the sustainable and smart mobility strategy, the European Green Deal and the 'Europe fit for the digital age' initiative
	Contribute to societal needs – promote the knock-on benefits that SESAR R&I will bring to passengers and citizens	The general public	The SESAR 3 JU supports improvements to ATM that have knock-on benefits for EU citizens, namely supporting the EU's economic growth, reducing environmental impacts and improving passenger experience through enhanced transport connectivity and multimodality
	Explain the R&I life cycle (the 'innovation pipeline') – illustrate how the SESAR approach helps to accelerate the development of innovative ideas from inception to implementation through a range of R&I instruments	The SESAR 3 JU community	The SESAR 3 JU promotes research excellence with a focus on transforming initial concepts into tangible solutions for market uptake, including as part of the new SESAR 3 JU Digital Sky Demonstrators, which support early movers
	Boost performance and respond to challenges – showcase how R&I can adapt to evolving trends and challenges, and its role in meeting performance ambitions	The SESAR 3 JU community	The SESAR 3 JU delivers solutions capable of boosting the performance of ATM/aviation. The solutions respond to evolving challenges, with a strong emphasis on sustainability and accommodating new airspace users
Strengthen internal communications with SESAR 3 JU staff	Foster staff engagement and commitment to the SESAR 3 JU values and objectives – nurture a spirit of belonging to the organisation and support SESAR 3	SESAR 3 JU staff	The SESAR 3 JU is an open and inclusive partnership that values its staff and their well-being and that fosters their active

	JU staff in becoming brand ambassadors for the Digital European Sky		contribution to delivering the Digital European Sky
	Keep staff up to date on the key priorities and activities of the SESAR 3 JU – make use of communications channels to inform staff of the latest developments/achievements of the partnership		

Table 16: Overview of the SESAR 3 JU communications' objectives, actions, audiences and key messages

In 2022 and 2023, the focus of the SESAR 3 JU's communication activities will be:

- familiarising audiences with the new partnership, executive director and programme;
- promoting SESAR R&I in relation to key policy areas;
- showcasing results and solutions delivered by ongoing R&I;
- providing guidance to members and projects on their obligations and commitments to communicating about projects within the framework of the partnership and programme.

The following table provides an overview of the major events and conferences in 2022 and 2023 in which the SESAR 3 JU expects to invest significant resources. However, it should be noted that various other conferences and events organised by the EU and by European and international stakeholders might require the SESAR 3 JU's participation through speakers, workshops or exhibition stands.

Event name	Location	Date	Organiser	Comments
SESAR 3 JU launch event	Brussels	5 May 2022	SESAR 3 JU	A high-level event 'hosted' by Commissioner Valean to mark the launch of the new SESAR 3 JU. Invitees will include Governing Board members and CEOs from participating members, as well as high-level representatives from core stakeholders
SESAR 3 JU internal meeting	Madrid	20 June 2022	SESAR 3 JU	A gathering of the SESAR 3 JU members to showcase the latest achievements and inform them of upcoming activities
World ATM Congress	Madrid	21–23 June 2022	CANSO / Air Traffic Control Association	Participation on the 'Europe for aviation' stand, including exhibitions, speeches/presentations and press outreach opportunities
SESAR 3 JU annual conference	Brussels	TBD	SESAR 3 JU	An annual gathering of the SESAR community and

				policy-makers to discuss aviation challenges and highlight the SESAR 3 JU programme milestones and showcase the latest achievements. The conference will also be used as a backdrop to present the SESAR 3 JU project awards
U-space showcase event	Brussels	TBD	SESAR 3 JU	A conference dedicated to showcasing the results of the SESAR 2020 U-space projects
12th SESAR Innovation Days	Budapest	6–8 December 2022	SESAR 3 JU	A week-long conference of exhibitions, networking and other activities (e.g. poster pitches and industry site visits)
Events in collaboration with institutional and stakeholder partners (up to four per year)	TBD	2022 + 2023	SESAR 3 JU and partners	Exhibitions and/or workshops to promote the value of the public–private partnership approach and the results from SESAR that target stakeholder and institutional audiences
Digital events (webinars/vodcasts)	Virtual	Q1 2022–Q4 2023	SESAR 3 JU	Virtual events including presentations and discussions about SESAR projects, solutions and results, for amplifying the SESAR 3 JU vision
Internal team-building event	Brussels (TBC)	Q1 2022–Q4 2023	SESAR 3 JU	Annual events aiming to foster a team spirit among SESAR 3 JU staff
World ATM Congress	Madrid	7–9 March 2023	Air Traffic Control Association / CANSO	Participation on the ‘Europe for aviation’ stand, including exhibitions, speeches/presentations and press outreach opportunities
Paris Air Show	Paris	19–25 June 2023	Groupe des Industries Françaises Aéronautiques et Spatiales (GIFAS)	Exhibitions and/or workshops to promote the SESAR 3 JU vision and achievements
SESAR 2020 showcase	Brussels (TBC)	TBD–Q3 2023	SESAR 3 JU	A showcase of the results of SESAR 2020, to take place in 2023
13th SESAR Innovation Days	TBD	TBD–Q4 2023	SESAR 3 JU	A week-long conference of exhibitions, networking and other activities (e.g. poster pitches and industry site visits)

Table 17: Key events and conferences for SESAR 3 JU in 2022 and 2023

The following table represents the publications, digital communications and communications coordination currently planned for 2022 and 2023.

Activity	Date
Publications	
Application of the SESAR3 JU visual identity (print material: posters, visual graphics, etc.)	Q1–Q4 2022–2023
SESAR innovation pipeline – R&I highlights	Q1 2022 and Q1 2023
Consolidated annual activity reports	Q2 2022 and Q2 2023
Exploratory research results brochure	Q4 2022
SESAR solutions catalogue – web portal launch brochure	Q3 2023
Various brochures/factsheets on SESAR 2020 and SESAR 3 JU R&I (e.g. performance, environment, solutions, results)	Q1–Q4 2022–2023
Digital communications	
Digital assets (e.g. thematic portals, videos, animations, virtual reality, augmented reality and gaming)	Q1–Q4 2022–2023
Online communications	
E-news (interviews and project news)	Q1–Q4 2022–2023
Contributions to external magazines	Q1–Q4 2022–2023
Press relations	Q1–Q4 2022–2023
Social media campaigns	Q1–Q4 2022–2023
Communications coordination	
Online and face-to-face meetings with the Communications Coordination Group	Q1–Q4 2022–2023
Project guidance, communications plan reviews and monitoring progress	Q1–Q4 2022–2023

Table 18: Main publications and communication activities in 2022 and 2023

3.2.2 Dissemination and exploitation

The SESAR 3 JU will continue to implement actions aimed at raising awareness among beneficiaries about the importance of dissemination of projects' results. The activities are tailored to the specific situations of the projects, depending on the different projects' implementation stages.

Besides continuous monitoring of the dissemination activities related to the projects performed by the members and the partners, during the implementation of these activities (according to the applicable periodicity and certainly at the final reporting stage), the SESAR 3 JU will ensure that the requirements of the grant agreements in this regard are met.

Finally, the SESAR 3 JU has appointed a dissemination, exploitation and communication correspondent within the grant management team. In this capacity, the correspondent is responsible for providing training sessions on dissemination and exploitation for grant managers and programme managers at

the SESAR JU, as well as providing feedback to colleagues in the team on specific requests related to dissemination and exploitation.

The correspondent also attends the meetings of the Communication Coordination Group (made up of communication experts among the members) to promote the need for disseminating the projects' results.

3.3 Procurement and contracts

For 2022–2023, the SESAR 3 JU will assign the necessary funds for the procurement of the services and supplies required to sufficiently support its administrative and operational infrastructures. Summary tables per areas of operation are made available below listing the tenders planned for 2022–2023.

3.3.1 Communication, dissemination and exploitation

Reference	Procurement area	Procurement description	Target signature date	Budget year	Total estimated budget (EUR)	Type of contract/procedure	Comments
Ad5.1	Public relations (PR)	Events	Q1 2022	2022	150 000	Framework contract, implementation of interinstitutional procurement procedure	Visibility at aviation events and air shows, as well as internal SESAR 3 JU events
Ad5.2	PR	Digital communication	Q1 2022	2022	88 500	Framework contract, implementation of interinstitutional procurement procedure	Animations
Ad5.3	Web (budget line 2740)	Hosting, maintenance and content management	Q1 2022	2022	94 681.50	Framework contract, interinstitutional procurement procedure	First specific contract of SESAR JU procurement
Ad5.4	Web (budget line 2740)	Hosting, maintenance and content management	Q2 2022	2022	30 318.50	Direct service contract	
Ad5.5	PR	Strategy and support	Q2 2022	2022	79 600	Framework contract, interinstitutional procurement procedure	Social media, press and copyrighting support
Ad5.6	PR	Events	Q3 2022	2022	185 000	Framework contract, interinstitutional procurement procedure	Visibility at aviation events and air shows, as well as internal SESAR 3 JU events
Ad5.7	PR	Strategy and support	Q4 2022	2022	20 400	Framework contract, interinstitutional procurement procedure	Social media, press and copyrighting support
Ad5.8	PR	Office of publications	Ad hoc – 2022	2022	6 000	SLA	Editorial, graphical work and printing

Reference	Procurement area	Procurement description	Target signature date	Budget year	Total estimated budget (EUR)	Type of contract/procedure	Comments
Ad5.9	PR	Small procurement	Ad hoc – 2022	2022	10 000	Payment against invoices, purchase orders, direct service, supply contracts	Procurement of very-low-value items – items to be paid against invoices (very low procurements)
Ad5.10	PR	Event support for the SESAR showcase event	Q1 2023	2022	200 000	Direct service contract, open call for tenders	
Ad5.11	PR	Events	Q1 2023	2023	130 000	Framework contract, interinstitutional procurement procedure	Visibility at aviation events and air shows, as well as internal SESAR 3 JU events
Ad5.12	PR	Digital communications	Q1 2023	2023	75 300	Framework contract, interinstitutional procurement procedure	Animations
Ad5.13	Web (budget line 2740)	Hosting, maintenance and content management	Q1 2023	2023	125 000	Framework contract, interinstitutional procurement procedure	Second contract of SESAR JU procurement
Ad5.14	PR	Events	Q3 2023	2023	125 000	Framework contract, interinstitutional procurement procedure	Visibility at aviation events and air shows, as well as internal SESAR 3 JU events
Ad5.15	PR	Strategy and support	Q2 2023	2023	50 000	Framework contract, interinstitutional procurement procedure	Social media, press and copyrighting support
Ad5.16	PR	Office of publications	Ad hoc – 2023	2023	10 000	SLA	Editorial, graphical work and printing
Ad5.17	PR	Small procurement	Ad hoc – 2023	2023	10 000	Payment against invoices, purchase orders, direct service, supply contracts	Direct procurement for very-low-value items – items to be paid against invoices (very low procurements)
Ad5.18	PR	Strategy and support	Q4 2023	2023	50 000	Framework contract, interinstitutional procurement procedure	Social media, press and copyrighting support

Reference	Procurement area	Procurement description	Target signature date	Budget year	Total estimated budget (EUR)	Type of contract/procurement	Comments
Total for communication and dissemination – 2022					EUR 864 500		
Total for communication and dissemination – 2023					EUR 575 300		

3.3.2 Deliver effective financial, administrative and corporate management

Reference	Procurement area	Procurement description	Target signature date	Budget year	Total est. budget (EUR)	Type of contract/procurement	Comments
Ad6.01	Information and communications technology (ICT) – software	ICT software – Adobe maintenance renewal	Q1 2022	2022	16 060	Specific contract under the European Commission framework contract	
Ad6.02	ICT – software	ICT software – VMware maintenance renewal	Q1 2022	2022	1 734	Specific contract under the European Commission framework contract	
Ad6.03	IT system maintenance and unified communication	ICT communications – Testa-NG	Q1 2022	2022	24 765.72	Specific contract under the European Commission framework contract	
Ad6.04	Joint undertakings' back office	Study to explore possible efficiency gains in a back-office arrangement approach	Q2 2022	2022	20 000	Interinstitutional procurement	Although the back-office arrangement shall be provided by EUROCONTROL, it is in the interest of SESAR 3 JU to join the other joint undertakings in this common study to investigate opportunities for achieving efficiencies and gains in particular in areas where back office services cannot be provided by EUROCONTROL (e.g. due to their different governing rules).
Ad6.05	ICT – software	ICT software – Adobe maintenance renewal	Q1 2023	2023	16 500	Specific contract under the European Commission framework contract	

Ad6.06	ICT – software	ICT software – VMware maintenance renewal	Q1 2023	2023	2 000	Specific contract under the European Commission framework contract	
Ad6.07	IT system maintenance and unified communication	ICT communications – Testa-NG	Q1 2023	2023	25 000	Specific contract under the European Commission framework contract	
Total for delivering effective financial, administrative and corporate management – 2022							EUR 62 559.72
Total for delivering effective financial, administrative and corporate management – 2023							EUR 43 500.00

Table 19: Main procurement activities to be conducted in 2022 and 2023 covered by administrative appropriations

3.4 Other support operations

3.4.1 Legal and procurement support for operations

In the field of legal and procurement support for operations, and in continuation with activities carried out over recent years, in 2022 and 2023 the SESAR 3 JU will carry out the following actions.

For legal affairs

- Develop legal analysis on various matters requiring it. This analysis aims to ensure:
 - the regularity and legality of all of the SESAR 3 JU's binding agreements, contracts, grants, decisions, processes and measures;
 - compliance of the agreements to be concluded with the SESAR 3 JU's founding members (including the membership agreement, the administrative agreement with EUROCONTROL and the financial framework partnership agreement) with the Single Basic Act;
 - coherence between the different agreements concluded with the SESAR 3 JU's founding members (delegation agreements with the European Commission and the agreement with EUROCONTROL);
 - appropriate support for the activities aimed at defining the future of SESAR.
- Such analysis could take the form of:
 - legal advice, opinions, legal risk assessments and related mitigation actions;
 - the drafting of agreements and legal documents required for ramping up the SESAR 3 programme (including rules of procedures for SESAR 3 JU advisory bodies, a dedicated call for expressions of interest and Governing Board decisions) in strict compliance with the Single Basic Act and applicable regulations;
 - participation in the SESAR 3 JU's technical and administrative projects;
 - drafting, reviewing or updating SESAR 3 JU staff training activities, guidelines or other material on legal matters including the SESAR 3 JU's internal rules and procedures.
- Coordinate with the European Commission and relevant SESAR 3 JU external stakeholders with regard to legal aspects of:
 - the implementation of the SESAR 2020 programme activities;
 - any measure related to the transition towards the new multiannual financial framework;
 - the development and implementation of the programme and administrative closure/transition scenarios, including the potential liquidation of the SESAR 3 JU in its current form, the transfer of tangible and intangible assets and any other topics requiring legal expertise;
 - the impacts of the late association of some countries to Horizon Europe (e.g. Switzerland and the United Kingdom).
- Support the internal SESAR 3 JU ramp-up transversal coordination mechanisms aimed at identifying any risk of hampering the SESAR 3 JU daily output, collectively seeking solutions in line with commonly defined ramp-up strategies and objectives.

- Participate in interagency legal and procurement networks (the Inter Agencies' Legal Network and the Network of Agencies' Procurement Officers) as well as in Horizon Europe legal networks (the Legal Mechanism Issue Group and the Common Implementation Centre ad hoc meetings) in order to contribute to the implementation and development of these networks' annual work programmes, concerted guidance, processes and templates.

For procurement

- Provide legal and procedural support and advice for the effective implementation of the procurement plan for 2022 and 2023 (see section 2.4. and section 3.3. of this document): preparation, launch and administration of procurement procedure files and contracts.
- Develop legal and procedural analysis on various matters requiring it in the field of procurement in view of the rules and regulatory framework applicable to SESAR 3 JU procurement and contract management. Such analysis could take the form of legal advice, legal risk assessments and related mitigation actions, SESAR 3 JU staff training activities, guidelines or other material on procurement matters as well as drafting, review and/or update of the SESAR 3 JU's internal rules and procedures related to procurement activities.
- Promote automation in the management of procurement and contracts (i.e. e-procurement).
- Liaise with other joint undertakings and EU agencies in relation to interinstitutional joint procurement and for the creation of synergies between joint undertakings.

3.4.2 Corporate planning and reporting activities

The SESAR 3 JU will submit the MAWP for 2021 to 2031 to the Governing Board by mid February 2022 for adoption in March 2022.

The SESAR 3 JU will prepare, develop and submit the 2022–2023 BAWP to the Governing Board by mid February 2022 for adoption in March 2022. This submission will be preceded by a consultation with the States' Representatives Group, which should deliver its opinion on the 2022–2023 BAWP by mid February.

In addition, the SESAR 3 JU will prepare three implementation reports for 2021, which present the activities undertaken by the SESAR 3 JU during that year to implement the delegation agreements ⁽¹²⁾ between the European Commission and the SESAR 3 JU.

Finally, the SESAR 3 JU will develop its *Consolidated Annual Activity Report 2021* and submit it to the Budgetary Authority by 30 June 2022.

3.4.3 Data protection

Since the entry into force of Regulation (EU) 2018/1725 ⁽¹³⁾, the SESAR 3 JU's long-term commitment to a privacy practice and culture has been strengthened.

⁽¹²⁾ These delegation agreements relate to geofencing (MOVE/E3/DA/2016-669/SI2.743803), airspace architecture (MOVE/E3/DA/2017-477/SI2.766828) and U-space (MOVE/E3/DA/2017-564/SI2.771010).

⁽¹³⁾ Regulation (EU) 2018/1725 of the European Parliament and of the Council of 23 October 2018 on the protection of natural persons with regard to the processing of personal data by the Union institutions, bodies, offices and agencies and on the free movement of such data, and repealing Regulation (EC) No 45/2001 and Decision No 1247/2002/EC, OJ L 295, 21.11.2018, p. 39.

The SESAR 3 JU will continue adapting its processes and ICT tools when used for processing personal data. This will help to demonstrate accountability in line with Regulation (EU) 2018/1725.

Whereas most of the novelties and requirements of Regulation (EU) 2018/1725 have been tackled by the SESAR 3 JU through the implementation and monitoring of the action plan, a number of ongoing actions are to be performed in the upcoming years in line with the main principles of:

- accountability and the shift of responsibilities from the data protection officer to the controller responsible for compliance at three levels (insurance, demonstration and verification);
- documentation and consultation obligations closely tied to the risks;
- transparency and the provision of clear information to data subjects, allowing data subjects to effectively exercise their rights;
- the obligation on the part of the SESAR 3 JU to notify the supervisory authority of personal data breaches;
- strict data protection measures for procurement and contract management.

For this purpose, the SESAR 3 JU will continue to implement the following recurring activities:

- plan, provide advice and report to the controller on accountability at three levels: (1) participation in the Quality and ICT (QICT) Committee meetings, (2) constant monitoring of the SESAR 3 JU action plan on data protection and (3) regular reporting obligations to the European Data Protection Supervisor (EDPS);
- provide advice on ad hoc requests from data subjects and process access requests in due time;
- record and map all transfers of personal data through the update and population of the electronic records system (general data protection regulation central system) and privacy notices;
- implement data protection by design and by default in the definition of new services and tasks by introducing data protection requirements for procurement procedures at three different levels (definition, evaluation and reporting) and in the resulting contracts;
- draft SESAR 3 JU policies and internal rules on data protection, notably on data breaches, impact assessment and access requests;
- review the SESAR 3 JU decision on restrictions every 2 years and assess every 6 months each restriction in place and report to the Governing Board;
- provide advice on and coordinate data protection impact assessments and consult the EDPS when necessary;
- report and document any identified data breach and, when necessary, send notifications to the EDPS and affected data subjects;
- continue the drafting exercise for the internal rules of the SESAR 3 JU on data protection throughout 2022;
- contribute to and follow up on developments in joint controllership;
- cooperate with EUROCONTROL's data protection officer;
- disseminate information across the SESAR 3 JU.

The following specific actions are planned during 2022 and 2023 in terms of readiness for closer cooperation with EUROCONTROL:

- final drafting of the data processing agreement between the SESAR 3 JU and EUROCONTROL;
- mapping the processing of personal data for the new tasks of EUROCONTROL in the light of Article 157 of the Single Basic Act;
- the internal publication and application of SESAR 3 JU specific procedures for data breaches and impact assessments;
- the publication in the Official Journal of the Governing Board decision on restrictions of data subjects' rights;
- the introduction of data processing agreements as annexes to procurement contracts, with an impact on data protection, in the application of the standard contractual clauses published by the European Commission;
- the preparation and coordination of the data protection impact assessment in Microsoft Office 365 in close cooperation with the SESAR 3 JU local information security officer, IT services and EUROCONTROL;
- following up on negotiations between the European Court of Justice and Cisco Webex for the implementation of the 14 recommendations of the EDPS;
- dissemination activities and information sessions on data breaches and restrictions for all staff.

3.4.4 Information and communications technology management

ICT services and infrastructure will continue to be provided to the SESAR 3 JU under a signed service agreement by EUROCONTROL, delivered by the NM (responsible for contracting and organising ICT services and infrastructure for all EUROCONTROL divisions). The details of the scope of services, assets and management of change are defined based on the individual service, requests, offers and agreements covering the scope and financial liability (contribution) delivered for the SESAR 3 JU. An architecture model and appropriate security arrangements are maintained to ensure adequate information segregation while ensuring that the SESAR 3 JU benefits from the economy of scale.

In addition to services from EUROCONTROL, the SESAR 3 JU also uses some services and common procurement, under an SLA, with the European Commission's Directorate-General for Informatics to ensure the full scope of functionality required is delivered to staff.

To coordinate and provide a local focal point, the SESAR 3 JU continues to outsource (via a services contract) an independent coordination service (ICT coordination services) in the SESAR 3 JU that is responsible for planning and coordinating delivery, upgrade and change projects, as well as being the focal point on ICT matters for staff and other suppliers. The ICT coordination services will continue to support SESAR 3 JU corporate governance and staff by:

- providing expert advice and input in the fields of ICT, unified communications and business continuity management;
- providing a stable and continuously accessible teleworking infrastructure;
- ensuring minimal interruptions to service and the continuity of support arrangements acting as an interface with ICT suppliers (EUROCONTROL subcontractors).

During the reporting period, continuous care will be taken to ensure that the ICT infrastructure and the operating environment are suitable to meet the needs and budget of the SESAR 3 JU. The configuration is controlled through internal governance (the QICT Committee), with deviations described through change requests or in transformation projects. Service-level measurement and performance improvement activities will be safeguarded by service improvement requests and problem management.

During 2023, the services contract, including ICT coordination, will be reviewed in preparation for a new call to secure the continuity of services and options for an extension of the scope, which will be ready for transition in Q1 2024.

3.4.5 Facility and support services

Facility and support service activities are related to the following administrative tasks and services:

- facilities management coordination, supporting all persons working and/or providing services within the SESAR 3 JU premises, by providing facility coordination support in the buildings and logistics services;
- mission coordination, supporting SESAR 3 JU staff by providing core support for their travel bookings and the execution of missions, and for the reimbursement of travel expenses, namely the travel agency's expenses and the traveller's claims;
- insurance coordination, ensuring necessary coverage against recognised operational risks and the follow-up of new insurance claims.

In 2022 and 2023, in terms of facilities management, work will continue on a number of initiatives at the SESAR 3 JU's premises in Brussels to sustain or improve the productivity, safety and efficiency of the working environment and the facilities offered to SESAR 3 JU staff. In particular, the SESAR 3 JU will:

- start the preparation of a new ongoing public procurement call for tenders in relation to reception and back-office reception services;
- publish a public procurement call for tenders in relation to the security services alarm monitoring, badging and video surveillance systems;
- continue its ongoing public procurement call for tenders in relation to cleaning services;
- depending on the evolution of the COVID-19 crisis, extend or implement measures to monitor the occupancy levels and the presence of the staff at the SESAR 3 JU's premises, based on the rules and recommendations of the European Commission and the Belgian authorities.

During the 54th SESAR JU Administrative Board in October 2021, EUROCONTROL mentioned the willingness of this organisation to host the new premises of the SESAR 3 JU. Therefore, in 2022 and 2023, the facility will:

- perform assessments in view of the possible change of premises of the SESAR 3 JU, including a comparison of the different options for changing SESAR 3 JU premises, external assessments (an opportunity study, a commuting study and the evaluation of the cost of reestablishment of the premises) and staff assessments (a staff survey); the results of all of the assessments undertaken in view of the possible change of premises of the SESAR 3 JU will be gathered in a report to provide the SESAR 3 JU Governing Board with all of the inputs to facilitate an informed decision being taken on this topic;

- in the case of a change of premises of the SESAR 3 JU, coordinate the move and provide administrative and logistics support.

Mission support will also continue during 2022 and 2023, consisting of mission process management and support for all staff across the SESAR 3 JU while contributing to continuous improvement initiatives relating to the mission system or the electronic workflow. Mission budget execution monitoring will be put in place, with periodic reports submitted to the corporate management team of the SESAR 3 JU.

3.5 Human resources

3.5.1 Human resources management

3.5.1.1 Recruitment and management of human resources

Following the Single Basic Act, the body of staff of the SESAR 3 JU consists of temporary agents (TAs) and contract agents (CAs) recruited for a fixed period, whose position may be renewed once for a fixed period of up to 5 years. Any other renewal must be for an indefinite period in accordance with the EU's staff regulations. The total period of engagement must not in any case exceed the duration of the SESAR 3 JU.

The staff of the SESAR 3 JU must consist of highly specialised technical staff members in charge of the management and implementation of the SESAR 3 JU programme and highly specialised and diversified administrative staff to ensure the functioning of the SESAR 3 JU. In establishing the different job descriptions and the organisation chart of the SESAR 3 JU, particular attention is paid to preserving the separation of functions, managing the risk of conflicts of interest and ensuring the efficient and cost-effective operation of the organisation.

It has to be recognised that it is difficult to attract highly skilled persons on TA contracts for a limited duration, given the time frame indicated in the SESAR 3 JU founding act.

3.5.1.1.1 Statutory staff recruitment policy

The SESAR 3 JU launches recruitment procedures for TAs through the announcement of vacant posts on its website and the website of the European Personnel Selection Office. The SESAR 3 JU may also recruit CAs from the European Personnel Selection Office's reserve lists, for the specific needs identified above.

Generally, vacancies are online for 1 month, during which time candidates can submit their applications. Exceptionally, this period may be extended. The exact deadline for applying for a job is indicated in the vacancy notice of the selection procedure, which also provides information on the job requirements and the conditions of employment. Candidates are requested to submit their application exclusively by means of a functional email address specific to each vacancy notice.

The eligibility of candidates is assessed according to compliance with all formal requirements by the closing date for the submission of applications. Eligible candidates whose applications show evidence of all of the essential selection criteria described in the vacancy notice may be invited for an interview, which is held for the most part in English. During the selection process, candidates may be required to undergo a competency assessment exercise.

Candidates invited to an interview are requested to submit, on the day of the interview, a copy of their diploma(s) and evidence of their professional experience, clearly indicating the starting and finishing dates, the function(s) and the exact nature of the duties carried out. However, prior to the signature

of the contract, selected candidates are requested to provide the SESAR 3 JU with original or certified copies of all relevant documents proving they meet the eligibility requirements.

As a result of the interviews, the selection panel recommends the most suitable candidates for the post in question. The list of suitable candidates established by the selection panel may also be used for the recruitment of a similar post, depending on the needs of the SESAR 3 JU. All candidates are informed by letter about the outcome of the selection procedure. Candidates are informed that inclusion on a reserve list does not guarantee recruitment.

The selection panel's work and deliberations are strictly confidential, and candidates are informed that any contact with its members is strictly forbidden.

The Executive Director, namely the appointing authority of the SESAR 3 JU, takes the final decision to offer the job to a selected candidate from the reserve list established by the selection panel.

3.5.1.1.1.1 Officials

The SESAR 3 JU has no permanent posts in its establishment plan and, therefore, cannot appoint officials.

3.5.1.1.1.2 Temporary agents

On the basis of the missions and tasks set out by the SESAR 3 JU basic act ⁽¹⁴⁾, most of its existing workforce is assigned to long-term posts, within the limits of the existence of the SESAR 3 JU, both in the operational areas and in the transversal areas.

All TA posts have been identified as posts of long duration, and selected candidates are offered limited duration contracts (except for staff who were under Belgian contracts as at 1 January 2009, in accordance with the transition provisions of Council Regulation (EC) No 1361/2008, who are under indefinite duration contracts still subject to the end of functioning of the SESAR 3 JU on 31 December 2031 at the latest).

The recruitment process followed complies with the European Commission's rules on the engagement and use of temporary staff. The entry grades are determined with regard to the complexity and level of responsibility of the tasks to be performed by the new staff member. These grades are compatible with the provisions of Article 53 of the conditions of employment of other servants of the European Union, with the corresponding implementing rules on the engagement of TA 2(f) positions and with the grades authorised by the European Commission in the SESAR 3 JU's staff establishment plan.

Concerning specific recruitment processes such as recruitment processes for TA 2(a) positions, such as the Executive Director position, the selection process is managed by the parent Directorate-General, the Directorate-General for Mobility and Transport.

3.5.1.1.1.3 Contract agents

The SESAR 3 JU's staff establishment plan contains one CA position.

⁽¹⁴⁾ Council Regulation (EC) No 219/2007 of 27 February 2007 on the establishment of a joint undertaking to develop the new generation European air traffic management system (SESAR), OJ L 64, 2.3.2007, p. 1, as amended by Council Regulation (EC) No 1361/2008 of 16 December 2008, OJ L 352, 31.12.2008, p. 12, and by Council Regulation (EU) No 721/2014 of 16 June 2014, OJ L 192, 1.7.2014, p. 1.

3.5.1.1.1.4 *Seconded national experts*

In relation to requirements for specific expertise, the SESAR 3 JU recruits seconded national experts from competent national organisations in the EU Member States, especially where expertise within regulators, public authorities or other public bodies is desirable.

3.5.1.1.1.5 *European Commission implementing rules relating to the recruitment of staff*

The table below provides an overview of the relevant European Commission implementing rules relating to the recruitment of staff, as applied by the SESAR 3 JU.

Subject matter	Decision number	Applied by the SESAR 3 JU		If no, which other implementing rules are in place?
		Yes	No	
Engagement of CAs	Model Decision C(2019) 3016	X		
Engagement of TAs	Model Decision C(2015) 1509	X		
Middle management	Model Decision C(2018) 2542	X		
Type of post	Model Decision C(2018) 8800		X	C(2013) 8979

Table 20: Implementing rules relating to the recruitment of staff applied by the SESAR 3 JU

3.5.1.1.2 *Other human resources managed by the SESAR 3 Joint Undertaking*

3.5.1.1.2.1 *Interim services acquisition*

The SESAR 3 JU has the capacity to engage interim staff on a temporary basis and on short-term contracts through temping agencies in either of the following cases:

- the necessary replacement of TAs or CAs in a situation of their long-term absence, and for the duration of such an absence;
- or
- unforeseen additional tasks implying a level of additional workload that cannot be carried out by the existing TAs and CAs.

For these cases, the SESAR 3 JU uses a framework contract (reference HR/R1/PR/2019/023), for the period 1 July 2020 to 30 June 2024. The budget available for this procurement is equivalent to six full-time equivalents (FTEs) per year during a 4-year period.

3.5.1.1.2.2 *Blue book trainees*

The SESAR 3 JU has the capacity to offer traineeships to blue book trainees through the SLA signed with the European Commission. The maximum capacity of the SESAR 3 JU to host blue book trainees, in accordance with the SLA, is four trainees per traineeship period.

3.5.1.1.2.3 *Atypical trainees*

The SESAR 3 JU occasionally engages atypical trainees for short-term traineeships, without a financial impact for the SESAR 3 JU. Since 2021, the template and rules to engage atypical trainees, harmonised with those used by the European Commission, are available.

3.5.1.1.3 *External personnel working for the SESAR 3 Joint Undertaking in-house*

3.5.1.1.3.1 Structural service providers

For the purposes of managing, planning and controlling reception services, in 2011 the SESAR 3 JU signed a 1-year renewable contract with a service provider. This service provider provides one or two FTEs as receptionists / back-office reception staff. It is not always the same person providing the services. In terms of ICT coordination, one person is provided by an external service provider. There is no contractual relation at all between the persons appointed by the service providers to provide these services and the SESAR 3 JU, as it is important that these persons have no link with SESAR 3 JU human resources matters.

3.5.1.1.3.2 Seconded staff from SESAR Joint Undertaking selected members

At its meeting on 31 May 2017, the Administrative Board of the SESAR JU, having regard to Article 5(1)(p) and Article 8 of the annex to the SESAR JU basic act and the SESAR JU membership agreement, which entered into force on 6 July 2017 and was signed by the SESAR JU, EUROCONTROL and the SESAR JU's 19 selected members by virtue of Decision ADB(D)02-2016, decided:

- to adopt specific conditions on the secondment of staff of SESAR JU selected members, as detailed in Annex 1 to Decision ADB(D)07-2017;
- to delegate the power to the Executive Director to establish the necessary agreements in line with the aforementioned conditions.

Currently, two secondees are working at the SESAR JU under the abovementioned framework.

Secondment to the SESAR 3 JU is subject to the signing of a secondment agreement. This possibility will end on 31 December 2022, as the related Administrative Board decision will no longer be valid.

3.5.1.2 Appraisal of performance and reclassification/promotions

Implementing rules in place.

Subject matter	Decision number	Applied by the SESAR 3 JU		If no, which other implementing rules are in place?
		Yes	No	
Reclassification of TAs	Model Decision C(2015) 9560	X		
Reclassification of CAs	Model Decision C(2015) 9561	X		
Appraisal of TAs	Model Decision C(2013) 8985	X		
Appraisal of CAs	Model Decision C(2014) 2226	X		

Table 21: Implementing rules related to reclassification/promotions applied by the SESAR 3 JU

3.5.1.2.1 *Reclassification of temporary staff /promotion of officials*

Grade	Average seniority in the grade among reclassified staff											
	No of reclassified staff	Average seniority in 2017 (year N – 5)	No of reclassified staff	Average seniority in 2018 (year N – 4)	No of reclassified staff	Average seniority in 2019 (year N – 3)	No of reclassified staff	Average seniority in 2020 (year N – 2)	No of reclassified staff	Average seniority in 2021 (year N – 1)	Actual average over 5 years (N – 5 to N – 1)	Average over 5 years (according to Decision C(2015) 9563)
AD5	1	2.0									2.0	2.8
AD6	2	2.0	2	3.0	2	2.5	2	3.6	2	3.8	3.0	2.8
AD7	1	2.0	1	3.0	1	4.0	1	3.0	2	3.2	3.1	2.8
AD8			1	4.0	2	2.6	1	3.0	2	6.2	4.1	3.0
AD9							2	3.7			3.7	4.0
AD10							1	7.5			7.5	4.0
AD11									1	6.0	6.0	4.0
AD12												6.7
AD13												6.7
AST1												3.0
AST2												3.0
AST3			1	2.0	1	9.5					5.8	3.0
AST4									1	3.0	3.0	3.0
AST5												4.0
AST6	1	3.0									3.0	4.0
AST7												4.0
AST8			1	4.0							4.0	4.0
AST9												n/a
AST10												5.0
AST/SC1												4.0
AST/SC2												5.0
AST/SC3												5.9
AST/SC4												6.7
AST/SC5												8.3
TOTAL	5		6		6		7		8			

Table 22: Reclassification of temporary staff/promotion of officials

3.5.1.2.2 *Reclassification of contract staff*

Function group	Grade	No of staff in activity on 1 January 2020 (year .01.N – 2 (2020))	No of staff reclassified in 2021 (year N n – 1 (2021))	Average number of years in grade of reclassified staff members	Average number of years in grade of reclassified staff members (according to Decision C(2015) 9561)
CA IV	17	–	–	–	6–10
	16	1	–	–	5–7
	15	–	–	–	4–6
	14	–	–	–	3–5
	13	1	–	–	3–5
CA III	11	–	–	–	6–10
	10	–	–	–	5–7
	9	–	–	–	4–6
	8	–	–	–	3–5
CA II	6	–	–	–	6–10
	5	–	–	–	5–7
	4	–	–	–	3–5
	3	–	–	–	6–10
CA I	2	–	–	–	3–5
	1	–	–	–	6–10

Table 23: Reclassification of contract staff

No contract staff members were reclassified during 2020 or 2021.

3.5.1.3 *Mobility policy (internal mobility, between EU bodies and between EU bodies and the institutions)*

3.5.1.3.1 *Mobility within the SESAR 3 Joint Undertaking*

Vacancy notices are accessible internally as well as externally, and staff members are always given the opportunity to apply.

3.5.1.3.2 *Mobility between EU bodies*

Until 2016, the SESAR 3 JU's statutory staff were excluded from the possibility of benefiting from mobility between the EU agencies, substantially limiting one of the key elements of the career development of its staff. This situation has changed with the adoption of new implementing rules on the selection of those for TA 2(f) positions in April 2016 under the new staff regulations.

3.5.1.3.3 *Mobility between the SESAR 3 Joint Undertaking and the institutions*

The SESAR 3 JU currently has no posts occupied by an official from an institution ⁽¹⁵⁾; nevertheless, where appropriate, the SESAR 3 JU can consider favouring mobility with the institutions.

⁽¹⁵⁾ There is one TA who is on secondment from the European Commission in its own interest.

3.5.1.4 Gender representation

Since its establishment, the SESAR 3 JU has ensured equal opportunities for staff and has done its best to attract specialised technical staff of the highest calibre. The equal opportunities policy is applied to recruitments in order to secure a gender balance and a geographical balance in a domain of operations that appears to be highly unbalanced.

Gender	Function group	Officials		TAs		CAs		Total	
		No of staff	%	No of staff	%	No of staff	%	No of staff	%
Female	AD/FG IV			15		1		16	46
	AST and AST/SC			4				4	11
	Total			19		1		20	57
Male	AD			13				13	37
	AST and AST/SC			2				2	6
	Total			15				15	43
Overall total				34	97	1	3	35	100

Table 24: Gender representation of statutory staff (officials, TAs and CAs) as at 31.12.2021

Gender	2017 (year N – 5)		2021 (year N – 1)	
	No of staff	%	No of staff	%
Female managers	0	0	0	0
Male managers	2	100	3	100
Total	2	100	3	100

Table 25: Gender evolution of middle and senior management over 5 years

3.5.1.5 Geographical balance

Nationality	AD and CA FG IV		AST/SC, AST, CA FG I–III		Total	
	No of staff	% of total AD and CA FG IV staff	No of staff	% of total AST/SC, AST, CA FG I–III staff	No of staff	% of total staff
Belgium	3	10	2	33	5	14
Bulgaria	1	3	1	17	2	6
Cyprus	0	0	1	17	1	3
Germany	1	3	0	0	1	3
Greece	2	7	0	0	2	6
France	5	17	1	17	6	17
Ireland	2	7	0	0	2	6
Italy	4	14	1	17	5	14

Lithuania	1	3	0	0	1	3
Netherlands	2	7	0	0	2	6
Portugal	1	3	0	0	1	3
Spain	4	14	0	0	4	11
United Kingdom	3	10	0	0	3	9
Total	29	100	6	100	35	100

Table 26: Geographical representation of statutory staff (officials, TAs and CAs) as at 31 December 2021

Most represented nationality	2017 (year N – 5)		2021 (year N – 1)	
	Number	%	Number	%
United Kingdom	7/38	18	3/35	9

Table 27: Evolution of the most-represented nationality in the SESAR 3 JU over 5 years

3.5.1.6 Schooling

The European Schools in Brussels should cover the schooling needs of children of SESAR 3 JU staff, for those members of staff currently eligible.

Agreement in place with the European School(s)	
Contribution agreements signed with the European Commission on type I European Schools (yes/no)	No. SESAR staff with a contract with a minimum duration of 1 year and who receive family allowances from the SESAR 3 JU can have their children enrolled in the European Schools (type I) in Brussels as category I pupils, meaning that they are accepted as a priority and no school fees have to be paid by the parents. A contribution agreement was not concluded at that time owing to the low number of children of SESAR 3 JU staff in the European Schools
Contribution agreements signed with the European Commission on type II European Schools (yes/no)	No
Number of service contracts in place with international schools	0
Description of any other solutions or actions in place	n/a

Table 28: Schooling arrangements implemented by the SESAR 3 JU

3.5.2 Staff establishment plan

Function group and grade	2021				2022		2023	
	Authorised budget		Actually filled as of 31 December 2021		Envisaged		Envisaged (to be confirmed)	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD16								
AD15		1				1		
AD14								1
AD13		1				2		2
AD12		4		4		3		3
AD11		3		1		4		5
AD10		2		2		2		2
AD9		6		6		7		8
AD8		7		6		7		7
AD7		4		6		4		3
AD6		3		3		1		
AD5								
Total AD		31		28		31		31
AST11								
AST10								
AST9		1		1		1		1
AST8								
AST7		1				1		1
AST6								
AST5		2		1		3		3
AST4		1		2				1
AST3		1		1		1		
AST2				1				
AST1								
Total AST		6		6		6		6
AST/SC6								
AST/SC5								
AST/SC4								
AST/SC3								
AST/SC2								
AST/SC1								
Total AST/SC		0		0		0		0
Overall total		37		34		37		37

Table 29: Multiannual staff policy plan for years 2021–2023

Function group	2021			2022	2023
	FTE corresponding to authorised budget	Executed FTE as of 31 December 2021	Headcount as of 31 December 2021	FTE corresponding to authorised budget	FTE corresponding to authorised budget
IV	1	1	1	1	1
III					
II					
I					
Total	1	1	1	1	1

Table 30: Evolution of CAs per function group over the 2021–2023 period

Seconded national experts	2021			2022	2023
	FTE corresponding to authorised budget	Executed FTE as of 31 December 2021	Headcount as of 31 December 2021	FTE corresponding to authorised budget	FTE corresponding to authorised budget
Total	2	1.75	2	2	2

Table 31: Evolution of SNEs over the 2021–2023 period

Job title in the SESAR JU	Type of contract (official, CA, TA)		TA/official		CA
			Function group/grade of recruitment internal and external (single grade) foreseen for publication		Recruitment function group (I, II, III and IV)
	Due to foreseen retirement/mobility	New post requested due to additional tasks	Internal	External	
Executive Director	TA		n/a	AD14	
Grant manager	TA		AD5–AD7	AD6	
Financial officer	TA		AD5–AD7	AD6	
Programme officer	TA		AD6–AD8	AD7	
Head of quality, planning and reporting	TA		AD7–AD9	AD8	

Table 32: Recruitment forecasts for 2022 and 2023 following retirement/mobility or new requested posts

3.6 Efficiency gains

The SESAR 3 JU will implement a strategy to secure efficiency gains.

It will benefit from the following efficiency measures and synergies that were already implemented in the context of the SESAR 3 JU from 2008 to 2021, which will be continued.

- **Collaboration with EUROCONTROL.** In application of Article 158 the SESAR 3 JU will seek the maximum synergies with EUROCONTROL regarding the services that the latter can make available. For other services, the SESAR 3 JU will reflect on possibilities to find back office arrangements with the other JUs where possible.
- **Collaboration with the European Commission.** The SESAR 3 JU will leverage synergies from the use of the European Commission's ICT systems and services (such as contracts with ICT service providers and suppliers). The ICT systems supplied by the Commission are in particular related to:
 - financial management and accounting systems (attribute-based access control (ABAC));
 - human resources management (SYSPER job information system modules, DOC Engine);
 - the management of Horizon Europe calls for proposals and grants;
 - procurement (e-procurement);
 - document management, namely the use of the European Commission's advanced records system (ARES), a document management system.
- **Quality management.** The SESAR 3 JU undertakes regular process improvement initiatives in the context of the quality management system (QMS) supervised by the QICT Committee in order to monitor the effectiveness and efficiency of business processes and IT tools, and to focus on value added activities.
- **Information and document management.** The QICT Committee also supervises the implementation and continuous improvement of the SESAR JU's information and document management system (IDMS), which aims to simplify and streamline the management of information and documentation within the organisation. The IDMS is based on software implemented in 2017 and has been under constant improvement since then. The implementation of modules in ARES will also streamline communication with Commission services.
- **Electronic workflows.** The managed configuration of electronic systems supporting quality and information processes and key workflows that can be operated either locally or remotely makes teleworking measures possible, with limited impact on the SESAR 3 JU's business continuity. This is thanks to the effectiveness of the SESAR 3 JU's ICT system, which includes collaboration platforms and electronic workflows that, in combination with ABAC workflows, support the most critical processes bound with strict deadlines.
- **Staff mission management.** Owing to the increased use of video conferences, the number of missions has been reduced, especially for recurring monitoring activities such as project reviews. This represents a significant benefit in terms of environmental footprint, efficiency and work-life balance.

The SESAR 3 JU will develop close cooperation and ensure coordination with other European partnerships, where appropriate, through joint calls. For example, in 2022, the SESAR 3 JU will participate, together with the other joint undertakings under Horizon Europe, in a study to explore possible efficiency gains in a back-office arrangement approach.

Furthermore, in accordance with Article 165 of the financial regulation ⁽¹⁶⁾, whenever there is a possibility for realising efficiency gains, the SESAR 3 JU and other EU institutions and bodies concerned may carry out the procedure and the management of the subsequent contract or framework contract on an interinstitutional basis under the lead of one of these contracting authorities.

⁽¹⁶⁾ Regulation (EU, Euratom) 2018/1046 of the European Parliament and of the Council of 18 July 2018 on the financial rules applicable to the general budget of the Union, OJ L 193, 30.7.2018, p. 1.

4 Governance

4.1 Objectives, activities, indicators and targets

	Objectives	Activities	Indicators	Target for 2022	Target for 2023
SESAR 3 JU	Ensure effective and efficient governance of the programmes implemented by the SESAR 3 JU	Organisation of meetings of the Governing Board	Number of meetings of the Governing Board	at least 2 per year ⁽¹⁷⁾	at least 2 per year
		Organisation of meetings of the scientific advisory body	Number of meetings of the scientific advisory body (Scientific Committee)	2 per year ⁽¹⁸⁾	2 per year
		Organisation of meetings of the States' Representatives Group	Number of meetings of the States' Representatives Group	2 per year ⁽¹⁹⁾	2 per year
SESAR 2020	Ensure effective and efficient supervision and closure of the SESAR 2020 programme	Organisation of meetings of the SESAR 2020 Programme Committee and Delivery Management Subcommittee (DMSC)	Number of meetings of the SESAR 2020 Programme Committee and DMSC	4 per year each	2 per year each
Digital European Sky programme	Ensure effective and efficient supervision of the Digital European Sky programme	Establishment of the SESAR 3 Programme Committee and DMSC	Percentage of requested members appointed	100 %	n/a
		Organisation of the meetings of the SESAR 3 Programme Committee and DMSC	Number of meetings of the SESAR 3 Programme Committee and DMSC	3 per year each	4 per year each

Table 33: Objectives, activities, indicators and targets for governance related issues in 2022 and 2023

⁽¹⁷⁾ Article 16(5) of the Single Basic Act

⁽¹⁸⁾ Article 21(6) of the Single Basic Act.

⁽¹⁹⁾ Article 20(3) of the Single Basic Act.

4.2 SESAR 3 Joint Undertaking governing bodies

With reference to the basic act of the SESAR 3 JU, the governance is depicted in Figure 6.

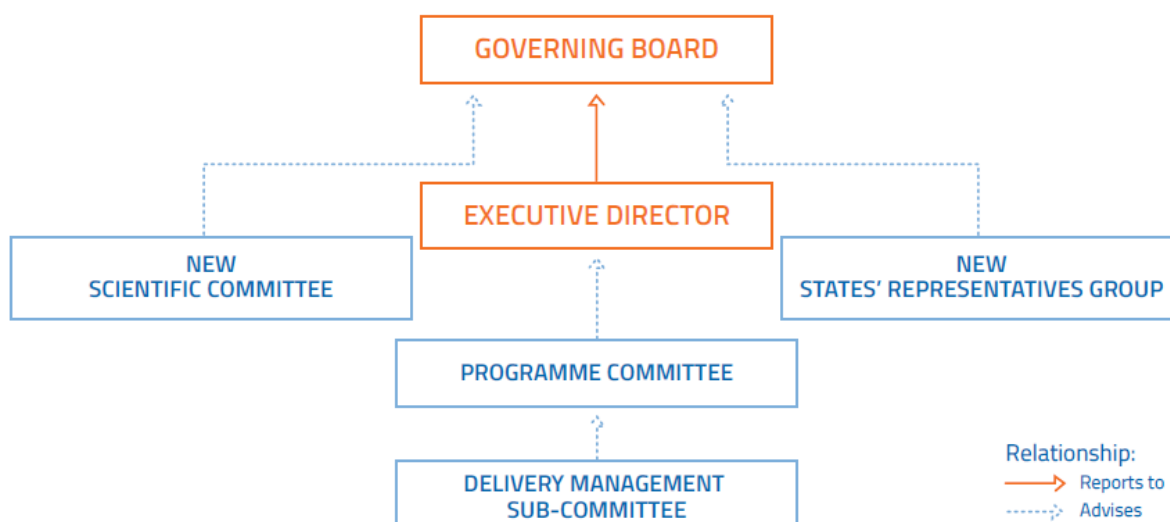


Figure 6: SESAR 3 JU governance

4.2.1 Governing Board

The composition, functioning and tasks of the SESAR 3 JU are outlined in Section 3.1.1 of the MAWP.

Under the supervision of the Executive Director, in Q1 2022 the SESAR 3 JU will complete the setting up of the Governing Board, which began in November 2021. Throughout 2022, it will provide secretariat support, assisting new members and organising the Governing Board meetings and written procedures necessary to the functioning of the decision-making process.

During the period of reference, the Governing Board will also ensure the oversight of the successful implementation of the action plan for simplification and strengthening of the Master Plan process.

Table 34 provides a provisional timetable for key Governing Board activities and decisions in 2022 and 2023.

Q1 2022	Adopt a decision on the Internal Audit Capability's work plan for 2022 Adopt the first BAWP (for 2022–2023)
Q2 2022	Adopt the <i>Consolidated Annual Activity Report 2021</i> and the decision on its assessment Adopt the membership agreement Adopt the SESAR 3 JU–EUROCONTROL agreement Appoint the SESAR 3 Executive Director Adopt the SESAR 3 JU communication policy/strategy
Q3 2022	

Q4 2022	Deliver an opinion on the SESAR 3 JU's final accounts
Q1 2023	
Q2 2023	Adopt the <i>Consolidated Annual Activity Report 2022</i> and the decision on its assessment
Q3 2023	
Q4 2023	Adopt a plan for the phasing out of the SESAR 3 JU from Horizon Europe funding

Table 34: Provisional timetable for key Governing Board activities and decisions in 2022 and 2023

4.2.2 Executive Director

The functions of the Executive Director are outlined in Section 3.1.2 of the MAWP.

4.2.2.1 SESAR 3 Joint Undertaking Programme Committee

The role of the SESAR 3 JU Programme Committee is described in Section 3.1.2.1 of the MAWP. In accordance with the MAWP, this committee will be established in the course of 2022 and will operate for the duration of the Digital European Sky programme. The SESAR 3 JU Programme Committee may establish subcommittees to work on detailed tasks.

4.3 Advisory bodies

4.3.1 States' Representatives Group

The role of the States' Representatives Group is described in Section 3.1.3.1 of the MAWP. This group will be established in the course of 2022 with the objective to hold two meetings per year. Additional information on the activities to be carried out by the States' Representatives Group will be made available in the amended work programme.

4.3.2 Scientific Committee

The role of the Scientific Committee is described in Section 3.1.3.1 of the MAWP. This committee will be established in the course of 2022. Additional information on the activities to be carried out by the Scientific Committee will be made available in the amended work programme.

5 Strategy and plans for the organisational management and internal control systems

5.1 Objectives, activities, indicators and targets

SESAR 3 JU	Objectives	Activities	Indicators	Target for 2022	Target for 2023
	Monitor the efficiency and effectiveness of the SESAR 3 JU's corporate and management activities	TBD	Level of compliance with the internal control framework during 2022 and 2023	100 %	100 %
		TBD	- Discrepancies against processes, and their translation into QMS improvement actions - Continuous registration of improvement actions as part of the SESAR 3 JU's QMS	All process improvement actions taken in accordance with the defined action plan, if discrepancies are found	All process improvement actions taken in accordance with the defined action plan, if discrepancies are found
		TBD	Level of corporate risks as per the risk management plan, allowing for the leveraging of opportunities	The level of corporate risks is acceptable and all risk-related actions are implemented in accordance with the mitigation plan	The level of corporate risks is acceptable and all risk-related actions are implemented in accordance with the mitigation plan
	Monitor the efficiency and effectiveness of budget and finance activities	Preparation of the budget-related documentation in line with the Commission standards and requirements	Budget request for 2023 and 2024 submitted in a timely manner to the European Commission	100 % (for 2023)	100 % (budget request for 2024)
		Accurate execution and monitoring of the budget	Percentage of SESAR 2020 and Digital European Sky balancing payments executed in a timely manner	100 % of requested payments made	100 % of requested payments made

Objectives	Activities	Indicators	Target for 2022	Target for 2023
	Supervision of levels of commitment and payment appropriations	Budget execution: commitment and payment appropriations are executed as planned in the 2022 and 2023 budget	90 % for commitment appropriations 85 % for payment appropriations	90 % for commitment appropriations 85 % for payment appropriations
	- Analysis and correction of the accounts - Preparation of the necessary documentation in line with Commission standards and requirements	Completion of 2021 and 2022 annual accounts within regulatory deadlines	100 % (for 2021 annual accounts)	100 % (for 2022 annual accounts)
	Support for the European Court of Auditors' audit and provision of relevant documentation leading to an unqualified opinion on the 2021 and 2022 accounts	European Court of Auditors' opinion on the 2021 and 2022 accounts	Unqualified opinion (for 2021 annual accounts)	Unqualified opinion (for 2022 annual accounts)
Monitor the efficiency and effectiveness of project audit activities	- Quarterly follow-up of the status of the audits (open/scheduled/finalised) - Participation in meetings of the Research & Innovation Audit Network (RIAN) where the status of all audits is presented by the Common Audit Service of the Directorate-General for Research and Innovation (CAS) - Organise meetings with the CAS to discuss particular issues/delays	Horizon 2020 project audits: provision of necessary inputs to the CAS to execute audits	100 % (in 2021)	100 % (in 2022)

Objectives	Activities	Indicators	Target for 2022	Target for 2023
Monitor the exception and non-compliance events register	Register the exceptions and non-compliance events per SESAR 3 JU area	Percentage of exceptions and events that are identified and notified	100 %	100 %

Table 35: Objectives, activities, indicators and targets for internal control framework in 2022 and 2023

5.2 SESAR 3 Joint Undertaking's quality management system (QMS)

The SESAR 3 JU implements the Commission's internal control framework through its QMS, which has been in place since 2015 ⁽²⁰⁾ and is accessed via a portal on the SESAR 3 JU's IT system. The QMS is implemented to help the SESAR 3 JU to achieve its objectives and optimise its value to stakeholders. The SESAR 3 JU's quality manual describes the approach, which is represented in Figure 7.

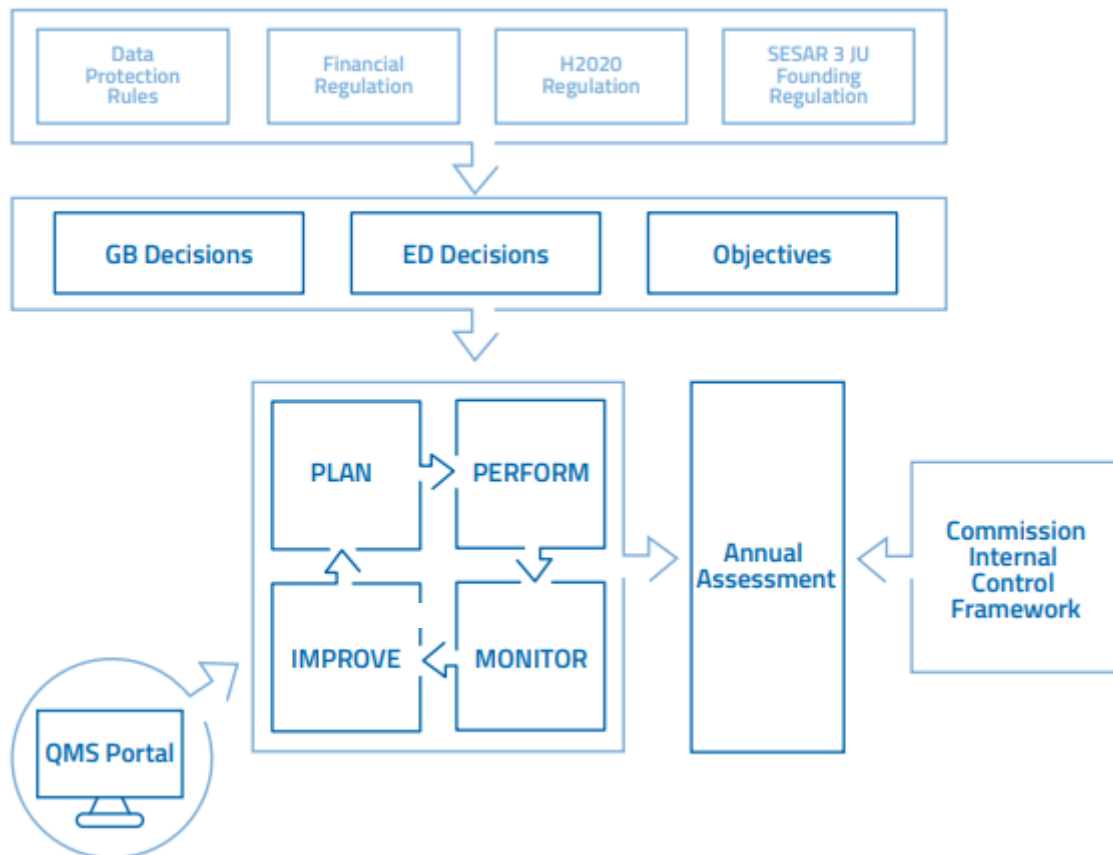


Figure 7: The SESAR 3 JU implementation of the internal control framework

The suitability and effectiveness of the achievement of the SESAR 3 JU objectives (including the performance of the QMS) is assessed annually and is reported using relevant indicators for all internal control principles and related characteristics in the consolidated annual activity report.

The SESAR 3 JU quality manual includes a defined strategy to deploy a QMS and applies continual improvement through a 'plan, do, check and act or improve' (PDCA) cycle. This continual improvement cycle applies to all of the processes used at the SESAR 3 JU to comply with its obligations and to deliver its expected results. It also involves the tools related to these processes, and the people who use these tools and processes. The SESAR 3 JU application of the PDCA cycle is summarised below.

Plan. The BAWP is the primary planning tool for the SESAR 3 JU and is complemented by lower level objective-setting established by the Executive Director with the corporate management team.

⁽²⁰⁾ The quality management approach at the SESAR 3 JU has been defined and adopted through SJU/ED/395.

Do. The SESAR 3 JU meets its objectives through the execution of its processes and the suitability of these processes to the objectives. Ensuring the stability of the processes and their alignment with the strategic goals and objectives is key to the SESAR JU's performance and to ensuring that stakeholder requirements can be met, which will in turn help build stakeholder confidence.

Roles and responsibilities with regard to process management are established. Process definitions together with a comprehensive process map are published through the QMS portal. By monitoring adherence to these processes, the QMS helps the SESAR JU to achieve these results.

Check. Several structures have been put in place, either by a regulation or by a decision of the Executive Director, to monitor the SESAR 3 JU's activities. These structures benefit from input from the QMS regarding the adherence of the SESAR 3 JU to its processes, and the adequacy of such processes. These structures include:

- the Governing Board;
- the corporate management team;
- the Budget Control Committee;
- the QICT;
- the project audit;
- the Internal Audit Capability;
- annual reporting through the consolidated annual activity report.

Act (improve). As a result of monitoring activities, the SESAR 3 JU routinely initiates change and improvement initiatives.

5.2.1 Annual assessment

In continuity with 2021, in 2022 and 2023, the SESAR 3 JU will carry out corporate management team annual reviews to assess and monitor the performance of the SESAR JU by assessing each of the relevant indicators for all internal control principles and related characteristics.

The consolidated annual activity reports will report on these indicators.

5.3 Financial management

The SESAR 3 JU will continue to work with a proactive approach to ensure the transparent and effective management of financial resources and a high level of budget implementation (in terms of both commitments and payments).

During 2022 and 2023, the SESAR 3 JU will continue to streamline workflows within the SESAR 3 JU's finance-related IT systems (ABAC by SAP and the SESAR 3 JU's own IDMS, complemented with Speedwell for some financial transactions) and with regard to the Horizon 2020 IT tools (SYGMA/COMPASS), and to maintain a high level of accuracy in budgetary forecasting.

The procedures and tools at the SESAR 3 JU related to services contracted to the European Commission's Directorate-General for Budget will continue to be implemented in accordance with the service agreements. These services are treasury services, accounting, the central budgetary framework, recovery actions, the validation of local systems and financial reporting.

5.3.1 Financial monitoring and control

In 2022 and 2023, the SESAR 3 JU will ensure the reliability and completeness of the financial information necessary for the budgetary execution. The SESAR JU's budget implementation financial procedures, circuits and controls will be monitored through diverse axes certifying adequate and efficient documentation with appropriate scrutiny and quality control of the implemented budget.

This will require:

- evaluation of the financial processes and circuits in the finance and budget field, with adaptation to the new financial rules when necessary;
- an analysis of *ex ante* control in terms of risk and efficiency;
- reviews of the accessibility and correctness of reports;
- deployment and documentation through dashboards;
- ensuring the accuracy of ABAC workflow users and access rights;
- arrangements for new financial e-tools to be deployed or upgraded.

5.4 Internal control, risk management and audits

5.4.1 *Ex ante* controls

Ex ante controls remain important tools to help the SESAR 3 JU prevent errors and to avoid the need for *ex post* corrective actions. In accordance with Article 74 of the EU financial regulation and Article 21 of the SESAR 3 JU's financial rules, 'each operation shall be subject at least to an *ex ante* control relating to the operational and financial aspects of the operation, on the basis of a multiannual control strategy which takes risk into account'. The main objective of *ex ante* controls, therefore, is to ensure that the principle of sound financial management is applied. In 2022 and 2023, the following *ex ante* activities will take place:

- generating and checking grant agreements;
- initiating, checking and verifying invoices for administrative expenditure;
- assessing periodic reports from grants and verifying and paying cost claims.

5.4.2 *Ex post* controls

One of the other major pillars of assurance for the SESAR 3 JU is its *ex post* audit activity. Its main objectives are as follows:

- providing the authorising officer with the necessary elements of assurance on the operational expenditure in a timely manner;
- assessing the regularity and legality of the transactions;
- attaining residual error rates at an acceptable level at the closure of the SESAR 2020 programme, once the financial impact of all audits and correction and recovery measures has been taken into account;
- determining the sound financial management of the transactions, with the support of the internal or external technical experts, with the overall objective being to assess the value for money of the SESAR 3 JU's operations;

- identifying systemic errors through the analysis and synthesis of the results obtained and formulating recommendations to address the issues;
- providing the SESAR 3 JU auditees with recommendations to improve the financial management, processes, procedures and practices applied to the activities related to the SESAR 3 JU's contracts, with the main purpose being to ensure that recurring errors are avoided by the SESAR 3 JU beneficiaries.

In 2022, the audit activity is expected to encompass (according to the Horizon 2020 audit strategy) audits in 15 participations performed by CAS, as well as the follow-up and closure of any pending audits previously launched, regular meetings and the exchange of information. For all of these activities, the SESAR 3 JU actively participates in the CAS coordination mechanisms.

In 2023, the audit activity will encompass audits in another 15 participations to be performed by CAS.

The SESAR 3 JU will also contribute to the implementation strategy for Horizon Europe, in particular by participating in discussions and making proposals for a common audit approach on common financial rules.

The enhanced communication campaign provided by CAS will support external stakeholders when needed.

5.4.3 Corporate risk management

The SESAR 3 JU risk management policy addresses up to five threads of risks: corporate risks, master plan risks, SESAR 3 JU internal risks, SESAR 2020 programme risks and Digital European Sky programme risks. The policy focuses on the management of critical risks, defined as risks that:

- endanger the realisation of objectives outlined in the European ATM Master Plan;
- cause serious damage to the SESAR partners (SESAR 3 JU members and the broader stakeholder community involved in the execution of the European ATM Master Plan);
- result in critical intervention at the political level (the European Parliament, Council of the European Union and European Commission) regarding the SESAR 3 JU's performance;
- result in the infringement of laws and regulations;
- result in the misuse of public money;
- put the safety levels of aviation at stake;
- in any way have a serious impact on the SESAR 3 JU's image and reputation.

The list of critical risks that may affect the achievement of the SESAR 3 JU's objectives for 2022 and 2023 as per the above definition, extracted from the SESAR 3 JU's corporate risk register, appears in Section 6 of Chapter II.

5.4.4 Strategic risk assessment

In November 2018, the Internal Audit Service (IAS) conducted a strategic risk assessment of the SESAR 3 JU. This entailed the IAS analysing all of the operational, administrative, financial and IT processes of the SESAR 3 JU, with the aim of identifying areas of risk and future audit topics. This strategic risk assessment was performed in coordination with the SESAR 3 JU Internal Audit Capability, and led to the 2019–2021 strategic internal audit plan, which was published in May 2019.

The next in-depth risk assessment by the IAS is planned for 2022 and a subsequent strategic audit plan for 2023–2025 is expected.

5.4.5 Anti-fraud strategy

Taking into account the screening of the fraud risks and the tools at the SESAR 3 JU's disposal, the SESAR 3 JU has decided to concentrate its efforts on achieving three strategic objectives. SESAR 3 JU staff, members of SESAR 3 JU committees, scientific experts and members of the governance bodies the Governing Board, the States' Representatives Group and the Scientific Committee must support these objectives and pursue the highest standards of honesty and integrity in the exercise of their duty. Section 6 of this chapter details the actions that will be taken by the SESAR 3 JU to reach these objectives.

5.4.5.1 Objective 1: Maintain a culture of integrity

Integrity and high standards of professional conduct are crucial for the independence and reputation of the SESAR 3 JU. By their nature, in joint undertakings, public and private interests are mixed and there is a likelihood of conflicts of interest, which, in the case of the previous period of operation of the SESAR 3 JU, was successfully managed over the duration of two programmes. The SESAR 3 JU's objective for this anti-fraud strategy is to continue to promote and maintain the highest level of ethical behaviour among staff in relation to the wider SESAR 3 JU community. This starts with an appropriate tone at the top, cultivating an organisational culture that does not tolerate fraud, malfeasance or other forms of corruption, and will include looking at lessons learned.

5.4.5.2 Objective 2: Build capacities through training and guidance

To ensure that managers and staff members are aware of their responsibilities regarding preventing fraud, awareness building is of key importance. Additionally, training is vital in maintaining the effectiveness and credibility of the strategy. Therefore, the SESAR 3 JU will focus on training and other awareness-raising actions on both ethics and fraud prevention and detection. Each staff member should be made aware of types of ethical behaviour that must be observed. In parallel, the SESAR 3 JU will update the existing anti-fraud guidelines to include the latest developments of the European Commission, and the templates used by the SESAR 3 JU to declare conflicts of interest will be updated. The SESAR 3 JU will ensure easy access to this information via an intranet page dedicated to anti-fraud and ethics.

5.4.5.3 Objective 3: Prevent and detect external fraud

The SESAR 3 JU has established *ex ante* and *ex post* controls for expenditures. Staff in operational and financial units are the best-placed persons to detect external fraud in the area of programme and project management. For financial staff, the objective of this strategy is to avoid double funding and ensure a strong focus on fraud detection. On the operational side, the SESAR 3 JU aims to sensitise beneficiaries to the most common errors and anti-fraud-related measures that the Commission is undertaking.

Regarding Horizon 2020 and Horizon Europe grant implementation, the anti-fraud action plan for the research family for 2019 (adopted by the Common Implementation Centre Executive Committee on 21 March 2019) develops additional anti-fraud provisions in the model grant agreement, IT systems and business processes (notably, a specific tool to detect double funding detection and plagiarism is being set up). Therefore, an important element of this strategy is to stay abreast of the additional tools, processes and lessons learnt in the context of the anti-fraud action plan for the research family for 2019 and inform SESAR 3 JU staff accordingly.

The SESAR 3 JU plans to update its anti-fraud risk strategy during the period of reference of this work programme.

5.4.6 Audits

5.4.6.1 Internal Audit Capability

The SESAR 3 JU's Internal Audit Capability will perform audits and consulting engagements based on risks identified in 2021 and 2022. It will also coordinate activities with the IAS and the European Court of Auditors as described below. The 2023 annual audit plan of the Internal Audit Capability will be presented to the Governing Board in December 2022.

5.4.6.2 Internal Audit Service

Internal audits are carried out by the Internal Audit Service (IAS) in liaison with the Internal Audit Capability

In 2022, the IAS will conduct a Strategic Risk Assessment of the SESAR 3 JU. This entails that the IAS will analyse all operational, administrative, financial and IT processes of the SESAR 3 JU with the aim of identifying areas of risk and future audit topics. This Strategic Risk Assessment will lead to the next IAS the Strategic Internal Audit Plan, which will include the future audit topics for 2023 up to 2025.

Information about the internal audits to be carried out by the IAS in 2023 will be made available in the future amendments of the Bi-Annual Work Programme.

5.4.6.3 European Court of Auditors

At this stage, the SESAR 3 JU is not aware of any external audits to be conducted by the European Court of Auditors in 2022 or 2023, with the exception of the recurring annual audit of the accounts.

Information about the external audits to be carried out by the ECA in 2023 will be made available in the future amendments of the Bi-Annual Work Programme.

6 SESAR 3 Joint Undertaking's critical risks

Table 36 presents a summary of the most significant risks to be noted for the SESAR 3 JU and its activities. The risks were defined and maintained through the risk assessment exercise performed by the SESAR JU's management. Additional information on the SESAR 3 JU's risks will be made available in the amended work programme.

Risk reference	Description of risk	Affected objective(s) ⁽²¹⁾	Criticality	Summary of risk mitigation
CORP08	The COVID-19 crisis brings different types of challenges to the SESAR 3 JU relating to: - the members' ability to support the established programme delivery due to the financial/resource situation of the aviation sector: • the technical contributions of one or several members to the SESAR 2020 programme may be lower than provided for in the membership agreement; • this situation would have an impact on the outcome of the SESAR 2020 projects, the delivery of SESAR solutions and progress in achieving the master plan; - impacts on the internal functioning of the SESAR 3 JU: restrictions issued by the Belgian authorities and/or the European Commission on travel, access to the office and mandatory telework can lead to inefficiencies, misunderstandings and errors being made, as well as psychological impacts on staff.	- Monitor the efficiency and effectiveness of budget and finance activities (Section 5.1) - Execute the validation exercises of release 12 (Section 2.2.3.3) - Supervise and close IR-VLD wave 3 projects (under call for proposals H2020-SESAR-2020-2) (Section 2.2.3.3)	Moderate	• Undertake an impact analysis and implement adaptations to prioritise the SESAR programme delivery plans as required • Optimise the use of available funds through the transfer of the unused budget between calls • Establish support contracts with aviation stakeholders • Adapt the terms of payments for 2020 and 2021 to reduce the cash pressure on SESAR 3 JU members and beneficiaries (cash to be recovered in future years in accordance with the actual in-kind contributions to operational activities (IKOP)) • Increase pre-financing • Revise/optimize the SESAR 3 JU's running costs budget • Closely monitor and forecast the IKOP to enable accurate forecasting of the expected running cost contributions. If required, staff reduction and/or termination of contracts with service providers would be envisaged • Undertake ICT testing for full-scale telework arrangements • Trigger business continuity and establish a crisis management cell to prepare strategies and plans • Undertake a regular staff survey to monitor how staff adapt to the new working measures • Monitor the ICT infrastructure that supports the general teleworking arrangements, including mixed

⁽²¹⁾ This column identifies which objectives may be affected by the risk, should it occur, with reference to the objectives identified in the relevant sections of this chapter (2.2, 3.1, 4.1 and 5.1).

Risk reference	Description of risk	Affected objective(s) ⁽²¹⁾	Criticality	Summary of risk mitigation
				teleworking and working from premises modes • Acquire new IT tools, formalise new contracts and/or agreements with the Commission, and implement revised procedures supported by digital solutions (e.g. electronic workflows) to cope with the authorisation of legal and financial transactions • Maintain a return-to-office strategy to provide clear guidance to staff about maintaining a safe working environment • Organise coaching sessions for the staff to adapt to the teleworking measures • Maintain a reserve in the SESAR 3 JU budget • Monitor the effective contribution of non-EU members
CORP12	A letter has been received by the SESAR 3 JU announcing the withdrawal of the Directorate-General for Budget's accounting shared service from October 2022. Given the lack of available and skilled resource, this action puts at risk the continuity and ongoing quality of the accounting function	Monitor the efficiency and effectiveness of budget and finance activities (Section 5.1) – as the withdrawal of accounting services by the Directorate-General for Budget could lead to a reduced quality of accounting	High	Cooperate with other affected joint undertakings to find a common solution for all, for instance by operating a specific back office arrangement with other joint undertakings in this field.

Table 36: SESAR 3 JU critical risks and related mitigation actions

Chapter III – Budget²²

1 Revenue

Statement of revenue					
Title/chapter	Heading	Financial year 2022		Financial year 2023	
		Estimate commitment appropriations	Estimate payment appropriations	Estimate commitment appropriations	Estimate payment appropriations
EU contribution (excluding European Free Trade Association (EFTA) and third countries' contribution) ⁽²³⁾	1	126 280 927	135 166 728	86 511 174	88 246 348
<i>of which (fresh C1 administrative (Titles 1 and 2)</i>					
<i>of which frontloaded commitments (Titles 1 and 2)</i>	1.1		3 244 911		3 572 618
<i>of which operational (Title 3 & 4)</i>	1.1	86 280 927	111 921 817	86 511 174	84 673 730
<i>Of which C2 Operational (Title 4)</i>		40 000 000	20 000 000		

⁽²²⁾ 2023 Budget is subject to the adoption of the EU General Budget for 2023. All figures may be updated during the adoption procedure.

⁽²³⁾ This information has to be obtained by the joint undertakings from the parent Directorates-General when they work on the work programme or its update, as joint undertakings do not have an overview of the different fund sources that are included in the EU contribution. Even though the joint undertakings normally have an entry for the 'overall Union contribution received' (including EFTA and non-EU countries' contribution) under the contribution agreements, a breakdown of the EU contribution per fund source would allow the Commission financial services to cross-check it with the data already provided (from hearings, WD III and ABAC).

<i>of which related to additional entrusted tasks</i>					
EFTA and non-EU countries' contribution	1.1	2 131 139	2 652 962	2 136 826	2 120 740
<i>of which administrative EFTA (Titles 1 and 2)</i>	1.1		68 468		75 382
<i>of which administrative third countries excluding EFTA (Titles 1 and 2)</i>	1.1				
<i>of which operational EFTA (Title 4)</i>	1.1	2 131 139	2 584 494	2 136 826	2 045 358
<i>of which operational third countries excluding EFTA (Title 3)</i>	1.1				
Financial members other than the EU contribution	2.1; 3.1	4 158 552	4 158 552	4 073 142	4 073 142
<i>of which administrative (Titles 1 and 2)</i>		4 158 552	4 158 552	4 073 142	4 073 142
<i>of which operational (Title 3)</i>					
Financial contributing partners' contribution					
Interest generated					
Unused appropriations from previous years	5.1	5 504 174	1 454 795	5 437 740	1 789 740
<i>of which administrative</i>		4 768 174	1 454 795	5 437 740	1 789 740
<i>of which operational</i>		736 000			

Total estimated revenue		138 074 792	143 433 037	98 158 882	96 229 970
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Table 37: SESAR 3 JU revenues in 2022 (year N) and 2023 (year N+1) – commitment & payment appropriations

SESAR 2020 JU in-kind revenue over the 2021-2024 period (N to N+3)

(EUR)

TITLE/CHAPTER	Revenue entitlements estimated by the agency			
	N (2021)	N+1 (2022)	N+2 (2023)	N+3 (2024)
1. In-kind contribution from founding members	60.579.895	49.882.346	60.428.898	60.335.476
<i>1.1 European Union</i>	-	-	-	-
<i>1.2 EUROCONTROL</i>	60.579.895	49.882.346	60.428.898	60.335.476
2. In-kind contribution from other members	45.974.388	31.269.511	39.572.124	48.841.330
<i>2.1 Other members</i>	45.974.388	31.269.511	39.572.124	48.841.330
TOTAL in-kind revenue	106.554.283	81.151.857	100.001.022	109.176.805

Table 38: In-kind revenue budget over the 2021–2024 period (N-1 to N + 3)

2 Expenditure

STATEMENT OF EXPENDITURE					
Title Chapter	Heading	Financial YEAR 2022		Financial YEAR 2023	
		Estimate commitment appropriations	Estimate payment appropriations	Estimate commitment appropriations	Estimate payment appropriations
1. Staff					
Salaries and allowances	11	5 364 700	5 364 700	5 606 615	5 606 615
of which establishment plan posts		4 700 000	4 700 000	4 928 621	4 928 621
of which external personnel		664 700	664 700	677 994	677 994
Expenditure relating to staff recruitment	12	5 000	5 000	5 100	5 100
Mission expenses	13	200 000	200 000	204 000	204 000
Socio-medical infrastructure	14				
Training	15	40 000	40 000	40 800	40 800
External services	16	90 000	90 000	91 800	91 800
Receptions, events and representation	17				

Social welfare	18				
Other staff-related expenditure	19	50 000	50 000	51 000	51 000
2. Infrastructure and operating					
Rental of buildings and associated costs	20	928 960	928 960	1 147 539	1 147 539
ICT and data processing	21	1 568 619	1 568 619	1 670 992	1 670 992
Movable property and associated costs	22	12 000	12 000	12 240	12 240
Current administrative expenditure	23	273 043	273 043	278 504	278 504
Postage/telecommunications	24				
Meeting expenses	25	10 404	10 404	10 612	10 612
Running costs in connection with operational activities	26				
Information and publishing	27	384 000	384 000	391 680	391 680
Studies	28				
Other infrastructure and operating expenditure					

Total administrative (1 + 2)		8 926 726	8 926 726	9 176 261	9 176 261
3. Operational					
SESAR 2020 programme	3	736 000	51 047 975		13 071 087
Digital European sky programme	4	128 412 066	83 458 336	88 648 000	70 000 000
Total operational (3 + 4)		129 148 066	134 506 311	88 648 000	83 071 087
Title 5 - Unused Appropriations not required in current Year	5				3 648 000
Estimated total expenditure		138 074 792	143 433 037	98 158 882	96 229 970

Table 39: Detailed SESAR 3 JU expenditure budget in 2022 (year N) and 2023 (year N+1) – commitment & payment appropriations

Annexes

1 Annex I. Annual additional activities plan

Article 147 of the Single Basic Act specifies that additional activities can be linked to projects (Article 147(a) and (e)) or to other additional activities (Article 147(b), (c) and (d)). Therefore, the SESAR 3 JU will detail both types in this plan.

For additional activities linked to projects (funded with CEF funds or Horizon Europe funds), the projects of the DSD1 call should only start in the second half of 2022, while the S3 ER1 and S3 IR1 projects will only start in the first half of 2023. Therefore, there should be no additional activities declared in 2022. Should additional activities for 2023 be defined during the preparation or implementation of the projects, the SESAR 3 JU will make such information available in the amended work programme.

Regarding other additional activities, workshops are going to be organised in the course of 2022 (once a programme committee is established for the SESAR 3 JU), where operational representatives of the members and the SESAR 3 JU will identify the type of additional activities that could be easily declared by the members (Article 147 of the Single Basic Act) and how to achieve simplification, cost-effectiveness and an appropriate level of protection of confidential commercial data (Recital 30 of the Single Basic Act). The result of these workshops will be used to complete the annual additional activities plan of 2023.

The major categories of other additional activities could include members activities in:

- industrialisation activities, including standardisation, certification and production, related to SESAR solutions;
- communication and outreach activities related to SESAR Solutions;
- activities ensuring the global ATM harmonisation based on SESAR Solutions;
- the deployment or uptake of results from projects under the SESAR 3 JU that have not received any EU funding;
- providing direct support to the SESAR 3 JU from staff of the members of the SESAR JU while they undertake operational activities under the direct supervision of the SESAR 3 JU on its premises (similarly to previous secondment arrangements of staff from the members to the SESAR 3 JU that will end in December 2022).

2 Annex II. Calls for proposals – Full description

2.1 Call HORIZON-SESAR-2022-DES-ER-01

2.1.1 Scope of the call

This call is composed of three Work Areas:

- **WA1 – Fundamental Science and Outreach** comprises the exploratory research necessary to develop new concepts for ATM beyond those identified in the European ATM Master Plan, and will help to develop emerging technologies and methods to the level of maturity required to feed the applied research conducted by the SESAR 3 JU. This part of the research is structured around the same Flagships identified in the rest of the Programme to ensure there is a flow of ideas and results in a structured manner across the whole programme.
- **WA2 – ATM Application-Oriented Research** comprises the exploratory research aiming at bridging the results of ATM excellent science and outreach with the higher maturity ATM research performed with the wider research community, as part of SJU Industrial Research activities, and providing the necessary scientific support to ATM change.
- **WA3 – Knowledge Transfer Network** comprises the support to the SJU to continue supporting the overarching view across ATM exploratory research established in SESAR2020, providing a coordinated exchange of research knowledge across a wide range of relevant themes, and within the context of this networking, help to further stimulate the future ATM skilled work-force. The challenge is to support and encourage collaborative research on future and emerging innovative ideas, expertise and knowledge for the benefit of the future evolution of the European ATM system and its people.

2.1.2 General conditions for the call

Unless otherwise stated, the call follows the general conditions laid down in Horizon Europe Work Programme 13. General Annexes, adopted by the European Commission ⁽²⁴⁾.

Topics	Type of Action	Budgets (EUR million)	Maximum expected EU contribution per project (EUR million) ⁽²⁵⁾
		2022	
Opening: 7 April 2022 Deadline ⁽²⁶⁾ : 13 October 2022			
DES-ER1-WA1-1	RIA		1.00

⁽²⁴⁾ European Commission Decision C(2021)1940 of 31 March 2021

⁽²⁵⁾ Nonetheless, this does not preclude submission and selection of a proposal requesting different amounts.

⁽²⁶⁾ The Executive Director responsible may delay the deadline(s) by up to two months. All deadlines are at 17.00.00 Brussels local time.

DES-ER1-WA1-2	RIA	10.00	1.00
DES-ER1-WA1-3	RIA		1.00
DES-ER1-WA1-4	RIA		1.00
DES-ER1-WA1-5	RIA		1.00
DES-ER1-WA1-6	RIA		1.00
DES-ER1-WA1-7	RIA		1.00
DES-ER1-WA1-8	RIA		1.00
DES-ER1-WA2-1	RIA	10.00	2.00
DES-ER1-WA2-2	RIA		2.00
DES-ER1-WA2-3	RIA		2.00
DES-ER1-WA2-4	RIA		2.00
DES-ER1-WA2-5	RIA		2.00
DES-ER1-WA2-6	RIA		2.00
DES-ER1-WA2-7	RIA		2.00
DES-ER1-WA2-8	RIA		2.00
DES-ER1-WA3-1	CSA	3.75	N/A
Overall indicative budget		23.75	

Table 40: Budget allocation and maximum expected EU contribution per project per Work Area under the DES-ER1 call for proposals with identifier HORIZON-SESAR-2022-DES-ER-01

General conditions relating to this call	
<i>Admissibility conditions</i>	The conditions are described in General Annex A of the Horizon Europe Work Programme 2021–2022.
<i>Eligibility conditions</i>	The conditions are described in General Annex B of the Horizon Europe Work Programme 2021–2022.
<i>Financial and operational capacity and exclusion</i>	The criteria are described in General Annex C of the Horizon Europe Work Programme 2021–2022.
<i>Award criteria</i>	The criteria are described in subparagraph 3.1.3 below.
<i>Documents</i>	The documents are described in General Annex E of the Horizon Europe Work Programme 2021–2022.

<i>Procedure</i>	The procedure is described in General Annex F of the Horizon Europe Work Programme 2021–2022. The following exceptions apply: To ensure a balanced portfolio, grants will be awarded to applications not only in order of ranking, but at least also to those that are the highest ranked within topics within the same Work Area, provided that the application attain the threshold.
<i>Legal and financial set-up of the Grant Agreements</i>	The rules are described in General Annex G of the Horizon Europe Work Programme 2021–2022. The following exceptions apply: 1) Beneficiaries will be subject to the following additional dissemination obligations: Beneficiaries must make proactive efforts to share on a royalty free basis, in a timely manner and as appropriate, all relevant results with the other grants awarded under the same call. Beneficiaries must acknowledge and incorporate these obligations in the proposal, outlining the efforts they will make towards meeting them and in Annex I to the Grant Agreement. 2) Beneficiaries will be subject to the following additional exploitation obligations: For the purpose of complying with the objectives set in Council Regulation 2021/2085, the SRIA and the European ATM Master plan, beneficiaries must: - make available for re-use under fair, reasonable and non-discriminatory conditions all relevant results generated in the action, through a well-defined mechanism, in trusted repositories; - in case the purpose of the specific identified measures to exploit the results of the action is related to standardisation, grant the non-exclusive licence to the results royalty-free; - in case on linked actions, ensure mutual access to the background and to the results of on-going and closed linked actions, should this be needed for implementing tasks under the linked action(s) or for exploiting results generated by the linked action(s) as defined in the conditions laid down by this (bi)annual work programme and by the call for proposals. Beneficiaries must acknowledge and incorporate these obligations in the proposal, outlining the efforts they will make towards meeting them and in Annex I to the Grant Agreement.
<i>Other conditions</i>	The integration of the gender dimension (sex and gender analysis) in R&I content is not a mandatory requirement.

**Table 41: General conditions for the DES-ER1 call for proposals with identifier
HORIZON-SESAR-2022-DES-ER-01**

2.1.3 Award criteria**Exploratory Research (WA 1 & 2)**

Type of Actions	Excellence <i>(The following aspects will be taken into account, to the extent that the proposed work corresponds to the description in the work programme)</i>	Impact	Implementation
JU-Research Innovation Actions (RIAs)	1. Clarity and pertinence of the proposal: degree to which the objectives, scope and requirements defined in the Call material are well understood and fully addressed. 2. Soundness of the proposed methodology to develop the SESAR solutions, including the underlying concepts, models, assumptions, interdisciplinary approaches. It also includes, appropriate consideration of the gender dimension in R&I content, and the quality of open science practices²⁷, including sharing and management of research outputs and engagement of citizens, civil society and end users where appropriate. 3. Level of awareness of the state-of-the-art: degree to which the proposal demonstrates knowledge of current operations and relevant previous R&D work (both in and outside of SESAR) and explains how their proposed work is beyond the state of the art, and demonstrates innovation potential.	1. Credibility of the pathways to achieve the expected outcomes and impacts specified in the call material. 2. Suitability and quality of the measures to maximise expected outcomes and impacts, as set out in the dissemination and exploitation plan, including communication activities.	1. Quality and effectiveness of the work plan, assessment of risks, and appropriateness of the effort assigned to work packages, and the resources overall. 2. Capacity and role of each participant, and the extent to which the consortium as a whole brings together the necessary expertise.

⁽²⁷⁾ EU's Open Science Policy (https://ec.europa.eu/info/research-and-innovation/strategy/strategy-2020-2024/our-digital-future/open-science_en)

Knowledge Transfer Network (Work Area 3)

Type of Actions	Excellence <i>(The following aspects will be taken into account, to the extent that the proposed work corresponds to the description in the work programme)</i>	Impact	Implementation
Coordination and support Actions (CSA)	1. Clarity and pertinence of the project's objectives. 2. Quality of the proposed coordination and/or support measures, including soundness of methodology	1. Credibility of the pathways to achieve the expected outcomes and impacts specified in the Call material, and on ATM research. 2. Suitability and quality of the measures to maximise expected outcomes and impacts, as set out in the dissemination and exploitation plan, including communication activities.	1. Quality and effectiveness of the work plan, assessment of risks, and appropriateness of the effort assigned to work packages, and the resources overall. 2. Capacity and role of each participant, and the extent to which the consortium as a whole brings together the necessary expertise.

2.1.4 Specific conditions and topics description per Work Area

2.1.4.1 Work Area 1: Fundamental Science and outreach

Specific conditions for WA1	
<i>Expected EU contribution per project</i>	The SJU estimates that an EU contribution of max 1.00 million would allow these outcomes to be addressed appropriately. Nonetheless, this does not preclude submission and selection of a proposal requesting different amounts.
<i>Indicative budget</i>	The total indicative budget for this WA is EUR 10.00 million ⁽²⁸⁾
<i>Type of Action</i>	JU-RIA
<i>Legal and financial set-up of the Grant Agreement</i>	Grants award under this topic will have to submit the following deliverables: • Concept outline • ERP • ERR

⁽²⁸⁾ This budget is shared with topic DES-ER1-WA1-2, DES-ER1-WA1-3, DES-ER1-WA1-4, DES-ER1-WA1-5, DES-ER1-WA1-6, DES-ER1-WA1-7, DES-ER1-WA1-8.

	• Data management plan (to be submitted at the beginning, at mid-term and towards the end of the project) • Communication Plan (to be submitted 6 months after the beginning of the grant together with the D&E plan) • Plan for the dissemination and exploitation of the results – D&E plan (to be submitted 6 months after the beginning of the grant and towards the end of the project).
<i>Other requirement</i>	The maximum project duration is 30 months including a 6-month period at the end of the project life cycle to undertake Communications, Dissemination and exploitation activities on the research results.

2.1.4.1.1 Topic HORIZON-SESAR-2022-DES-ER1-WA1-1: Fundamental Science and Outreach for Connected and Automated ATM

Expected outcomes

Project results are expected to contribute to the following expected outcomes:

- **Environment:** project results are expected to demonstrate that there is no negative impact of the proposed solutions on the environment (i.e. emissions, noise and/or local air quality) or on potential improvement of the environmental footprint.
- **Capacity:** project results are expected to contribute to capacity by improving the runway use, ground operations as well as medium/high density En-Route airspace.
- **Cost-efficiency:** project results are expected to provide justification of the investment costs related to the adoption of automated technologies and tools.
- **Safety:** project results are expected to maintain, at least, the same level of safety of the current ATM system, in a more connected and automated environment.
- **Security:** project results are expected to identify, at least, the potential risks deriving from having a more interconnected and automated ATM system.

Scope

To successfully address the expected outcomes, the SJU has identified the following innovative research elements. The list is not intended as prescriptive; proposals for work on areas other than those listed below are welcome provided they include adequate background and justification to ensure clear traceability with the SRIA R&I needs in the Connected and Automated ATM flagship..

- **Applications of innovative technologies from outside ATM:** the scope is to investigate the potential usage, integration and interoperability of innovative technologies operating outside the spectrum currently used in aviation, that can maintain, as overarching principles, the upmost level of integrity and safety required in ATM while reducing costs and optimising spectrum usage (*R&I need: Enabling the deployment of a performance-based communications, navigation and surveillance (CNS) service offer*);
- **Combined and integrated KPIs and performance structure for technological solutions/CBAs:** this element covers the definition of performance frameworks (e.g. KPAs, KPIs, etc.) to support the performance assessment for technological solutions and facilitate the elaboration of CBAs that

can help justifying the deployment of future technologies (*R&I need: Enabling the deployment of a performance-based communications, navigation and surveillance (CNS) service offer*);

- **Moving from magnetic to geographic bearings:** in order to enable a performance-based communications, navigation and surveillance (CNS) service offer, research on moving from magnetic to geographic bearings is required. The objective is to study what would be needed from a CNS and avionics perspective, (including e.g. FMS, surveillance...) and also investigate the operational aspects (e.g. pilots, ATCOs, procedure design...) that would need to be addressed. An estimation of the potential benefits would also be necessary (*R&I need: Enabling the deployment of a performance-based communications, navigation and surveillance (CNS) service offer*);
- **Land behind without runway vacated:** the scope is to investigate the European “land behind without runway vacated” concept, similar to the FAA “land behind” clearance. Today, in the case of long runways, landing aircraft are allowed to use the runway simultaneously under certain circumstances, or the clearance to land may be delivered before the previous aircraft has crossed the threshold (*R&I need: Runway use optimisation through integrated use of arrival and departure time-based separation (tBS) tools*);
- **Use of Slant Visual Range (SVR) beyond RVR:** this research is about the technical and operational use of Slant Visual Range (SVR) beyond RVR. In fact, RVR, even if used for decades already, does not take into consideration important variables (e.g. reduced visibility from other factors, such as rain on the windshield of the aircraft, etc.) (*R&I need: Runway use optimisation through integrated use of arrival and departure time-based separation (tBS) tools*);
- **Auto-steer aircraft taxi operations at airport:** scope is to investigate the integration of automotive into aviation technology for taxiways (‘Green Taxiing’), towards a fully automated system for the whole surface operations. The investigation should cover both the technology and the operational challenges (*R&I need: Airport automation including runway and surface movement assistance for more predictable ground operations*);
- **Automated ATC in medium/high-density En-Route airspace** (including the evolution of human role in an environment with higher levels of automation): this research about the automated ATC in medium/high-density En-Route airspace moves from executive to supervisory control, addressing the challenges on the role of the human to ensure that the proposed solution is fully consistent with human capabilities. It develops operational concepts for higher levels of automation in ATM (e.g. delegation of control to the automation) both at aircraft and ground level and addresses the specific challenges that hinder the application of machine learning, artificial intelligence methods for the further automation of ATM (e.g. transparency, generalisation, etc.). It also addresses the recommendations provided by the Expert Group on the Human Dimension of the Single European Sky reviewing all the roles, the responsibilities and tasks of the different actors (airborne and ground, ATM and U-space, operating and technical), training needs and change management for the evolving roles in an environment with higher levels of automation (*R&I need: Role of the Human*);
- **Autonomous runway inspections and surveys:** this element aims at researching the technical and operational aspects related to autonomous runway inspections and surveys (e.g. laser scans, cameras, drones) (*R&I need: Runway use optimisation through integrated use of arrival and departure time-based separation (tBS) tools*);
- **AI-Based Separation Management coherent with Safety Nets:** the Separation Management function overlaps/interacts with other ATM functions (mainly trajectory management and collision avoidance). This may result in conflicting strategies and solutions from the different ATM layers, to be avoided in order to ensure smooth and safe operations. Increasing automation levels

in the separation management function may include the uptaking of AI-systems as providers of trajectory advisories and clearances which are compatible by design both with trajectory management (i.e. compatible with constraints such as CTA) and with downstream safety nets. In other words, the resulting advisories would be always compatible with STCA & TCAS logics. The associated gains of this approach, as well as their potential problems, would need to be evaluated, especially with regards to human interaction and acceptability by all actors (*R&I need: Integration of safety nets (ground and airborne) with the separation management function*)

2.1.4.1.2 Topic HORIZON-SESAR-2022-DES-ER1-WA1-2: Fundamental Science and Outreach for Capacity-on-demand and Dynamic Airspace

Expected outcomes

Project results are expected to contribute to the following expected outcomes:

- **Capacity:** project results are expected to contribute to capacity by identifying different sector volumes for complexity detection and resolution, while balancing workload and optimising the usage of resources. Also the increased usage of middle airspace is expected to have a positive impact on capacity;
- **Operational efficiency:** project results are expected to improve the operational efficiency in terms of HP, resilience of involved staff (e.g. ATCOs) to new working methods generated in consequence of new/different task allocation strategies;
- **Safety:** project results are expected to maintain, at least, the same level of safety of the current ATM system.

Scope

To successfully address the expected outcomes, the SJU has identified the following innovative research elements. The list is not intended as prescriptive; proposals for work on areas other than those listed below are welcome provided they include adequate background and justification to ensure clear traceability with the SRIA R&I needs in the Capacity-on-demand and Dynamic Airspace flagship.

- **Human Performance challenges in an ATM environment with higher levels of automation:** the ATM system can be seen as a Joint Human-Machine Cognitive System deriving from an integrated design that optimises the collaboration of actors in view of improved system performance (*R&I need: On-demand ATSS*). The research will address:
 - HP aspects related to higher levels of automation (i.e. stress, lack of attention, de-skilling, complacency...) and resilience by, for example, developing new approaches for defining suitable task allocation strategies enabling a cooperation of ATCOs and/or other ATM actors and automation;
 - Development of methods to enable automation to adapt to changes of the environment, like the potential effects on change of behaviour of some actors (e.g. modification of operational procedures), the entrance of new actors, unforeseen traffic or weather situations/disruptions;
 - Development and assessment of suitable machine learning methods for ATM automation that are able to predict which information needs to be provided to enable the human operator to cooperatively work together with the automation;

- New training requirements and programmes for ATCOs and other ATM personnel that takes into account the implications of the expected future role of the human and the foreseen introduction of new support tools;
- Application of psychophysiological measurements (e.g. neuro-metrics or the detection of facial expressions) for applications like stress management systems, fatigue declaration, new training techniques or adaptive automation;
- The privacy and acceptability aspects of the proposed solutions;
- **Legal and regulatory challenges in an ATM environment with higher levels of automation:** this element covers the challenges related to the means of approval/certification of novel ATM-related airborne and ground systems that enable higher levels of automation, (e.g. in particular those systems based on machine learning techniques) by considering both legal and regulatory aspects (including privacy), along with the technical aspects (e.g. architecture, system performance, reliability, etc.). Also, the assessment of the potential changes to ATCOs licences and training will be addressed, including the use of conflict detection and resolution support tools by ATCOs in order to ensure capacity growth, against a trend of creating smaller sectors where capacity benefits reach a finite limit (*R&I need: On-demand ATS*);
- **Increasing the use of middle airspace:** this element addresses the potential business case of increasing the use of middle airspace (approximately between 15.000 ft. and 25.000 ft.) by jet engine aircraft and the trade-offs between the increase of capacity (and reduced delays) through the provision of ATFM slots for flights in the middle airspace versus the increased fuel consumption and environmental impact (*R&I need: On-demand ATS*);
- **Integration of air vehicles and personal air vehicles (PAV):** in the future, new unmanned air vehicles and personal air vehicles (PAV) will fly long range and at higher altitudes to feed airports. This research will investigate the necessary seamless integration of those PAV into a higher automated ATM (*R&I need: On-demand ATS*);
- **Model and theories of behaviour change:** an active role for the human factor in system design will become fundamental in supporting the transition between a tactical involvement of controllers towards a management of traffic “by exception”. Research is needed to determine and manage the impact of system changes to human performance and workload in the long term. Readiness to change, barriers to change and likelihood of relapse should be addressed in system design, monitoring and improvement in the long term. Both models of behaviours and behavioural change theories should be investigated as diagnostic tools to explain and predict specific behaviours. Also, resilience in handling abnormal situations should be addressed, in order to understand how this resilience can be maintained with reduced human involvement. (*R&I need: On-demand ATS*).

2.1.4.1.3 Topic HORIZON-SESAR-2022-DES-ER1-WA1-3: Fundamental Science and Outreach for U-space and Urban Air Mobility

Expected outcomes

Project results are expected to contribute to the following expected outcomes:

- Environment: project results are expected to demonstrate that there is no negative impact of the proposed solutions on the environment (i.e. emissions, noise and/or local air quality) or on potential improvement of the environmental footprint.

- **Safety:** project results are expected to maintain, at least, the same level of safety of the current ATM system.
- **Security:** project results are expected to maintain, at least, the same level of security of the current ATM system.

Scope

To successfully address the expected outcomes, the SJU has identified the following innovative research elements. The list is not intended as prescriptive; proposals for work on areas other than those listed below are welcome provided they include adequate background and justification to ensure clear traceability with the SRIA R&I needs in the U-space and UAM flagship.

- **Development of methodologies for environmental impact assessment of U-space operations:** this research will help developing methodologies and metrics for the environmental impact assessment (i.e. emission, noise and local air quality) of U-space operations (*R&I need: U-space social acceptance aspects*);
- **Evolution of ATM:** this element will explore whether U-space can be an accelerator of the ATM innovation life cycle, facilitating faster, lower-risk adoption of new technologies or approaches (automation, AI, IoT, cloud, etc.). This could include, for example, the use of U-space communication solutions for A/G communications on the airport surface (to free up VHF spectrum for use in the air), adaptation of U-space automation concepts to manned aviation (e.g. advanced automated). In terms of evolution of ATM, the aim will be to exploit the potential use of U-space technologies and concepts for manned aviation, with the focus to explore the potential applicability of advanced U-space services to uncontrolled airspace, in particular class G airspace. Applications to HAOs will also be in scope (*R&I need: Transfer of U-space automation technology to ATM*)

2.1.4.1.4 Topic HORIZON-SESAR-2022-DES-ER1-WA1-4: Fundamental Science and Outreach for Virtualisation and Cybersecure Data-Sharing

Expected outcomes

Project results are expected to contribute to the following expected outcomes:

- **Cost-efficiency:** projects results are expected to report on the potential advantages deriving from the sharing of space-based services for aviation applications. Also, the cost impact of the investment in empowered data storage for big data exploitation at NM level will contribute to the analysis of the cost-effectiveness of the proposed solutions.
- **Safety:** project results are expected to maintain, at least, the same level of safety of the current ATM system.
- **Security:** project results are expected to maintain, at least, the same level of security of the current ATM system.

Scope

To successfully address the expected outcomes, the SJU has identified the following innovative research elements. The list is not intended as prescriptive; proposals for work on areas other than those listed below are welcome provided they include adequate background and justification to ensure clear traceability with the SRIA R&I needs in the Virtualisation and Cybersecure Data-Sharing flagship.

- **Quantum Computing in ATM:** quantum computing is likely to break any current encryption method; therefore, new needs to security measures are anticipated. This research element will investigate the impact of quantum computing on future security needs in ATM (*R&I need: Future data-sharing service delivery model*);
- **Space-based CNS services:** the provision of CNS services using space-based technology will be researched. The purpose is to determine the effectiveness of space-based CNS services (e.g. ADS-B, LDACS) to fulfil the requirements of ATC operations in upper and lower routes, and its potential implementation and use at the regional level. The research should refer to the ICAO Global Air Navigation Plan (GANP) and use the ASBU methodology (*R&I need: Infrastructure as a service*);
- **Digital Voice:** communication services are moving towards an IP-based approach. There is the need to further investigate how the dynamic allocation of IP connections may reduce the need for VHF channels on the ground side and the need for the airborne side to switch frequencies several times during the flight (*R&I need: Infrastructure as a service*);
- **Hardening the ATC systems:** this element covers the use of AI for systems hardening in ATC: using AI-based penetration testing to identify vulnerabilities during the development, deployment and industrialisation phase of new systems (*R&I need: Infrastructure as a service*);
- **Enhanced techniques to empower NM operations:** this element covers the enhancement of the data structure and data storage to empower the big data exploitation and analytics for improving NM strategic operations (*R&I need: Free flow of data among trusted users across borders*).
- **Application of Business Intelligence (BI) to the NM organisation:** this element aims at investigating on how to apply Business Intelligence (BI) strategies and technologies to improve the efficiency, stability and resilience of the Network via the data analysis of the business information (*R&I need: Free flow of data among trusted users across borders*);
- **Identification and resolution of new (cyber)threats:** The evolving ATM scenario, where digital infrastructures, platforms and service provision will be closely interconnected, will generate emerging cyberthreats, mostly linked to a very high number of connected devices and data-sharing. This situation will require the identification (and resolution) of new cyberthreats, which represent the aim of this research element (*R&I need: Cyber-resilience*);
- **Nurturing the (cyber)security culture:** Building the education and awareness of cybersecurity issues by humans using the technology. There is a need for the ATM to become cyber-resilient and investments in humans, as the users of the systems, are necessary since this is the easiest entry point of propagating the attack, and the cyberaware culture is sorely needed. ATM system has to have the technology, processes and human aspects (*R&I need: Cyber-resilience*);
- **Conducting remote simulations/validations:** this element focuses on remote simulations as a means of boosting participant numbers by being location and time-zone independent and allowing for a more flexible and iterative design process, especially for design evaluation in the lower maturity phases of system development. As this area is in the very first steps, research should determine how its potential can be explored, so that, for example, the problem of the shortage of participants can be circumvented, contributing also to the availability of a wider range of experts globally. With a possible decreased mobility demand and flexibility both in time and space due to post pandemic effects, this solution can provide both methodological and organisational benefits to the ATM community and research. Some aspects such as cultural/local ATM operational differences should also be studied, and more complex network effects analysed by enabling cloud based remote HITL (Human In the Loop) multisite simulations, in a direction which may have additional synergies with that of ATM virtualization. Technical and operational

challenges related to cloud-based distributed simulations, especially in the case of HITL experimentation, should be addressed in relationship to the need to temporally synchronise the complete experiment and associated events and interventions, align all actors' views, etc. This challenge becomes even more difficult when trying to integrate legacy systems, which is another aspect the research is expected to investigate from an operational and technical point of view. *(R&I need: Scalability and resilience);*

2.1.4.1.5 Topic HORIZON-SESAR-2022-DES-ER1-WA1-5: Fundamental Science and Outreach for Multimodality and Passenger Experience

Expected outcomes

Project results are expected to contribute to the following expected outcomes:

- **Environment:** project results are expected to demonstrate that there is no negative impact of the proposed solutions on the environment (i.e. emissions, noise and/or local air quality) or on potential improvement of the environmental footprint.
- **Capacity:** project results are expected to contribute to capacity by benefiting of the real-time multimodal passenger constraint information which, once shared at the Network level, will help reduce, for example, departure delay;
- **Passenger experience:** project results are expected to demonstrating the viability of the integration of the airports as multimodal nodes into the ATM network to enable interoperability between aviation and other transport modes;

Scope

To successfully address the expected outcomes, the SJU has identified the following innovative research elements. The list is not intended as prescriptive; proposals for work on areas other than those listed below are welcome provided they include adequate background and justification to ensure clear traceability with the SRIA R&I needs in the Multimodality and Passenger Experience flagship.

- **Multimodal airport:** this research aims to evaluate and help design the impact of new mobility solutions (e.g. shared mobility) on airport access, analysis of the usage of connected and autonomous vehicles when accessing the airport (including the study of the trade-offs in terms of environment, door-to-door travel time, etc.), addition of UAM to the intermodal solutions for airport access (e.g., can UAM help enlarge the airport catchment area?) *(R&I need: Access to/exit from the airport: Airports are obvious multimodal nodes for aviation);*
- **UAM impact on multimodality:** this element covers the research on methods and tools for the evaluation of UAM impact on intermodal solutions, including a demand study for UAM services, both passenger and freight integrated into multimodal transport chain. The design of intermodal solutions should leverage on UAM to connect different airports in the same urban area (i.e. each airport would act as a terminal of the bigger airport) *(R&I need: Access to/exit from the airport: Airports are obvious multimodal nodes for aviation);*
- **Multimodality and environment:** the environmental aspects (in transport) will be a major driving factor in shaping the future (air) transport sector. There is the need to investigate the complementarity/substitution between different transport modes on certain distance segments: what will be the role of air transport, how future networks will change (e.g. distribution of air traffic on different distance segments); airline fleet composition and effects on airport operations. How a better integration of air transportation with other modalities can contribute to the

reduction of the environmental impact of the door-to-door journey? (*R&I need: Access to/exit from the airport: Airports are obvious multimodal nodes for aviation*);

- **Understanding the passengers' expectations:** understanding passenger expectations (origin-destination, travel time, comfort, ecological impact, and reliability) is a continuous activity linked to the flexibility/change over time of the demand vs request of each transport mode. How can aviation monitor the passengers' expectation to improve its offer? How do changing passenger preferences will shape the future multimodal transport system (e.g. airport products and services and the airport as a multimodal node) (*R&I need: Passenger experience at the airport*);
- **Anticipating the disruptions:** this research covers the predictive and prognostic disruption forecast methods in support of pro-active mitigation and research on suitable management and recovery mechanisms (*R&I need: An integrated transport network performance cockpit*);

2.1.4.1.6 Topic HORIZON-SESAR-2022-DES-ER1-WA1-6: Fundamental Science and Outreach for Aviation Green Deal

Expected outcomes

Project results are expected to contribute to the following expected outcomes:

- **Environment:** project results are expected to contribute to the achievement of the objectives of a 55 % reduction by 2030 looking forward to net-zero greenhouse gas emissions by 2050, from a gate to gate perspective, by introducing new concepts enabling proper modelling of non-CO₂ emissions and their impact on optimum green trajectories, also including the expected interoperability with new entrants (e.g. U-space flights).
- **Capacity:** project results are expected to contribute also to the issue of sector capacity by taking into account the same new entrants (e.g. U-space flights).

Scope

To successfully address the expected outcomes, the SJU has identified the following innovative research elements. The list is not intended as prescriptive; proposals for work on areas other than those listed below are welcome provided they include adequate background and justification to ensure clear traceability with the SRIA R&I needs in the Aviation Green Deal flagship.

- **Atmospheric physics for aviation (non-CO₂):** this element covers the research to increase the body of knowledge into the physics of the atmosphere, to better understand the impact on global warming of non-CO₂ emissions (NO_x, SO_x, H₂O, particulate matter, etc.), including contrails and aviation induced cloudiness. It should aim in particular at reducing the uncertainties associated with the effects of the radiative forcing effects of aviation emissions identified in the 2020 EC report on non-CO₂ impacts of aviation ⁽²⁹⁾. Close coordination with EASA is expected to ensure complementarity and consistency with EASA activities (*R&I need: Non-CO₂ impacts of aviation*).
- **Atmospheric physics for aviation (extreme weather events):** this element focuses on climate resilience and adaptation as it aims at increasing the body of knowledge into the physics of the atmosphere, to be able to better predict extreme weather events that may impact aircraft operations, and in particular airport closures or significant decrease in airport capacity (and their

⁽²⁹⁾ <https://www.easa.europa.eu/document-library/research-reports/report-commission-european-parliament-and-council>

knock-on effects on the network); the research should in particular consider the challenges for developing accurate predictions as a result of the changes to weather patterns that may result from global warming in the short to medium-term (*R&I need: Accelerating decarbonisation through operational and business incentivisation*);

- **Comparative study on potential metrics to be adopted in the ATM domain to aggregate non-CO₂ and CO₂ impacts on climate change:** e.g. GWP 100, ATR 20, ATR 50, ATR 100 or alternative metrics, taking as a starting point the options outlined in the 2020 EC report on non-CO₂ impacts of aviation. The project should start with a state of the art of environmental metrics and engage with all relevant stakeholders, in order to provide insight into the pros and cons of each potential metric and aim at formulating informed recommendations for the way forward, including identification of additional research needs, if applicable. This research should consider how metrics can be used in different contexts, e.g. for operational decision making in the pre-tactical and tactical phases of ATFM, for operational decision making in real time by ATC, for post-operations analysis and for environmental performance monitoring at network level. Close coordination with EASA is expected to ensure complementarity and consistency with EASA activities (*R&I need: Non-CO₂ impacts of aviation*).
- **Development of the environmental performance monitoring toolset to include new entrants:** this element covers the expansion of the ATM aircraft performance models (emissions and noise) to include new entrants and new aircraft types/fuel: research into the impact on the environment of new fuels and/or new aircraft types (hydrogen, electric, sustainable aviation fuels, new hyper/supersonics with consideration of sonic booms), including the development of new models to assess the impact that ATM operational changes may have when these aircraft are introduced into the traffic mix. It should include also the development of methodologies to assess the environmental and societal impact of U-space-enabled drone operations, including in particular the identification of all potential impacts (e.g., visual pollution, noise over populated areas, intrusion to privacy, risks to wildlife (migrating birds, nesting areas...)) (*R&I need: Impact of new entrants*).

2.1.4.1.7 Topic HORIZON-SESAR-2022-DES-ER1-WA1-7: Fundamental Science and Outreach for Artificial Intelligence (AI) for Aviation

Expected outcomes

Project results are expected to contribute to the following expected outcomes:

- **Environment:** project results are expected to demonstrate that there is no negative impact of the proposed solutions on the environment (i.e. emissions, noise and/or local air quality) or on potential improvement of the environmental footprint.
- **Capacity:** project results are expected to contribute to capacity by addressing the management of airspace in abnormal, disrupting situations.
- **Operational efficiency:** projects results are expected to demonstrate that the increased synchronisation and predictability of the ATM system will improve.
- **Safety:** project results are expected to maintain, at least, the same level of safety of the current ATM system.
- **Security:** project results are expected to maintain, at least, the same level of security of the current ATM system.

Scope

To successfully address the expected outcomes, the SJU has identified the following innovative research elements. The list is not intended as prescriptive; proposals for work on areas other than those listed below are welcome provided they include adequate background and justification to ensure clear traceability with the SRIA R&I needs in the Artificial Intelligence (AI) for Aviation flagship.

- **Management of abnormal situations:** AI/ML have great potential for predictions/forecasts under normal circumstances, but research is needed to investigate further evolution if they are to be used in the management of abnormal situations: a prescription-oriented approach will be needed to monitor reality and define precursors to detect deviations/disruptions from what is expected (*R&I need: AI for prescriptive aviation*);
- **Human-centric AI:** aviation will need to ensure a human-centric approach as described in the EASA Artificial Intelligence Roadmap. Humans should understand what the systems are doing and also maintain the right level of situational awareness, i.e. to have conscience of the situation to enable human-machine to cooperate (*R&I need: Human – AI collaboration: digital assistants*);
- **Air and ground synchronisation:** AI-powered systems are expected to be integrated into ground/cockpit systems, enhancing communication for trajectory management and much more. Scope of this research is the identification of innovative applications/AI based solutions that could boost/improve such synchronisation (*R&I need: Human – AI collaboration: digital assistants*);
- **AI for higher automation:** this element covers the development of an AI-powered cloud-based infrastructure and services (supporting higher automation levels). Also, the aim is to develop automation of ATM processes for which analysis and prediction are susceptible to obtain a greater benefit from AI, and to develop AI-powered ATM environment requirements, infrastructure and common regulation & certification guidelines (*R&I need: AI Improved datasets for better airborne operations*);
- **Datasets:** datasets are essential for AI-based application development. Starting from an assessment of the state-of-the-art, this element is about researching on new ideas to generate and enable the automation of such aviation-specific data sets from a large variety of on-board and ground communication across the network, which could then enable broad range of AI-based applications for aviation. The research will cover also the legal (e.g. liability), financial and regulatory aspects as well as enhancing the completeness of the datasets to cover the ATM requirements. (*R&I need: AI Improved datasets for better airborne operations*);
- **Interoperability of ATM systems with different LoA:** although there is a consensus in increasing the automation levels to improve ATM system efficiency and reduce costs, it is clear not all functions will be at the same LoA and collaborating control centres and other stakeholders may have different level of implementation of highly automated systems, even for the same functions. A methodology to derive automation strategies (roadmaps) providing a safe stepwise route to the full implementation of high automation levels is therefore needed. (*R&I need: Trustworthy AI powered ATM environment*)
- **Cyberthreat intelligence services in aviation/ATM:** the use of techniques based on machine learning to support cyberthreat detection and mitigation is quite widespread in the state of the art of different industries (e.g. internet services, e-commerce, content delivery networks, etc.) where observation of anomalous traffic patterns or transactions enables the detection of cyberattacks. The transference of these methods to ATM is not straightforward, and given the current tendency in ATM to enhance information sharing to implement M2M automated functions (i.e. through SWIM implementation, increased bandwidth A/G communications, etc.),

while maintaining legacy systems built without any proper security policy, research in this direction is needed. *(R&I need: Trustworthy AI powered ATM environment)*

2.1.4.1.8 Topic HORIZON-SESAR-2022-DES-ER1-WA1-8: Fundamental Science and Outreach for Civil/military Interoperability and Coordination

Expected outcomes

Project results are expected to contribute to the following expected outcomes:

- **Civil-military coordination:** project results are expected to improve the civil–military coordination at Network Manager level, with sharable data of mission trajectory for better traffic prediction and airspace information exchange;
- **Security:** while assuring a better coordination, project results will ensure that the new data formats and information exchange services will, at least, maintain the current level of confidentiality, integrity and availability of information;

Scope

To successfully address the expected outcomes, the SJU has identified the following innovative research elements. The list is not intended as prescriptive; proposals for work on areas other than those listed below are welcome provided they include adequate background and justification to ensure clear traceability with the SRIA R&I needs in the Civil/Military Interoperability and Coordination flagship.

- **Enhancing civil–military operations:** based on a survey of the existing procedures and services, this element aims at identifying new challenges related to the development of collaborative decision-making processes for improved civil/military coordination, both for manned and unmanned military assets. The research will investigate on procedures, data formats (including the necessary levels of cybersecurity and data-protection), dual use technologies and information exchange services. An assessment of the relevant performance measurements will be also performed *(R&I need: access to airspace & System-wide information management (SWIM))*;
- **Access to airspace:** In order to make an optimal use of ATM resources shared with civil stakeholders, military access to airspace depends on the requirements and priorities of each mission. In that regard, a high level civil–military ATM interoperability is fundamental and can be achieved through civil and military collaborative decision making supported by common procedures, data formats and the underlying information exchange services. New systems and procedures should be flexible enough to adapt to different operational scenarios and needs, and ensure optimal separation management (e.g. DMA 3) taking into account different and coexistent CNS air and ground capabilities. It is a precondition to accommodate civil and military operations in the same airspace *(R&I need: access to airspace & System-wide information management (SWIM))*;
- **Performance management:** Environmental sustainability, cost-efficiency or delays imposed by inefficient use of available capacity represent a concern against which all aviation stakeholders have to assume responsibility. The complex interdependencies between civil and military stakeholders need to be examined to enable appropriate performance measurements in a spirit of balanced consideration between commercial needs and security and defence requirements *(R&I need: performance)*.

2.1.4.2 Work Area 2 – ATM Application-Oriented Research (RIA)

Specific conditions for WA2	
<i>Expected EU contribution per project</i>	The SJU estimates that an EU contribution of max 2.00 million would allow these outcomes to be addressed appropriately. Nonetheless, this does not preclude submission and selection of a proposal requesting different amounts.
<i>Indicative budget</i>	The total indicative budget for this WA is EUR 10.00 million ⁽³⁰⁾
<i>Type of Action</i>	JU-RIA
<i>Legal and financial set-up of the Grant Agreement</i>	Grants award under this topic will have to submit the following deliverables: • ERP • ERR • FRD • OSED • ECO-EVAL • Data management plan (to be submitted at the beginning, at mid-term and towards the end of the project) • Communication Plan (to be submitted 6 months after the beginning of the grant together with the D&E plan) • Plan for the dissemination and exploitation of the results – D&E plan (to be submitted 6 months after the beginning of the grant and towards the end of the project).
<i>Other requirement</i>	The maximum project duration is 30 months including a 6 month period at the end of the project life cycle to undertake Communications, Dissemination and exploitation activities on the research results.

2.1.4.2.1 Topic HORIZON-SESAR-2022-DES-ER1-WA2-1: ATM application-oriented Research for Connected and Automated ATM

Expected outcomes

Project results are expected to contribute to the following expected outcomes:

- **Environment:** project results are expected to demonstrate that there is no negative impact of the proposed solutions on the environment (i.e. emissions, noise and/or local air quality) or on potential improvement of the environmental footprint.
- **Capacity:** project results are expected to contribute to capacity by enhancing the management of separation minima, both for En-Route and TMA and the provision of meteorological information. At airport level the solutions will enhance the arrival runway occupancy time calculation and the

⁽³⁰⁾ This budget is shared with topic DES-ER1-WA2-2, DES-ER1-WA2-3, DES-ER1-WA2-4, DES-ER1-WA2-5, DES-ER1-WA2-6, DES-ER1-WA2-7, DES-ER1-WA2-8.

resilience of runway throughput to meteorological disruptions, enhanced departure queue management, improved visual separation procedures for the aerodrome circuit and fully automated airport operations through improved predictability.

- **Cost-efficiency:** project results are expected to provide justification of the investment costs related to the adoption of automated technologies and tools.
- **Safety:** project results are expected to maintain, at least, the same level of safety of the current ATM system, even with higher levels of automation, especially in the identification of negotiation-based resolutions at conflict resolution and collision avoidance levels, safety net for new separation modes and improved approach procedures into secondary airports in low visibility conditions.
- **Security:** project results are expected to maintain, at least, the same level of security of the current ATM system.

Scope

To successfully address the expected outcomes, the SJU has identified the following innovative research elements. The list is not intended as prescriptive; proposals for work on areas other than those listed below are welcome provided they include adequate background and justification to ensure clear traceability with the SRIA R&I needs in the Connected and Automated ATM flagship.

- **Evolution of flight-rule concepts, separation management service concepts and airspace classification:** this element covers the potential evolution of responsibility for separation provision in an environment where advanced DAA and electronic conspicuity systems are fitted to all participating traffic. Research should cover, individually and collectively: the role of the separator and the mode of separation provision; the need for and possible update or renewal of the airspace classification system; the definition of and potential renewal of flight rules for manned and unmanned aircraft; and the potential review/qualification of the need for VFR flights to maintain VMC, including the need to remain clear of cloud, given the existence of advanced electronic systems that replace and augment the performance of the human eye. Research must assess the impact on all current airspace users, including main airlines, business aviation, General Aviation, sports aviation and military aviation, as well as consider the impact on new entrants (both drones flying low and manned or unmanned aircraft flying at high altitude) (*R&I need: Advanced separation management (U-space integration and new separation modes)*)
- Use of advanced MET information and capabilities: this research covers the needs for:
 - Incorporating the ensemble weather information into decision-support tools, adapted for different ATM stakeholders;
 - Producing very high-resolution, very short-range weather forecasts using numerical weather prediction models and observational data assimilation.
 - Sharing very short-range weather forecast based on AMDAR and observational data assimilation (e.g. predicted wind, wind shear) during approach and landing phases. The research also covers the novel avionics and flight crew procedures to use this information.
 - R&I need: Advanced separation management (u-space integration and new separation modes)).
- **Enhanced arrival/departure runway occupancy time:** scope is to enhance the arrival runway occupancy time calculation, thanks to efficient runway turn-off and combination of existing

optimised braking to vacate solutions, at a pre-selected runway exit, by using new applications for assisting the flight crew in achieving an efficient turn-off until aircraft has left runway protected area on the runway exit. Similarly, the research should also enhance the departure runway occupancy time calculation, thanks to efficient line-up and take-off. The research shall address potential on-board applications to assist the flight crew of a departing aircraft for a more efficient (fast, accurate, reliable and safe) line-up and take-off (*R&I need: Runway use optimisation through integrated use of arrival and departure time-based separation (tBS) tools*);

- **Optimised and resilient runway throughput:** this element investigates how to enhance MET resilience to LVP and thunderstorms, and revisiting SOIR (Parallel or Near-Parallel Instrument Runways) separations in light of advanced technology and surveillance capabilities, integrated with coupled AMAN/DMAN and TBS toolsets for both arrival and departures (*R&I need: Runway use optimisation through integrated use of arrival and departure time-based separation (tBS) tools*);
- **Ground and airborne safety nets are adapted to new separation modes:** and advanced separation management that will require close conformance monitoring of the negotiated and authorised flight trajectories throughout the execution phase, so that operations are not disturbed by unnecessary resolution advisories, in particular if lower separation minima is introduced/considered. Consideration as to the level of independence of the safety nets from the other aspects of control will be critical as the levels of autonomy of detection, classification, resolution and monitoring of conflicting profiles in the planning and tactical phases of ATM will significantly increase (*R&I needs: Integration of safety nets (ground and airborne) with the separation management function*);
- **TBO Machine-to-machine flight-deck-ATC negotiation:** this element covers the machine-to-machine negotiation-based conflict resolution; the development of mechanisms and tools for creating negotiation-based resolutions at conflict resolution and collision avoidance levels (e.g. what-if EPP based tools, or ATC offering a choice to the FMS of two potential cruising levels, etc.) will be addressed. This is a flight-deck to ATC solution (i.e. AOC involvement) (*R&I need: Advanced separation management (u-space integration and new separation modes)*);
- **Space based multi-lateration:** this element covers the space based multi-lateration through ranging by satellites already used for space-based VHF or ADS-B, with a preference to space based ADS-B, as it could serve as a cross check of the GNSS position acquired through ADS-B (like Mode-S radar has a double check). Development of an integrity parameter in space-based ADS-B downlink to GS should also be considered. (*R&I need: Enabling the deployment of a performance-based communications, navigation and surveillance (CNS) service offer*);
- **Arbitrary levels:** this research aims at enabling aircraft to fly at any arbitrary flight level, as optimised by aircraft performance, weight and atmospheric conditions. Even/odd cruise level assignment based on traffic supply, rather than on the semi-circular rule (also known as the hemispheric rule). Results should enable the use of all flight levels in the European one-way “trunk routes” concept (*R&I need: Advanced separation management (u-space integration and new separation modes)*);
- **Additional extended arrival management (AMAN) capabilities:** the scope is to investigate additional extended arrival management (AMAN) capabilities focusing on the transfer of the predicted arrival holding times from the TMA to the upstream airspace to reduce holding, the use of machine learning for the refinement of AMAN algorithms, etc. (*R&I need: Intelligent queue management*);

- **Enhanced departure queue management:** this element covers the enhancement of departure queue management, thanks to further automation and exchange of highly accurate trajectory information between all actors (i.e. airports, ANSPs and aircraft operators). Machine learning and artificial intelligence shall be used to monitor the difference between the DMAN sequence and its implementation, in order to improve DMAN sequencing algorithms (*R&I need: Intelligent queue management*);
- **Data-sharing between airport collaborative decision-making parties, arrival and departure managers and time-based separation tools:** this topic focuses on the data-sharing between airport collaborative decision-making parties, arrival and departure managers and time-based separation tools, to allow the dynamic optimisation of the runway(s) based on prevailing operational needs (*R&I need: Runway use optimisation through integrated use of arrival and departure time-based separation (tBS) tools*);
- **Digital coupled AMAN-DMAN function:** this element is about the enhancement of coupled AMAN-DMAN functions with machine learning and artificial intelligence techniques to allow the identification of the most appropriate departing aircraft which shall make use of an arrival gap. Such information shall be shared with the airport systems to ensure that the departing aircraft is timely loaded and taxies in order to be at the right place and at the right time ready to take off (*R&I need: Runway use optimisation through integrated use of arrival and departure time-based separation (tBS) tools*);
- **Improved visual separation procedures for the aerodrome circuit:** this element is about the review of current minima separation standards/procedures in the airport environment, to meet minimum acceptable safety level, i.e. moving away from pre-determined separation standards. The research should look at improved visual separation procedures for the aerodrome circuit, for example combining use of surveillance and system support, aiming at busy airports with high VFR traffic, in particular if they need to integrate IFR and VFR traffic (e.g. Charleroi airport) (*R&I need: Runway use optimisation through integrated use of arrival and departure time-based separation (tBS) tools*);
- **Application of physiological measurements to ATC:** the aim is to research on the application of physiological measurements to air traffic controllers (e.g. measuring of brain waves to assess the level of attention, use of speech recognition combined with physiological measurements to monitor stress, correlation of eye-movement patterns with the occurrence of events that are potentially safety relevant) (*R&I need: role of the human*);
- **Trajectory broker in all phases of ATFM operation:** this element covers the trajectory broker layer, on how to make better use of available capacity in all phases of ATFM operation (long-term, medium-term, short-term and execution phases) and in all areas (airport, TMA, En-Route) addressing technical aspects but also taking on-board the necessary regulatory/organisational changes (*R&I need: network-wide synchronisation of trajectory information*);

2.1.4.2.2 Topic HORIZON-SESAR-2022-DES-ER1-WA2-2: ATM application-oriented Research for Air-Ground Integration and Autonomy

Expected outcomes

Project results are expected to contribute to the following expected outcomes:

- **Capacity:** project results are expected to contribute to capacity by enhancing the management of separation minima, both for En-Route and TMA and the provision of meteorological information. At airport level the solutions will enhance the arrival runway occupancy time calculation and the

resilience of runway throughput to meteorological disruptions, enhanced departure queue management, improved visual separation procedures for the aerodrome circuit and fully automated airport operations through improved predictability.

- **Cost-efficiency:** project results are expected to demonstrate that, with new services supported by ground-ground and air-ground connectivity, cost-efficiency is expected to be improved.
- **Operational efficiency:** projects results are expected to contribute to the improvement of the operational efficiency thanks to advanced communication means and increased automation (e.g. machine-to-machine communication). Also the trajectory management is expected to improve.
- **Safety:** project results are expected to maintain, at least, the same level of safety of the current ATM system.
- **Security:** project results are expected to maintain, at least, the same level of security of the current ATM system.

Scope

To successfully address the expected outcomes, the SJU has identified the following innovative research elements. The list is not intended as prescriptive; proposals for work on areas other than those listed below are welcome provided they include adequate background and justification to ensure clear traceability with the SRIA R&I needs in the Air-Ground Integration and Autonomy flagship.

- **Frequency management:** this research will investigate, from a gate-to-gate perspective, the air to ground (A/G) automation to ensure the use of automatic link and frequency selection for communications by the pilot and ATC. This is expected to support also single-pilot and cross-border operations (*R&I need: Enabling greater ground and airborne integration and wider performance*);
- **Seamless connectivity between ground and aircraft via high capacity network:** this element covers the seamless connectivity between ground and aircraft via high capacity network (e.g. novel use of public infrastructure such as 5G for aviation purposes) for transmitting ATS communication, virtual guidance, warnings and stop bars to aircraft on the airport surface (*R&I need: Enabling greater ground and airborne integration and wider performance*);
- **Machine to machine communication:** besides controller-pilot datalink communications (CPDLC) and human-to-human communication, datalink will also support machine-to-machine communication. Technical and operational requirements, as well as use cases and initial validations will be addressed in the framework of this research (*R&I need: Integrated 4D trajectory automation in support of TBOs*);
- **Advanced A/G integration for General Aviation:** this activity will ensure that access to all airspace classes remains open to general aviation in an equitable manner and at affordable cost, as well as the leverage of on-board technologies (potentially not certified) in order to guarantee a better and safer flight to all GA users, including sports aviation. It may also include the development of a concept for VFR aircraft to share their intended plan in real time with ATC and/or U-space service providers through a low-cost non-certified EPP-like concept based on whatever application the GA pilot is using to plan his flight in real time. This application would complement surveillance information and would constitute a permanently updated flight-plan, which could be used for automatic change of destination airport, for ensuring that GA pilots get updated information in case their plan changes, and also in support of search and rescue operations when there is no surveillance information available, and VFR pilots would retain at all times the same flexibility for

changing the plan as they have today (*R&I need: Enabling greater ground and airborne integration and wider performance*).

2.1.4.2.3 Topic HORIZON-SESAR-2022-DES-ER1-WA2-3: ATM application-oriented Research for Capacity-on-demand and Dynamic Airspace

Expected outcomes

Project results are expected to contribute to the following expected outcomes:

- **Capacity:** project results are expected to contribute to capacity by introducing new digital services (e.g. enhanced network traffic prediction and shared complexity representation, improvement of DCB processes, improvement of airline operations, etc.).
- **Cost-efficiency:** project results are expected to improve the ATCOs training process and performances in highly automated environments, therefore their productivity.
- **Operational efficiency:** projects results are expected to improve the prediction of the Network evolution in terms, for example, of traffic flows, complexity assessment, calibration of airspace/sector capacity, etc., to improve the AUs decision process and the short-term prediction of risk of propagation of disruptions at Network level.
- **Safety:** project results are expected to maintain, at least, the same level of safety of the current ATM system.

Scope

To successfully address the expected outcomes, the SJU has identified the following innovative research elements. The list is not intended as prescriptive; proposals for work on areas other than those listed below are welcome provided they include adequate background and justification to ensure clear traceability with the SRIA R&I needs in the Capacity-on-demand and Dynamic Airspace flagship.

- **Digital network services:** this research will address:
 - The improvement of DCB by enhancing TPs integrating uncertainty assessment, robust planning and cost-efficiency assessment at network level;
 - The integration of network and local tools e.g. AU, Airport and ANSP (FMP/INAP) in a rolling and dynamic process, including further automation support in the coordination of DCB actions from long-term to execution phases;
 - The hot spot management using traffic monitoring values, standing for different objectives (safety, rate optimisation, critical situations, etc.) to define and address different types of spots (regions of interest);
 - The usage of modelling and operational data to understand typical resolutions to network planning and traffic management problems to develop optimisation capabilities which are less human centric;
- **Future Digital network services:** the research will address:
 - Machine learning to identify and exploit information patterns, and artificial intelligence to identify and design new elementary basic sector volumes for complexity detection and resolution, balancing workload and resources optimisation;

- The use of Big Data analysis, machine learning and digital-twin techniques for better planning the reactions of the actors (ATCOs, FMPs, AUs) to potential operational improvements based on the emerging trends (e.g. incentives, etc.).
- Innovative DCB resolution algorithms, e.g. using radically different algorithms to what is currently in use, or using alternative approaches;
- Use of new data sources (e.g. Big Data), machine learning algorithms (including neural networks), AI-based decision support tools, behavioural economics, improved market modelling, complexity science, etc. to support network operations (e.g. models and methods for improving demand, flow and complexity forecasting and resolution).
- Use of Big Data and machine learning to identify best practices regarding regulation strategies for particular traffic load patterns based on historical data, and development of optimised strategies for the most frequent traffic load situations in the European ATFCM network.
- (R&I need: On-demand air traffic services);
- **Digital Airlines Operations:** this element will cover the improvements of airline operations based on the use of digital technologies (e.g. big data, machine learning algorithms, AI, IoT, behavioural economics, improved market modelling, complexity science, etc.) to support the airline decision making processes in disruption scenarios, the integration of airline operations into the network, the collaboration between FOCs, network management function and ATC and the better consideration of airspace users' preferences in the DCB and sequencing processes. The use of new data sources (big data), machine learning algorithms, AI based decision support tools, etc. to support airline decision making in disruption scenarios is expected to improve the resilience of the system (*R&I need: On-demand air traffic services*);
- **New trajectory pricing schemes:** this element will cover the development and initial validation of new trajectory pricing schemes to support a more flexible distribution of the demand (*R&I need: On-demand air traffic services*);
- **Flexible Flight Level (FL) structure:** aim of the research is to develop NM calculation of most optimal division FL structure for specific periods of time, processes for agreement with ATC's and tools to work with flexible division-FLs in ATM-systems (*R&I need: On-demand air traffic services*);
- **Improved prediction of Network evolution:** the research is about the use of machine learning techniques for the identification and prediction of: major traffic flows, complexity assessment, calibration of airspace/sector capacity, flight delays, estimated arrival and overflight times, etc. with the objective of reducing the Network capacity buffers, improving the handling of AU priorities/preferences as well as the disruption management. Also the improvement of ATFM processes by the inclusion of convective weather information shall be addressed (*R&I need: ATM continuity of service despite disruption*);
- **Improved AUs decision process:** enriched DCB Information and enhanced What-Ifs available to improve AUs decision process when planning or re-planning trajectories shall be addressed in this element. Enriched DCB information will encompass DCB constraints/measures information like ATFCM regulations/CTOT/STAM, and additional DCB information such as hotspots and congestion level indicators (*R&I need: future data services and applications for airport and network*);
- **Full integration and connectivity of ATM operations:** this research will investigate the integration of connectivity within the loop of ATM operations, the new data sets available through A-CDM, UDPP, AOP/NOP data, TTO/TTA and extended AMAN demand for further development of the

rules for ATFCM and queuing priorities (*R&I need: future data services and applications for airport and network*);

- **New operational and social indicators for airspace users:** scope of this element is the definition and validation of new operational and social indicators for airspace users. These should be integrated into the overall R&I performance framework, building on the results of SESAR validations, identification of gaps and development of steps from research to pre-industrialisation and deployment (full integration of operational processes and systems' interoperability) (*R&I need: future data services and applications for airport and network*);
- **Short-term prediction of risk of propagation of problems through the network and identification of cost-effective solutions:** this element focuses on the availability of on-line platform to assess the risk of expansion of local emergencies to other regions or countries in the world through the air transportation network. The platform will also identify the components of the network (airports, routes, airlines...) that could have a major impact on the risk of expansion of the emergency and the reasons behind such impact. The platform will support the assessment of solutions which could be implemented to prevent the expansion of the emergency at different scales (airport or aircraft-related measures or measures at regional or country level). A multi-objective performance framework will allow analysing each solution from diverse perspectives: on one hand, emergency-related metrics will quantify the effectiveness of the solution(s) or the resilience to changes in the evolution of the emergency around the world, while on the other hand ATM-related metrics will quantify the impact on Capacity, Efficiency or Environment. Both perspectives will be combined into cost-related metrics which will determine the cost to implement the solutions, and also the economic implications in the air transport sector. This approach will facilitate joint decision-making among airlines, airports, regions and countries, taking decisions more efficient and less aggressive for the air transport sector than simply closing airports or airspaces (*R&I need: ATM continuity of service despite disruption*) (*R&I need: ATM continuity of service despite disruption*);
- **Formation of contingency plans:** this research will help to develop and integrate ANSP contingency plans for emergency operations in the face of recurring or sudden events (weather or other hazards), as well as potential responses from operators (*R&I need: ATM continuity of service despite disruption*).

2.1.4.2.4 Topic HORIZON-SESAR-2022-DES-ER1-WA2-4: ATM application-oriented Research for U-space and urban Air Mobility

Expected outcomes

Project results are expected to contribute to the following expected outcomes:

- **Environment:** project results are expected to demonstrate that there is no negative impact of the proposed solutions on the environment (e.g. noise) or on potential improvement of the environmental footprint.
- **Passenger experience:** projects results are expected to improve the citizens' wellbeing with the validation of operational and technical requirements linked to UAM, while protecting their privacy and contributing to the social acceptance of the new entrants.
- **Capacity:** project results are expected to demonstrate that capacity is not affected by the possible development of new U-space services or the validation of operational/technical requirements. Also, the definition of indicators and metrics linked to U-space should help demonstrating that no negative impact on capacity is introduced.

- **Cost-efficiency:** project results are expected to demonstrate that U-space shall not negatively affect the cost of providing ATM services. The definition of specific cost-efficiency indicator and metrics for U-space will focus on this aspect.
- **Operational efficiency:** projects results are expected to demonstrate that the requested validation of operational and technical requirements for UAM will not impact the operational efficiency of the current ATM system.
- **Safety:** project results are expected to define a safety framework for U-space, including metrics and indicators, able to demonstrate that level of safety of the current ATM system is, at least, maintained.
- **Security:** project results are expected to maintain, at least, the same level of security of the current ATM system.

Scope

To successfully address the expected outcomes, the SJU has identified the following innovative research elements. The list is not intended as prescriptive; proposals for work on areas other than those listed below are welcome provided they include adequate background and justification to ensure clear traceability with the SRIA R&I needs in the U-space and UAM flagship.

- **Validation of UAM Operational and Technical requirements:** this research will look at the development and validation of the operational concept of UAM, looking at the additional requirements for the urban environment (compared to the U-space requirements for non-urban airspace). Also, the delta from the U-space in terms of Infrastructure, C, N and S challenges in the urban airspace should be addressed (*R&I need: Enable UAM*);
- **Measures to protect the privacy of the European citizen in a U-space environment:** this research aims at identifying, collecting and reviewing requirements to protect the privacy of the European citizens in a U-space environment, and develop/adapt the U-space services needed to fulfil them (*R&I need: U-space social acceptance aspects*);
- **Development of a U-space performance framework:** this research will look into options for the development of a performance framework for U-space. The research will identify the relevant KPAs for U-space and develop a set of performance indicators to measure the performance of U-space services. In addition to the KPAs of operational efficiency and cost-efficiency, it is expected that the research will explore how equity and fairness apply to U-space. (*R&I need: U-space performance framework*);
- **Development of a U-space safety framework:** this element covers the need for new safety modelling and assessment methodologies applicable to U-space. Tools are required to analyse and quantify the level of safety of U-space operations involving high levels of automation and autonomy, where multiple actors automatically make complex, interrelated decisions under uncertainty (e.g. weather-related uncertainty). Research is needed to ensure that the distributed decision-making protocols implemented in U-space achieve the required level of safety while catering for differing levels of experience of participants. Examples of approaches that could be leveraged for this purpose include greater use of simulation and machine learning applications such as stress-testing. Like for manned aviation, there may be a need to have different frameworks for R&D and for operations (SAR vs. SRM) (*R&I need: U-space safety assurance*);
- **Introduction of environmental impact assessment and dialogue in the U-space flight plan approval processes:** this element covers the development of a service to provide the environmental impact assessment for each individual flight-plan of the U-space flight-plan

approval process. The service could include what-if/what-else dialogues and suggest options to reduce the environmental impact (e.g. alternative routes or time, maximum speeds, noise abatement procedures, etc.) (*R&I need: Develop advanced U-space services*);

2.1.4.2.5 Topic HORIZON-SESAR-2022-DES-ER1-WA2-5: ATM application-oriented Research for Virtualisation and Cybersecure Data-Sharing

Expected outcomes

Project results are expected to contribute to the following expected outcomes:

- **Capacity:** project results are expected to demonstrate that capacity is not affected by the possible development of new U-space services or the validation of operational/technical requirements. Also, the definition of indicators and metrics linked to U-space should help demonstrating that no negative impact on capacity is introduced.
- **Cost-efficiency:** project results are expected to demonstrate a positive contribution of cost-efficiency of the ATM system through, for example, the sharing of the same infrastructure among different ATM services, a harmonised and rationalised refurbishment of legacy infrastructures, an evolution of route charging and cost-recovery mechanisms, etc.
- **Operational efficiency:** projects results are expected to demonstrate that the ATM workforce such as ATCOs, ATSEPs and pilots, will at least maintain their efficiency even when dealing with cyberattacks.
- **Safety:** project results are expected to contribute to the improvement of the level of safety of both, legacy and new developed ATM services and systems, providing also the necessary standardisation and regulatory framework.
- **Security:** project results are expected to contribute to the improvement of the level of (cyber)security of both, legacy and new developed ATM services and systems, providing also the necessary standardisation and regulatory framework.

Scope

To successfully address the expected outcomes, the SJU has identified the following innovative research elements. The list is not intended as prescriptive; proposals for work on areas other than those listed below are welcome provided they include adequate background and justification to ensure clear traceability with the SRIA R&I needs in the Virtualisation and Cybersecure Data-Sharing flagship.

- **Safety vs Cybersecurity:** safety and Security are intricately intertwined. Identify use-cases where safety risk mitigating measures and security risk mitigating measures are conflicting, and provide guidance on how to resolve such conflicts during the design phase. Examples may include time consuming processes for systems certification (safety) versus fast processes for patch management (security), and open data exchange for surveillance, e.g. TCAS, ADS-B (increase of awareness) versus encrypted data exchange for surveillance (protect data against abuse) (*R&I need: Future data-sharing service delivery model*);
- **New virtualisation business model:** virtualisation, i.e. decoupling the provision of ATM data services from ATS, is expected to allow a better offer of airspace capacity via collaboration between the Network Manager and the ATSUs. New and/or different business models could be identified and investigated, along with the possibility to have emerging new ATM players, which would foster competition in the sector. Examples of questions to be addressed in this element

are: how will virtualisation impact the way the ANSPs will make implementation choices? How will the new ATM services be provided? (*R&I need: scalability and resilience*);

- **Improved platforms interoperability:** this research is about how to improve the sharing and exchange of ATM data via interoperable platforms to allow the improvement of existing and new ATM services and processes, by using both, existing data exchange concepts (e.g. SWIM) and new ones. The research should consider the associated cyber-resilience aspects (*R&I need: Free flow of data among trusted users across borders*);
- **Regulatory frameworks for future ATM services:** this element covers the assessment of the need for new/updated legal and regulatory frameworks for the provision of ATM services in a SOA (Service Oriented Architecture) environment. In the specific, operating expense (OPEX) and lower capital expense (CAPEX) requirements should be addressed (*R&I need: Regulations and standards*);
- **Evolution of route charging and cost-recovery mechanisms:** this elements investigates how the route charging and cost-recovery mechanisms should evolve in order to move towards a service oriented provision scheme that is supplier-independent (*R&I need: Regulations and standards*);
- **Efficient application of standards:** this element covers the need for the efficient application of standards, procedures and guidelines addressing safety, privacy and cyber-resilience risks, which is a key element to protect ATM information and information systems. Therefore, it is necessary to further develop cyber-resilience standards and regulations, procedures and guidelines based, also, on the ones from other domains (e.g. block-chain). (*R&I need: Regulations and standards*);
- **Machine learning & cybersecurity:** this research aims at investigating on the issues, from a cybersecurity perspective, on machine learning techniques for ATM applications, in particular what are the risks, how to perform a risk assessment and what mitigating measures can be effective (*R&I need: Cyber-resilience*);
- **Beyond state of the art cybersecurity standards and methods:** this element covers the development of advanced cybersecurity methods (science, technologies and processes) going beyond the state of the art and looking beyond the horizon to prepare next generation of cybersecurity standard for aviation. This should also include the training process for ATCOs, ATSEPs and pilots to deal with continually changing cyberthreats. (*R&I need: Cyber-resilience*).

2.1.4.2.6 Topic HORIZON-SESAR-2022-DES-ER1-WA2-6: ATM application-oriented Research for Multimodality and Passenger Experience

Expected outcomes

Project results are expected to contribute to the following expected outcomes:

- **Environment:** project results are expected to demonstrate the positive impact of the proposed solutions on the environment (i.e. emissions, noise and/or local air quality) by alleviating congestion at and around airports with a better prediction of passenger flows (e.g. multimodal decision making tool, single-ticketing, etc.), by helping access/egress to/from airports via environmentally-friendly means, etc.
- **Passenger experience:** project results are expected to improve the passenger experience by sharing data on air transport with travel service providers thus helping passengers plan intermodal journeys that include air segments. This will also be achieved with appropriate travellers behaviour analysis, modelling and simulation.

- **Capacity:** project results are expected to contribute to capacity by providing means for journey optimisation and personalisation of offers to passengers, especially in case of disruptions (e.g. enabling passengers to re-book and re-plan on same or different means of transportation).
- **Cost-efficiency:** project results are expected to demonstrate that new data-sharing standards, together with a tailored multimodal performance scheme and governance will allow new 'as a service' businesses, creating more value for aviation within an integrated transport system.
- **Safety:** project results are expected to maintain, at least, the same level of safety of the current ATM system.

Scope

To successfully address the expected outcomes, the SJU has identified the following innovative research elements. The list is not intended as prescriptive; proposals for work on areas other than those listed below are welcome provided they include adequate background and justification to ensure clear traceability with the SRIA R&I needs in the Multimodality and Passengers Experience flagship.

- **Future airport business model:** this research is about how the airport business model of the future is expected to evolve in terms of adjustments to emerging/changing passenger requirements, airline business models, integrating new procedural requirements (*R&I need: Access to/exit from the airport: Airports are obvious multimodal nodes for aviation*);
- **Multimodal governance:** this element covers the study of governance and standards to facilitate the coordination between transport modes in a multimodal environment; the need for regulation to ensure level playing field for service providers, preventing market dominance or uncompetitive pricing from limited providers, not limiting market access for others; the assessment of multimodal trip pack creation & corresponding insurance. Also, there is the need to investigate how to complement the security policies between air and other means (e.g. rail), especially keeping in mind the importance to reduce the administrative burdens (*R&I need: Access to/exit from the airport: Airports are obvious multimodal nodes for aviation*);
- **Multimodal decision making tools:** this research area covers the development of decision support systems for intermodal solutions to manage systems at tactical and/or strategic level (e.g., collaborative optimisation of passenger or goods flows across a multimodal transport chain, optimal use of available capacity, etc.) (*R&I need: Access to/exit from the airport: Airports are obvious multimodal nodes for aviation*);
- **Advanced techniques for passengers flow prediction:** this element aims at developing advanced predictive models to anticipate the evolution of an airport's passenger flows within the day of operations and assess the operational impact on both airport processes and the ground transport system, with the aim of enabling real-time collaborative decision-making between airports and ground transport stakeholders and enhanced passenger information services (*R&I need: Passenger experience at the airport*);
- **Travellers behaviour analysis, modelling and simulation:** this element investigates the need for new/different big data sources for the analysis of multimodal travel behaviour (including the requirements for integrated, private data where all service providers can sell capacity into an integrated booking system, but retain their own supply privacy), the need for a better representation of multimodal trips in transport and traffic simulation models, integration of commercial sensitive data from air and ground transport operators into passenger demand models (through, for example, federated machine learning models), study on the impact of the

shift from feeder flights to other transport modes (environment, door-to-door time, better resource allocation, free up airport slots, etc.) *(R&I need: Passenger experience at the airport);*

- **Passenger travel behaviour and requirements:** this research covers the factors affecting passenger mode choice, especially the ones driving the decision for using a particular mode on different distance segments (e.g. short-haul traffic air vs. rail and complementarity between these), the requirements along the journey (travel time, comfort, price, CO₂ emissions, ...) and how these requirements will affect future door-to-door journeys. Also, the passengers journey planning has to be considered: how to improve the door-to-door options/information for multimodal travel for passengers, by (for example) offering one ticket for multimodal trips; single ticketing; MaaS tickets, one-stop shops, etc. *(R&I need: Passenger experience at the airport);*
- **Integrated performance network:** this element covers the establishment of an overall transport network performance framework to improve passenger experience and planning, via an improved collaboration between different modes of transport, improved integration of data and processes, definition of GDPR-compliant requirements for the collection, analysis and exploitation of additional data, in order to create a Pan-European database supporting journey optimisation and personalisation of offers to customers. It will also address the information for passengers during disruption (e.g. enabling passengers to re-book and re-plan during disruption (further developing existing tools); corresponding passengers rights information, real time, user-friendly, accessible and accurate information to improve passenger experience before and during the trip. *(R&I need: An integrated transport network performance cockpit);*
- **Multimodal performance scheme:** the aim is to develop a set of multimodal key performance indicators (KPIs), based on the current single European sky performance scheme, ICAO, EU connectivity indicators and indicators used in other modes of transport, to allow the evaluation of the impact of innovative intermodal transport solutions on the quality, efficiency and resilience of the door-to-door passenger journey. Tactically, there is a need to move away from less meaningful passenger-centric metrics such as small average delays, instead producing better measures of significant disruption (e.g. longer delays, missed connections, denied boarding, cancellations) and developing entirely missing metrics in the KPAs of flexibility and predictability. These remain important dimensions in travel choice decision-making and trade-offs for the passenger. A greater alignment with passenger (dis)utility and passenger archetypes is required, to deliver better service to the customer *(R&I need: An integrated transport network performance cockpit);*

2.1.4.2.7 Topic HORIZON-SESAR-2022-DES-ER1-WA2-7: ATM application-oriented Research for Aviation Green Deal

Expected outcomes

Project results are expected to contribute to the following expected outcomes:

- **Environment:** project results are expected to contribute to the achievement of the objective of a 55 % reduction by 2030 looking forward to net-zero greenhouse gas emissions by 2050, by maturing concepts enabling optimal and optimum green trajectories, thus reducing the CO₂ and non CO₂ emission, as well as to contribute to new and up to date models for emissions and noise and local air quality. Also, the impact of new entrants and new aircraft types/fuel on the environment is anticipated

- **Capacity:** project results are expected to contribute to the issue of sector capacity via the identification of optimal and environmentally friendly flight trajectories including new entrants (e.g. U-space flights)
- **Safety:** project results are expected to contribute to the integration and improved interoperability of manned aviation with drones and also to the integration with HAOs, thus keeping the level of safety of the operations at least at the same level as it is today.

Scope

To successfully address the expected outcomes, the SJU has identified the following innovative research elements. The list is not intended as prescriptive; proposals for work on areas other than those listed below are welcome provided they include adequate background and justification to ensure clear traceability with the SRIA R&I needs in the Aviation Green Deal flagship.

- **Geometric altimetry:** in current operations, aircraft cruising at a constant barometric pressure need to climb/descend when flying across isobars, which in the case of a climb causes extra fuel-burn. This extra fuel-burn may be offset if thanks to it the aircraft stays at its optimum (or at least closer to optimum) pressure altitude/temperature for a long enough time, but may be wasted fuel otherwise. The use of geometric altimetry has been the only option since the advent of aviation, but a move to geometric altimetry is deemed possible today because aircraft are nowadays for the most part equipped with GNSS, and this trend is expected to grow in the future. There is a need to assess the potential environmental benefits of moving to geometric altimetry, considering not only the impact on aircraft that are at cruising level, but also the other concepts that would be unlocked by it, e.g. RVSM 2, elimination of the wasted airspace allocated to the “transition layer”, etc. Research is also needed to develop an operational concept to move all aviation (En-Route and TMA, GA, airliners, civilian and military traffic, in all airspace classes) to geometric altimetry. Note that, in addition to the environmental benefits, the move to geometric altimetry is also expected to facilitate the approach and landing phases (e.g. no need to transition from barometric to geometric altimetry during the approach), which will have safety benefits. Geometric altimetry will also facilitate the integration of manned aviation with drones that are already using geometric altimetry in current operations, and also integration with HAOs, where precision of barometric altimeters above FL800 is challenging. The research will need to consider the transition aspects (*R&I need: Optimum Green Trajectories*).
- **Evolution of separation minima, including RVSM 2:** Building on the work of SESAR 2020 ER project R-WAKE, the objective is to develop and validate a concept of operations to allow the reduction of vertical separation minima to 500 ft. in a geometric altimetry environment, potentially in combination with a concept for new dynamic and/or geometry dependent separation minima in the horizontal dimension. It is expected that the RVSM 2 concept will bring increased capacity (estimated increase 20%) and reduced CO₂ emissions by making it possible for more aircraft to cruise at their preferred altitude. Safety benefits are also expected, because the concept also includes an increase of separation minima (e.g., vertical separation increase to 1.500 ft., or requirement to add a small (for example 1NM) horizontal separation to the 1.000 ft. separation minima for certain aircraft pairs when atmospheric conditions are known to be such that wake turbulence is specially persistent, thereby reducing the risk of wake encounters in the En-Route phase of flight. This scope should include investigating advanced modes of separation (e.g. dynamic separation) based on predictive modelling and machine learning techniques, and enabled by further automation and improved connectivity. Also, the dynamic calculation of necessary separation parameters between aircraft (horizontal and vertical) to meet minimum acceptable safety level (i.e. moving away from pre-determined separation standards) should be

addressed. The separation minima to be developed include both minimum radar separation (MRS), which aim at keeping the risk of collision sufficiently low to meet the target level of safety (TLS), and minimum wake separation (MWS), which aim at keeping the risk of wake encounter sufficiently low to meet the TLS; the minima to be applied in operations will always be the maximum of the applicable MRS and TLS. Please note that it is expected that the RVSM 2 concept will not be possible with the precision that is possible with barometric altimetry (it will require the increased precision provided by geometric altimetry described in the previous bullet point) (R&I need: Optimum Green Trajectories). The dynamic weather and geometry-dependent pair-wise distance/time based separation minima for En-Route and TMA will allow the reduction of the minimum separation applicable between two aircraft under certain weather conditions (e.g. location of the tropopause, wind, etc); the separation to be applied will be the greatest of the Minimum Radar Separation (MRS) and the Minimum Wake Separation (MWS). The operational improvement also covers combined separation minima and the consideration of flight-specific data.

- **Introduction of environmental considerations in the European route charging scheme:** This element builds on the evolution of separation minima in the previous bullet point, and covers the research into the potential evolution of the route charging scheme to incorporate environmental considerations, such as lower charges for flying at valley hours, lower charges for flying at sub-optimal flight levels (or with longer 2D routes) to reduce non-CO₂ impacts, lower charges for lighter flights accepting voluntary level capping in order to make best flight levels available to heavier aircraft, higher charges for aircraft with lower load factors or for business aircraft, etc. (R&I need: Accelerating decarbonisation through operational and business incentivisation).

2.1.4.2.8 Topic HORIZON-SESAR-2022-DES-ER1-WA2-8: ATM application-oriented Research for Artificial Intelligence (AI) for aviation
Expected outcomes

Project results are expected to contribute to the following expected outcomes:

- **Environment:** project results are expected to demonstrate the positive impact of AI-based solutions to operational mitigations of aviation's environmental impact.
- **Capacity:** project results are expected to contribute to capacity by addressing AI-based human operator support tools to ensure the integration of "new entrant" aircraft types
- **Operational efficiency:** projects results are expected to improve the operational efficiency by enabling a better traffic prediction and forecast, thus contributing to punctuality.
- **Safety:** project results are expected to maintain, at least, the same level of safety of the current ATM system.
- **Security:** project results are expected to maintain, at least, the same level of security of the current ATM system.

Scope

To successfully address the expected outcomes, the SJU has identified the following innovative research elements. The list is not intended as prescriptive; proposals for work on areas other than those listed below are welcome provided they include adequate background and justification to ensure clear traceability with the SRIA R&I needs in the Artificial Intelligence (AI) for Aviation flagship.

- **Aviation/ATM AI infrastructure:** AI is largely dependent on data, necessary to develop AI algorithms and to validate them. Thus, the challenge is to develop an appropriate aviation/ATM AI infrastructure and/or exploit existing ones that can capture the current and future information required to support AI-enabled applications with the required software developments processes, using robust architectures for ATC systems to provide ATCOs and pilots with good level of confidence of automation and decision aiding tools. This includes capability development, trustworthiness, safety and airworthiness. Also, there is the need to foster access to and sharing of data while looking at data quality, data integrity, ownership, security, trust framework and data governance aspects. This covers the definition of an exploitation plan for future steps after the end of the project (*R&I need: Trustworthy AI powered ATM environment*);
- **Human vs machine AI powered interactions:** moving from the state of the art, this element covers the research on how the human vs machine interactions can be boosted to the highest level of automation, including not only AI but any other sub-branches such as, for example, reinforcement learning (RL), explainable AI (XAI) or natural language processing (NLP). Research results should demonstrate how to support the ATCOs tasks, not redefine the role of the human (e.g. to demonstrate an increase of the human capabilities during the execution of complex scenarios, or a reduction of human workload in the execution of standard tasks (*R&I need: Human – AI collaboration: digital assistants*);
- **AI powered co-piloting:** similarly to the previous topic, this element aims to investigate how AI may support pilots to reduce the workload. Research should focus, for example, on how to exploit automation, up to its higher levels, to perform non-critical tasks and how to adapt the human-machine interface during such operations. (*R&I need: Human – AI collaboration: digital assistants*);
- **AI for complex operations:** this research is about the development of AI-based human operator support tools to ensure the safe integration of “new entrant” aircraft types into an increasingly busy, heterogeneous and complex traffic mix (i.e. UAVs, supersonic aircraft, hybrid and fully electric aircraft) This should also cover the wider implication on other organisations and the impact on the network (*R&I need: Human – AI collaboration: digital assistants*);
- **Sustainable trajectory:** Developing AI-based solutions to operational mitigations of aviation’s environmental impact, such as near-real-time network optimisation (air-space/route availability) and use (on-the-fly flight planning), in conjunction with meteorological data now-casting, which could be made possible with AI (*R&I need: AI Improved datasets for better airborne operations*).

2.1.4.3 Work Area 3 – Knowledge Transfer Network (CSA)

Specific conditions	
<i>Expected EU contribution per project</i>	The SJU estimates that an EU contribution of around EUR 3.75 million would allow these outcomes to be addressed appropriately. Nonetheless, this does not preclude submission and selection of a proposal requesting different amounts.
<i>Indicative budget</i>	The total indicative budget for this WA is EUR 3.75 million.
<i>Type of Action</i>	JU-CSA

<i>Legal and financial set-up of the Grant Agreements</i>	- Beneficiaries may provide financial support to third parties. The support to third parties can only be provided in the form of grants. The maximum amount to be granted to each third party is EUR 60 000; - Grants awarded under this topic will be linked to the following actions: HORIZON-SESAR-2022-DES-ER-01. A collaboration agreement is required.
<i>Other requirement</i>	The maximum project duration is 48 months.

2.1.4.3.1 Topic HORIZON-SESAR-2022-DES-ER1-WA3-1: Knowledge Transfer Network Expected Outcomes

The support action is expected to result in:

- cross-fertilisation of knowledge from other disciplines that will encourage the exploration of innovative and unconventional ideas and research directions in ATM;
- establishment and consolidation of a strong link between cutting-edge research and the operational challenges faced by industry, and Europe's policy agenda on transport, digitalisation and sustainability;
- identification of 'thematic challenges' pinpointed by the wider research community and supported by mechanisms, such as for example dedicated workshops and 'catalyst funding' focused projects, able to stimulate the transfer of exploratory research results towards higher levels of maturity and promoting cooperation between the academia and the industry;
- maintenance and constant update of the 'knowledge hub' (i.e. the wiki) set up by the SESAR2020 ER project Engage, representing a one-stop, go-to source for information and a single European point of entry for ATM knowledge;
- support to the execution, and contribution to the enhancement of the SJU Digital Academy initiative, to increase professional/learning opportunities for up and coming researchers and future aviation/ATM workforce, and to increase equal opportunities.

Scope

The Knowledge Transfer Network shall cover, at least, the following activities:

- **ATM concepts roadmap:** production and maintenance of a long-term roadmap of innovative and interdisciplinary ATM concepts. It will require monitoring, identifying and analysing new opportunities for innovative ATM research that is of relevance to the evolution of the European ATM system;
- **Take-up:** stimulate the transfer of exploratory research results towards ATM applications-oriented research and onwards towards industrial research;
- **Wiki:** building on the "one-stop knowledge hub" (i.e. wiki) being developed by the current ER project Engage (with an estimated extended grant end date of 30/06/2022), the selected consortium will be in charge of the maintenance and update of the "wiki" with, at least, the following content:

- *Research repository of all research* conducted within the framework of the SESAR R&I programmes (SESAR 1, SESAR 2020, Digital European Sky), clustered by themes, strands of research, operational improvements, project names, keywords, etc.
- *Mapping of European university programmes*, featuring details of undergraduate programmes related to air transport engineering and aviation management and postgraduate programmes that perform ATM-related research (regardless of the main research field).
- **Catalyst funding:** responsible for launching calls for catalyst research projects, managing call text, selection process, funding and promotion, coordinating participation of funded projects at key SESAR Digital Academy events.
- **SESAR Digital Academy initiative:** to support the European ATM education and training to develop new talents with a deep knowledge of the future ATM scientific research needs which will sustain a supply of bright young research talent in the long term, as well as stimulating the next generation of ATM operational and engineering staff. In order to achieve that, the selected consortium shall, at least:
 - launch calls for PhD research projects, managing call text, selection process, funding and promotion, coordinating participation of funded PhDs at key SESAR Digital Academy events. It will be also responsible for signposting other financial support opportunities for students pursuing PhDs and other post-graduate thesis dissertations on innovative research ideas.
 - facilitate placements and/or training opportunities, offering students a chance to develop new skills and gain industry experience. Facilitate placements and/or training opportunities, offering students a chance to develop new skills and gain valuable industry experience.
 - support the promotion of the SESAR Young Scientist Award campaigns, helping to ensure visibility of the campaign and enticing aviation students to submit applications.
 - Support the SJU in the preparation and execution of webinars and other similar events (see also below);
- **Events, publications and website:** support the SJU in the preparation and execution of the SESAR Innovation Days, including call for contributions, paper and poster selection, promotion, drafting of the event programme, chairmanship of panels, identification of speakers and keynote, etc.
- Also, organisation of workshops and symposiums, ATM research summer schools, digital challenges/hackathons, the development of newsletters and other actions aimed at the dissemination and sharing of SESAR exploratory research and KTN results, potentially including the publication of a European ATM periodic academic journal and/or publishing a series of special issues in existing journals. Support to the SJU in the management of the SESAR webpages (including the Digital Academy one), with updates and integration of the latest content.

2.2 Call HORIZON-SESAR-2022-DES-IR-01

2.2.1 Scope of the call

The HORIZON-SESAR-2022-DES-IR-01 call for proposals is composed of 6 Work Areas (WA):

- **WA 1** covers transversal activities with a focus on Master Planning activities and Performance management.
- **WA2** comprises the industrial research required to achieve the objective of net-zero greenhouse gas emissions by 2050 set by the European Green Deal, in line with the EU's commitment to global climate action under the Paris Agreement, requires accelerating the shift to smarter and more sustainable mobility. This implies the need for aviation to intensify its efforts to reduce emissions, in line with the targets set in Flightpath 2050.
- **WA3** will focus on the delivery of the next generation of enabling platforms and services in view of achieving the ambition of the Digital European Sky and the Phase D of the ATM Master Plan.
- **WA4** covers Fast Track Innovation and Uptake activities addressing U-space and UAM.
- **WA5** covers Fast Track Innovation and Uptake activities addressing capacity on demand and dynamic airspace, virtualisation and cybersecure data-sharing, multimodality and passenger experience and aviation green deal.
- **WA6** comprises the industrial research activities required to complete TRL6 for the key R&D solutions that define the ATM Master Plan 2020 Phase C ambition, establishing a solid foundation for ATM Master Plan Phase D. The scope of this work area includes a number of elements that although addressed in SESAR 2020 Wave 1 and Wave 2 activities did not finally complete TRL6. It also covers the integration of solutions that having achieved (or nearly achieved) TRL6 as part of previous SESAR programmes still require integrated validation activities to facilitate and de-risk the industrialisation and deployment phases: these activities may target a TRL7 level of maturity. It may also include activities for early integration of less mature SESAR Solutions.

2.2.2 EUROCONTROL services

As stated in the Single Basic Act under recital (93), the EUROCONTROL organisation possesses an unique and appropriate infrastructure together with the necessary administrative, IT, communications and logistics support services that could be beneficial to the implementation of the SESAR 3 Programme.

Applicants could take benefits of the following EUROCONTROL expertise and services:

- ATM Operational expertise;
- Civil-Military integration expertise;
- Network operational data;
- Network Management validation infrastructure;
- Reference tools for the assessment of network performance and environmental impact

Should the provision of those EUROCONTROL services be required, applicants are invited to coordinate with EUROCONTROL to introduce the services in their proposals.

2.2.3 General conditions for the call

Unless otherwise stated, this call follows the general conditions laid down in Horizon Europe Work Programme 13. General Annexes, adopted by the European Commission ⁽³¹⁾.

Topics	Type of Action	Budgets (EUR million)	Maximum Expected EU contribution per project (EUR million) ⁽³²⁾
		2022	
Opening: 7 April 2022 Deadline ⁽³³⁾ : 13 October 2022			
DES-IR1-WA1-1	CSA	4.00	2.00
DES-IR1-WA1-2	CSA		2.00
DES-IR1-WA2-1	RIA	30.00	5.00
DES-IR1-WA3-1	RIA	55.00	12.00
DES-IR1-WA3-2	RIA		12.00
DES-IR1-WA3-3	RIA		12.00
DES-IR1-WA3-4	RIA		12.00
DES-IR1-WA3-5	RIA		12.00
DES-IR1-WA4-1	IA	35.00	7.00
DES-IR1-WA5-1	IA	22.00	5.00
DES-IR1-WA5-2	IA		5.00
DES-IR1-WA5-3	IA		5.00
DES-IR1-WA5-4	IA		5.00
DES-IR1-WA6-1	RIA	25.00	5.00
DES-IR1-WA6-2	RIA		5.00
DES-IR1-WA6-3	RIA		5.00
DES-IR1-WA6-4	RIA		5.00
Overall indicative budget		171.00	

Table 42: Budget allocation and maximum expected EU contribution per project per Work Area under the DES-IR1 call for proposals with identifier HORIZON-SESAR-2022-DES-IR-01

⁽³¹⁾ European Commission Decision C(2021)1940 of 31 March 2021.

⁽³²⁾ Nonetheless, this does not preclude submission and selection of a proposal requesting different amounts.

⁽³³⁾ The Executive Director responsible may delay the deadline(s) by up to two months. All deadlines are at 17.00.00 Brussels local time.

General conditions relating to this call	
<i>Admissibility conditions</i>	The conditions are described in General Annex A of the Horizon Europe Work Programme 2021–2022.
<i>Eligibility conditions</i>	The conditions are described in General Annex B of the Horizon Europe Work Programme 2021–2022.
<i>Financial and operational capacity and exclusion</i>	The criteria are described in General Annex C of the Horizon Europe Work Programme 2021–2022.
<i>Award criteria</i>	The criteria are described in subparagraph 3.2.4 below. Moreover the following weighting per criteria will apply: • Excellence: 40 % • Impact: 40 % • Implementation: 20%
<i>Documents</i>	The documents are described in General Annex E of the Horizon Europe Work Programme 2021–2022.
<i>Procedure</i>	The procedure is described in General Annex F of the Horizon Europe Work Programme 2021–2022. The following exceptions apply: 1) To ensure a balanced portfolio, grants will be awarded to applications not only in order of ranking, but at least also to those that are the highest ranked within topics within the same Work Area, provided that the application attain the threshold. 2) The evaluation committee might be composed partially by representatives of EU institutions and agencies (internal experts).
<i>Legal and financial set-up of the Grant Agreements</i>	The rules are described in General Annex G of the Horizon Europe Work Programme 2021–2022. The following exceptions apply: 1) A funding rate of 70 % applies to all beneficiaries (regardless of their legal status). 2) Beneficiaries will be subject to the following additional dissemination obligations: Beneficiaries must make proactive efforts to share on a royalty free basis, in a timely manner and as appropriate, all relevant results with the other grants awarded under the same call. Beneficiaries must acknowledge and incorporate these obligations in the proposal, outlining the efforts they will make towards meeting them, and in Annex I to the Grant Agreement. 3) Beneficiaries will be subject to the following additional exploitation obligations: For the purpose of complying with the objectives set in Council Regulation 2021/2085, the SRIA and the European ATM Master plan, beneficiaries must:

	- make available for re-use under fair, reasonable and non-discriminatory conditions all relevant results generated in the action, through a well-defined mechanism, in trusted repositories; - in case the purpose of the specific identified measures to exploit the results of the action is related to standardisation, grant the non-exclusive licence to the results royalty-free; - in case on linked actions, ensure mutual access to the background and to the results of on-going and closed linked actions, should this be needed for implementing tasks under the linked action(s) or for exploiting results generated by the linked action(s) as defined in the conditions laid down by this (bi)annual work programme and by the call for proposals. Beneficiaries must acknowledge and incorporate these obligations in the proposal, outlining the efforts they will make towards meeting them and in Annex I to the Grant Agreement. 4) Grants awarded under this topic will be linked to the following actions: Call HORIZON-SESAR-2022-DES-IR-01; Call H2020-SESAR-2020-2; Call H2020-SESAR-2019-1 A collaboration agreement is required.
<i>Other conditions</i>	The integration of the gender dimension (sex and gender analysis) in R&I content is not a mandatory requirement.

Table 43: General conditions for the DES-IR1 call for proposals with identifier HORIZON-SESAR-2022-DES-IR-01

2.2.4 Award criteria

Transversal Activities (Work Area 1)

Type of Actions	Excellence <i>(The following aspects will be taken into account, to the extent that the proposed work corresponds to the description in the work programme)</i>	Impact	Implementation
JU-Coordination and support Actions (CSA)	1. Clarity and pertinence of the project's objectives: degree to which the objectives and scope are compliant with the call material, well understood and fully addressed 2. Quality of the proposed coordination and/or support measures, including soundness of methodology: degree to which the proposed	1. Credibility of the pathways to achieve the expected outcomes and impacts specified call material and on the ATM research. 2. Suitability and quality of the measures to maximise expected outcomes and impacts, as set out in the dissemination and	1 Quality and effectiveness of the work plan, assessment of risks, and appropriateness of the effort assigned to work packages, and the resources overall. 2. Capacity and role of each participant, and the extent to which the consortium as a whole brings together the necessary expertise.

	methodology is feasible and adequate to address the required coordination and support action.	exploitation plan, including communication activities.	
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Industrial Research & Validation Activities (Work Areas 2, 3 & 6) & Fast Track Innovation and Uptake (Work Areas 4 & 5)

Type of Actions	Excellence <i>(The following aspects will be taken into account, to the extent that the proposed work corresponds to the description in the work programme)</i>	Impact	Implementation
JU-Research Innovation Actions (RIA) JU-Innovation actions (IA)	1. Clarity and pertinence of the project's objectives: degree to which the objectives and scope are compliant with the call material, well understood and fully addressed 2. Soundness of the proposed methodology to develop the SESAR solutions from their initial to their target maturity level, including the underlying concepts, models, assumptions and interdisciplinary approaches. It also includes appropriate consideration of the gender dimension in R&I content, and the quality of open science practices⁽³⁴⁾, including sharing and management of research outputs and engagement of citizens, civil society and end-users where appropriate. 3. Level of awareness of the state-of-the-art: degree to which the proposal demonstrates knowledge of current operations and relevant previous R&D work (both in and outside of SESAR) and explains how their proposed work is beyond the	1. Credibility of the pathways to achieve the expected outcomes and impacts specified in the call material 2. Appropriateness of the contribution to standardisation and regulation: the proposal demonstrates that the project will adequately contribute to the relevant standardisation and regulatory activities. 3. Suitability and quality of the measures to maximise expected outcomes and impacts, as set out in the dissemination and exploitation plan, including communication activities.	1. Quality and effectiveness of the work plan, assessment of risks, and appropriateness of the effort assigned to work packages, and the resources overall 2. Capacity and role of each participant, and the extent to which the consortium as a whole brings together the necessary expertise.

⁽³⁴⁾ EU's Open Science Policy (https://ec.europa.eu/info/research-and-innovation/strategy/strategy-2020-2024/our-digital-future/open-science_en)

	state of the art, and demonstrates breakthrough innovation potential.		
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2.2.5 Specific conditions and topics description per Work Area

2.2.5.1 Work Area 1 – transversal activities

Specific conditions for WA1	
<i>Expected EU contribution per project</i>	The SJU estimates that an EU contribution of maximum 2.00 million would allow these outcomes to be addressed appropriately. Nonetheless, this does not preclude submission and selection of a proposal requesting different amounts.
<i>Indicative budget</i>	The total indicative budget for this WA is EUR 4.00 million ⁽³⁵⁾
<i>Type of Action</i>	JU-CSA
<i>Other requirements</i>	The maximum project duration is 36 months.

2.2.5.1.1 Topic HORIZON-SESAR-2022-DES-IR1-WA1-1: Performance Management & Network impact Assessment

Expected outcomes

Although the responsibility of conducting the individual performance assessments and CBAs lies with the SESAR 3 projects, there is a need for the SJU to follow continuously the process of performance evaluation to ensure moving towards the Performance Ambition as defined in the European ATM Master Plan. This includes being able to assess and provide a consolidated view of the performance impact of future ATM concepts and solutions at ECAC network level, bringing together the ability of bottom-up matured Solution models in combination with the top down network performance view.

Scope

SESAR Performance Management Process steers the overall R&I work in respect of the SESAR Performance Ambitions set in the European ATM Master Plan and is based upon the application of the SESAR Performance Framework. The SESAR Performance Management process reconciles and maps the performance assessment and results delivered by the R&I projects with the SESAR Performance Ambitions. It also ensures aggregating these results through the simulation of the relevant SESAR Solutions with the dual objective of delivering an ECAC wide performance view and supporting for deployment, the development of MPL3 Implementation Objectives based on these aggregated performance simulation results.

To successfully address the expected outcomes, the activities to be performed under SJU leadership shall focus on:

- Performance framework

⁽³⁵⁾ This budget is shared with topic DES-IR1-WA1-1 and DES-IR1-WA1-2.

- Maintain reference methods and performance framework (upon explicit request of SJU)
- If required train and coach R&I projects to ensure correct application of the performance methodologies
- On SJU request, contribute to Solutions maturity Gates to confirm that a robust performance approach based on the methodology was applied by the Projects
- Performance assessment & Network impact assessment
 - Capture and aggregate the performance assessments delivered (R&I completed) and planned to be delivered (R&I in progress) by R&I projects;
 - Run integrated simulation of multi-SESAR Solutions duly replicating observed real-world operational environments to assess the impact that it could enable at Network level;
 - Maintain a dashboard showing actual vs planned network performance impact enabled by SESAR 3 JU work programme delivery.

2.2.5.1.2 Topic HORIZON-SESAR-2022-DES-IR1-WA1-2: Master Planning & Monitoring Expected outcomes

The SESAR Joint Undertaking is responsible for the execution and maintenance of the Master Plan (MP). Considering that the DES Programme relies on the development of the Phase D of the ATM Master Plan 2020, further described in the SRIA, the project results will mainly focus on deployment planning and monitoring and will contribute to the following outcomes:

- Get strong buy-in from both public & private decision makers in the execution of the ATM Master Plan
- Accelerate market uptake of SESAR Solutions differentiating voluntary from mandated/regulated deployment actions
- Show alignment of short/medium term deployment activities with the long-term vision outlines in the ATM Master Plan with a particular focus on 1) easing decision making in relation to the transition from development to deployment and encouraging early movers; 2) monitoring the progress of deployment activities in relation to the vision outlined in the Master Plan
- Comprehensive coverage of the deployment phase (i.e. industrialisation and implementation activities)

Scope

The ATM Master Plan is composed of three levels: Level 1: Executive view, Level 2: Development planning & reporting and Level 3: Deployment planning and reporting. The Master Planning project's scope will mainly focus on supporting the JU to plan and report on deployment activities covering both industrialisation and implementation. The scope could be extended in supporting the SJU in the update campaign of the ATM Master Plan 2020 Level 1 should such a decision be taken. Similarly, some content integration activities could be performed to support the SJU Programme management providing that an efficient methodology be made available. The management of the Level 2 will be performed by the JU while close coordination will be ensured with the project to ensure due consideration to the link to deployment.

To successfully address the expected outcomes, the activities to be performed under SJU leadership shall focus on:

- Main activities
 - In connection with the Network impact assessment results, developing & maintaining optimised deployment scenarios of SESAR Solutions for R&I uptake throughout the European network, which will be the basis for the ATM MP L3 Plan
 - Develop & maintain related consolidated cost/benefit analysis
 - Develop & maintain optimised L3 performance impact plan on future Reference Periods (at National and ECAC level)
 - Consolidate and deliver MP Level 3 Plan covering both voluntary (e.g. for early movers) and mandatory (e.g. regulated via Common Project) deployment activities covering both industrialisation (in particular standardisation and certification) and implementation
 - Consolidate and deliver the annual MP Level 3 Report
 - In support of market uptake, support to the update of the SESAR Solutions Catalogue in particular the link to the deployment as communication and dissemination tool
- Additional support activities (subject to request by SJU)
 - Support SJU in the organisation of the Master Plan L1 update campaign
 - In charge of updating the Deployment and Business Views for L1.
 - Support to the definition of future common project proposals where and when mandated by the European Commission
 - Support to other ad-hoc strategic planning & monitoring activities (e.g. AAS type activities)
 - Support the alignment of the ICAO ASBUs with the evolution of the ATM Master Plan.
 - Support SJU in content integration (i.e. modelling and integration of architectural information)

2.2.5.2 Work Area 2 – IR Topic addressing “Aviation Green Deal”

Specific conditions for WA2	
<i>Expected EU contribution per project</i>	The SJU estimates that an EU contribution of maximum 5.00 million would allow these outcomes to be addressed appropriately. Nonetheless, this does not preclude submission and selection of a proposal requesting different amounts.
<i>Indicative budget</i>	The total indicative budget for the topic is EUR 30.00 million
<i>Type of Action</i>	JU-RIA
<i>Legal and financial set-up of the Grant Agreements</i>	Grants award under this topic will have to submit the following deliverables: • Contextual note

	• SPR-Interop/OSED • TS/IRS • VALP • VALR • CBA • STAND • REG • Data management plan (to be submitted at the beginning, at mid-term and towards the end of the project). • Communication Plan (to be submitted 6 months after the beginning of the grant together with the D&E plan) • Plan for the dissemination and exploitation of the results – D&E plan (to be submitted 6 months after the beginning of the grant and towards the end of the project).
<i>Other requirements</i>	The maximum project duration is 36 months.

2.2.5.2.1 Topic HORIZON-SESAR-2022-DES-IR1-WA2-1: Industrial Research & Validation for Aviation Green Deal Expected outcomes

Project results are expected to contribute to the following expected outcomes:

- **Environment:** the introduction of automation and dynamicity will enable AUs to fly trajectories that are closer to their optimal, resulting in fuel efficiencies and thus overall emission reductions. Innovative approaches such as Wake Energy Retrieval (WER) will bring additional CO₂ reduction.
- The proposed concept will open the door to a better understanding of climate impact of non-CO₂ emissions, especially vis-a vis the trade-off with CO₂. It will as well allow mitigating part of the non-CO₂ impact by tackling effectively contrails formation
- The concepts addressing the airport environment will allow improving the Local Air Quality (LAQ) and help reducing the noise impact on the communities neighbouring the airport.
- **Capacity:** a high level of automation will make it possible to introduce the proposed concepts without a negative impact on capacity; furthermore, the WER concept will make it possible for aircraft to be closer together in the cruise phase of flight allowing possible increase of airspace capacity.
- **Safety:** the development of adequate system support for the new concepts based on a high level of automation and their validation in an operational environment will guarantee that the safety levels are either maintained or increased.

Scope

To successfully address the expected outcomes, all or some of the following sub-R&I needs should be addressed:

- Eco-friendly trajectories:** when specific conditions are met – typically traffic conditions – an area can be declared Eco-friendly by ATC. Eco-friendly operations are implemented with the aim of minimising the flight environmental footprint. Such implementation relies typically on improved collaboration between pilots and controller and/or also between controllers in the planning and execution of the flight. Increased flexibility in the management of the flight will allow optimising the flight profile for meeting environmental performance. The targeted flexibility may include free lateral or vertical route deviation (without the need to require a new route clearance) for flight optimisation purposes, so aircraft could for example be cleared to cruise at any fixed geometric altitude between two flight levels (thereby avoiding the climb and descent required to maintain a fixed barometric flight level), or freedom to deviate horizontally within a certain area, allowing more effective use of favourable winds. It is expected that Eco-friendly operations will initially be implemented in areas or period of low traffic (e.g. oceanic/remote, high altitude, night period), to be later expanded to areas and periods of higher traffic (R&I need: New Ways of Flying).
- Wake Energy Retrieval (WER) in continental en-route airspace:** Wake Energy Retrieval (WER) is an ADS-B-in application that allows aircraft to reduce fuel-burn by flying closely behind another aircraft and thereby taking advantage of some of the residual lift of the leader. From the ATM point of view, the challenge is to identify WER candidate pairs and manage the rendezvous. In low-density airspace continental airspace and/or oceanic/remote airspace, previous R&D has shown the feasibility of strategically planning the rendezvous (before departure), and then executing it by adjusting take-off times and speeds, and this concept is ready for demonstration. The industrial research activities in this topic will address the development and validation of a concept of operations for scaling up the WER concept to all en-route operational environments, including the dynamic WER concept, whereby ATC dynamically identifies WER candidate pairs amongst equipped aircraft when already airborne rather than before departure. Inclusion of WER equipage information in the flight plan will need to be addressed. Network WER operations monitoring tools for performance assessment purposes are also in scope. For situations where a pre-departure planned rendezvous is feasible and efficient, NM and FMP tools and procedures for rendezvous planning are also in scope (R&I need: Formation Flight).
- Development of a MET service to publish and dynamically update ECHO areas:** this element builds on previous SESAR work on Environmental Change Functions, and aim at aggregating the environmental impact assessment into a single continuously updated ECHO area publishing service; it may include as well the development of required technical enablers (measurement capabilities, aircraft sensors, satellites functions, etc...). ECHO areas are those areas of the airspace where there is high certainty that aircraft emissions would cause a disproportionately high environmental impact due to aviation induced cloudiness (AIC) with a warming effect (R&I need: Non-CO₂ impacts of aviation).
- ECHO-area avoidance in the planning and execution phase:** this element is expected to use the SESAR knowledge in avoidance of DMA areas (with the areas for being avoided are ECHO areas rather than military training areas). The objective is to develop a concept of operations that encompasses both the planning phase (so that AU can plan their flight avoiding entering published predicted ECHO areas) and the execution phase (during which controllers will have on-screen information of ECHO-area evolution in real time and will deliver the necessary clearances to ensure that aircraft do not enter them). The concept addresses the NM flight plan validation and DCB processes, as well as the FMP and ATC aspects for management in the execution phase. The environmental benefits will be measured in real time and available to AU and the general public in the ANSP environmental dashboard (R&I need: Non-CO₂ impacts of aviation).

- **Improved vertical trajectories on climb and arrival through new avionics and novel A/G synchronisation:** this element builds previous SESAR 2020 work in the development of the Permanent Resume Trajectory (PRT) avionics and new A/G data exchanges that will give flight crews visibility of the ATC plan for their flight, which they can use to better plan their descent profile. It also includes improvements on the connectivity between EFB and FMS as an enabler. (*R&I need: Environmentally optimised climb and descent operations (OCO and ODO)*).
- **Enhanced vertical clearances:** this element is based on the uplink of vertical constraints via CPDLC in order to ensure separation with potentially conflicting aircraft. This concept will enable a drastic reduction in the number of level-offs during the climb and descent. It is expected that first benefits can be realised without new avionics developments, but in order to fully realise the benefits improvements to the on-board avionics (FMS and/or EFB) and procedures for the management of vertical constraints may be required (*R&I need: Environmentally optimised climb and descent operations (OCO and ODO)*).
- **Systemized airspace route structures with dynamic allocation of STARs:** this element addresses procedures and system support to allocate aircraft in one of two or more almost parallel STARs before TOD, so that aircraft have lateral separation and therefore the need for vertical constraints along the descent is reduced. The implementation of this concept in the European environment will require cross-border collaboration, and it is therefore essential that this aspect is covered. The concept may be combined with the intermediate AMAN gates concept, based on the introduction of one or more metering fixes before the final metering fix that AMAN builds a sequence for (*R&I need: Environmentally optimised climb and descent operations (OCO and ODO)*).
- **Introduction of dynamicity in the use of RNP route structures:** this element will develop and validate a concept for RNP route structures (trombones, point merge or other) to be activated or de-activated, e.g. depending on the time of day for noise control purposes, or depending on demand, so that the use of more complex route structures is avoided during periods of low demand. The research needs to address the en-to-end concept, including cross-border aspects and the delivery of the appropriate STAR clearance to each aircraft via voice or CPDLC. Please note this concept addresses only SIDs and STARs above 3.000 ft. (where noise may be a factor, but LAQ is not a factor) (*R&I need: Environmentally optimised climb and descent operations (OCO and ODO)*).
- **Improved airport environment through dynamic standard instrument departure (SID) and noise abatement departure procedure (NADP) allocation:** this element includes the definition of new NADP concepts and a combined SID and NADP allocation concept that will be based on the optimisation of environmental impact functions that consider potential trade-offs between local capacity, LAQ, noise impacts in the area around the airport and impact on the climate at global level. It is anticipated there will be an initial concept in which the SID scheme is established in advance depending on the MET prediction, for example 4 hours in advance, and published so that AU can consider it in their flight-plan. In the longer term, the allocation will be done on a case-by-case basis and more dynamically (up to just before the aircraft leaves the gate) (*R&I need: Non-CO₂ impacts of aviation*).
- **New avionics in support of improved speed and aircraft configuration management on arrival:** this element covers the development of avionics (EFB and/or FMS) in support of improved speed and aircraft configuration management (throttle, control surfaces including in particular high-lift-device and landing gear extension management). The research will cover the operational side on both the cockpit and the ATC side (assess impact on ATC TMA and approach procedures and if

needed develop new guidance, procedures, phraseology and/or support tools for TMA controllers) (*R&I need: Advanced RnP green approaches*)

- Green ATC capacity concept:** This research will investigate the concept of the green ATC capacity, whereby when the ATC capacity of a sector/airport is calibrated, in addition to the maximum capacity (including sustain and peak concepts), a (lower) maximum green capacity is used to represent the maximum sector load or arrival capacity for which ATC can facilitate environmentally optimised trajectories. Specific metrics could be investigated in addition to the usual metrics used in DCB and ATC. The Green ATC capacity is expected to support improved ANSP decision making in the area of sector configuration in real time (link to dynamic airspace configurations) and also in the area of strategic capacity planning, including the impact assessment for building new runways (*R&I need: New Ways of Flying*).
- Local (airport/TMA), ATSU-level and network-wide digital environmental performance dashboards:** Building on previous environmental performance monitoring initiatives like existing airport LAQ and noise monitoring programmes and the existing European CDO/CCO monitoring dashboard, these validations will accelerate the deployment of environmental performance monitoring dashboards across Europe. The aim is not only to provide visibility of environmental metrics, but also to support their progressive integration in the decision making process at strategic, pre-tactical and tactical levels, including the consideration of trade-offs with other performance indicators; the first pre-tactical and tactical integration applications are envisaged in the area of total airport management, and concern runway and taxiway use.
- The validation is expected to incorporate existing metrics and expand the environmental impact assessment toolbox by developing novel metrics to provide a more complete picture of the impact of aviation on the environment than is possible today. New metrics of interest include, for example, metrics for non-CO₂ impacts and metrics to capture the inefficiencies caused by early descent (time from TOD to landing, difference between actual and EPP TOD....), aggregated horizontal and vertical efficiency metrics (e.g. 3Di indicator, trajectory-based indicators...). Environmental performance dashboards should pay special attention to including the visibility of trade-offs between different environmental impacts (fuel, noise, LAQ, climate change), and also between environmental impacts and other performance indicators (capacity). The information of the environmental dashboards that is relevant to the public will be made publicly available to all European citizens (*R&I need: Accelerating decarbonisation through operational and business incentivisation*).
- Advanced calibration of airport capacity:** The ATFM declared capacity of an airport is the maximum number of aircraft that can be allocated a pre-departure TTA in a given time slot. It takes into account the runway throughput and the uncertainty of traffic demand data: the higher the uncertainty, the higher the buffer in the declared capacity needs to be in order to ensure that there will be no holes in the sequence due to under-delivery. This element will develop a solution aimed at leveraging the reduced traffic uncertainty brought by SESAR ATFM developments by reducing the declared capacity buffer without effectively reducing real capacity or traffic movements. Thanks to the reduced buffer, aircraft will have lower ASMA delay, which will results in environmental benefits (*R&I need: New ways of flying*).
- Engine-off absorption of AMAN/STAM/vSTAM departure delay:** These demonstrators will integrate green taxi concepts with the synchronisation of the engine start-up and TTOT in the cases of ground delay at short notice due to AMAN and/or STAM/vSTAM. The demonstrators will address the medium and large airport environment, addressing in particular the challenges posed when the departure airport is a large CDM airport with a complex departure sequence implementation process and at times when arrival traffic loads are high, because it is in precisely

in these challenging conditions when the potential benefits for the environment are the highest (*R&I need: New ways of flying*).

2.2.5.3 Work Area 3 – IR Topics

Specific conditions for WA3	
<i>Expected EU contribution per project</i>	The SJU estimates that an EU contribution of maximum 12.00 million would allow these outcomes to be addressed appropriately. Nonetheless, this does not preclude submission and selection of a proposal requesting different amounts.
<i>Indicative budget</i>	The total indicative budget for the topic is EUR 55.00 million ⁽³⁶⁾
<i>Type of Action</i>	JU-RIA
<i>Legal and financial set-up of the Grant Agreements</i>	Grants award under this topic will have to submit the following deliverables: • Contextual note • SPR-Interop/OSED • TS/IRS • VALP • VALR • CBA • STAND • REG • Data management plan (to be submitted at the beginning, at mid-term and towards the end of the project) • Communication Plan (to be submitted 6 months after the beginning of the grant together with the D&E plan) • Plan for the dissemination and exploitation of the results – D&E plan (to be submitted 6 months after the beginning of the grant and towards the end of the project).
<i>Other requirements</i>	The maximum project duration is 36 months.

This work area will focus on the delivery of the next generation of enabling platforms and services in view of achieving the ambition of the Digital European Sky.

2.2.5.3.1 Topic HORIZON-SESAR-2022-DES-IR1-WA3-1: Industrial Research & Validation for Connected and Automated ATM

This topic addresses the research needed to achieve automation level 4 (high automation) across the European ATC platforms. As described in the European ATM Master Plan 2020, in this level, high

⁽³⁶⁾ This budget is shared with topic DES-IR1-WA3-1, DES-IR1-WA3-2, DES-IR1-WA3-3, DES-IR1-WA3-4, DES-IR1-WA3-5.

automation supports the human operator in information acquisition and exchange, information analysis, action selection and action implementation for all tasks/functions. Automation can also initiate action for most tasks.

Expected outcomes:

Project results are expected to contribute to the following expected outcomes:

- **Environment:** Improvements to the connectivity and automation of will enable ATM to facilitate more efficient ground operations and air trajectories that are closer to the optimum thus limiting emissions, decreasing noise and local air quality.
- **Capacity:** The safe use of less restrictive separation modes, combined with increased level of automation support to ATC, will optimise the use of the airspace. Improvements in ground operations predictability and integration of advanced tools for arrival and departure will help to optimise runway use. Better connectivity between stakeholders, the use of shared 4D trajectories, interoperability and higher predictability brought about by increased automation will increase capacity.
- **Cost-efficiency:** The implementation of higher levels of automation, when adopted consistently, will contribute to operational harmonisation and eventually to cost-efficiency. A service-based approach and a well-defined required service level (e.g. for CNS services) will also help to achieve cost-efficiencies.
- **Operational efficiency:** Shared 4D trajectories and interoperability will increase the predictability, enabling the preferred trajectories to be flown with fewer tactical interventions.
- **Safety:** The performance of the system (human and automation) in an environment with increased automation includes safety, which will be maintained if not improved. The automation of some procedures shall ultimately lead to improved safety and fewer errors, which tend to be human-triggered. Additionally, the increase in data-sharing will also foster the early detection of potential safety issues and their mitigation.

Scope

To successfully address the expected outcomes, all or some of the following sub-R&I needs should be addressed:

- **Next generation ATC platforms for En-Route and TMA operations:** This challenge refers to the development of integrated, ATC platforms leveraging state of the art technologies to deliver Level 4 automation functionalities for En-Route and Approach operations. R&I results shall enable the full implementation of target architecture defined in the European ATM Master Plan (e.g. virtualisation, TBO) as well as concepts such as platform as a service (PaaS) to facilitate the complete decoupling of service provision (infrastructure services, information services and all other ANSs) from the physical location of the infrastructure as outlined in the target architecture defined in the European ATM Master Plan. It includes for example the following features:
 - Automatic delivery of ATC instructions and clearances
 - Advanced automation support for separation management (e.g. automated conflict detection and resolution using AI powered digital assistants)
 - Integration of (ground and airborne) safety nets with the separation management function.

- Air/Ground 4D trajectory synchronisation for automated detection, classification, resolution and monitoring of conflicting profiles in the planning and tactical phases of ATM.
- System health monitoring, service quality level and degradation management of distributed architectures
- Integration of new aircraft types (e.g. RPAS, VTOL, hydrogen aircraft, electric aircraft...)
- Use of advanced HMI interaction modes (e.g. multi-touch interaction, automatic speech recognition, virtual tracking labels, interaction based on air gestures, attention guidance and control, virtual reality, resilient synthetic vision tools, augmented reality...) for innovative En-Route and TMA applications
- Intelligent queue management (e.g. using machine learning and big data techniques)
- Consideration of the role of the human in the new joint human machine cognitive system. In particular, addressing the resource management aspects in the context of new business models, distributed architectures and the paradigm change in Service provision
- Automation in support of new ATM concepts aiming at increasing runway throughput e.g. optimised wake separation
- (R&I need: Advanced separation management; Intelligent queue management; Integration of safety nets; Role of the human; Speech recognition for increased safety and reduced workload; Integration of safety nets (ground and airborne) with the separation management function)
- **Next generation ATC platforms for Airport operations:** This challenge refers to the development of integrated, ATC platforms leveraging state of the art technologies to deliver Level 4 automation functionalities for Airport operations. R&I results shall enable the full implementation of target architecture defined in the European ATM Master Plan (virtualisation, TBO etc.) as well as concepts such as platform as a service (PaaS) to facilitate the complete decoupling of service provision (infrastructure services, information services and all other ANSs) from the physical location of the infrastructure as outlined in the target architecture defined in the European ATM Master Plan. It includes for example the following features:
 - Automated delivery of apron advisories and ATC clearances
 - Use of advanced HMI interaction modes (e.g. multi-touch interaction, automatic speech recognition, virtual tracking labels, interaction based on air gestures, attention guidance and control, virtual reality, resilient synthetic vision tools, augmented reality...) for innovative airport applications
 - Support airport operations in all-weather conditions while maintaining a high runway throughput equivalent to good visibility.
 - Runway and surface movement assistance for more predictable ground operations (e.g. Automation of stand planning, taxi routing and ground de-confliction, and runway use optimisation...)
 - Automated ground handling operations (e.g. autonomous airside operations) and safety nets.
 - Runway use optimisation through integrated use of arrival and departure time-based separation tools

- Intelligent queue management (e.g. using machine learning and big data techniques)
- Consideration of the role of the human in the new joint human machine cognitive system.
- (R&I need: Airport automation including runway and surface movement assistance for more predictable ground operations; Runway use optimisation through integrated use of arrival and departure time-based separation (TBS) tools; intelligent queue management; Role of the human; Speech recognition for increased safety and reduced workload)
- **Next generation applications for Network operations:** This challenge refers to the development of Level 4 automation functionalities for Network operations leveraging state of the art technologies and capabilities. It includes for example Network-wide synchronisation of 4D trajectory information and providing trajectory advice (including uncertainty considerations and improved weather forecasts) to ATCOs for human confirmation or automatic implementation. *(R&I need: Network-wide synchronisation of trajectory information)*
- **Future Connectivity and digital infrastructure:** This challenge refers to the development of hyper-connectivity solutions between all stakeholders (ground-ground and air-ground) via high-bandwidth, low latency fixed and mobile networks leveraging state of the art technologies (e.g. broadband connectivity, cloud services, IoT...) and the delivery of the digital backbone infrastructure (CNS and beyond) required by the digital European sky applications. R&I results shall enable the full implementation of concepts such as infrastructure as a service (IaaS) to facilitate the complete decoupling of service provision (infrastructure services, information services and all other ANSs) from the physical location of the infrastructure as outlined in the target architecture defined in the European ATM Master Plan. It includes for example the following features:
 - Enabling the deployment of a performance-based communications, navigation and surveillance (CNS) service offer and transitioning to data services
 - Development of integrated, digital CNS solutions (e.g. L-DACS, Satellite based CNS)
 - Data communication as primary means of Air/Ground connectivity
 - Solutions for Air-Ground connectivity and dialogue between Air and Ground digital assistants.
 - Move from VHF voice to digital voice for controller-pilot communications
 - Development of Internet of things for aviation (Machine-to-machine communication for real-time and automatic decision-making)
 - Development of full IP-based communications and use of higher bandwidth mobile networks, including satellite-based solutions
 - The development of non-safety-of-life ATM applications using commercially available services (e.g. 5G, open SATCOM) required for hyper-connected ATM
 - Air-Ground and Ground-ground data communication solutions for RPAS (including remote-pilot to controller voice and CPDLC communications)
 - Operational use of datalink in the airport surface as per this element covers the ICAO TBO FF-ICE
 - Development of advanced applications of SWIM technical infrastructure

- Automation of Next generation ATC platforms underlying technical processes (e.g. cybersecurity, maintenance, dataset updates...)
- (R&I need: Enabling the deployment of a performance-based communications, navigation and surveillance (CNS) service offer)

2.2.5.3.2 Topic HORIZON-SESAR-2022-DES-IR1-WA3-2: Industrial Research & Validation for Air-Ground Integration and Autonomy

Current ATM systems and technologies are not designed to allow the accommodation or full integration of an increasing number of new forms of mobility and air vehicles which have a high degree of autonomy and use digital means of communication and navigation. This topic covers the industrial research required for the evolution of the technologies and operational concepts in order to address this need.

Expected outcomes:

Project results are expected to contribute to the following expected outcomes:

- **Environment:** optimised operations due to integrated 4D trajectory operations contribute to the related optimisation of fuel-burn and therefore of overall emissions per flight.
- **Capacity:** The main objective of the integration is to maintain capacity even with important changes in the respective fleets, i.e., manned versus unmanned.
- **Cost-efficiency:** The increased air-ground integration as per the FF-ICE Trajectory Based Operations (TBO) supports the introduction of higher levels of automation in ATM; The implementation of higher levels of automation, when adopted consistently, will contribute to operational harmonisation and eventually to cost-efficiency of the ATM system. A service-based approach and a well-defined required service level (e.g. for CNS services) will also help to achieve cost-efficiencies. The implementation of higher levels of automation, when adopted consistently, will contribute to operational harmonisation and eventually to cost-efficiency. A service-based approach and a well-defined required service level (e.g. for CNS services) will also help to achieve cost-efficiencies. The developments on the cockpit side support reduced crew operations concept, potentially unlocking significant cost-savings for AUs.
- **Operational efficiency:** advanced communication means (e.g., agile frequency transfer, system to system dialogues...) and increased automation (reduced workload for ATCOs and flight crews/remote pilots) will contribute to increased operational efficiency. Trajectory management as per the FF-ICE 2 TBO concepts will provide improvements to the flight efficiency, particularly in the vertical domain and cruising/taxiing fuel consumption when flights are subject to queuing.
- **Safety:** Operational safety is positively impacted by the design of new operations providing advanced separation management; increased automation enables the human actors to be discharged from routine tasks and to focus on strategic tasks, including safety oversight of the operations.

Scope

To successfully address the expected outcomes, all or some of the following sub-R&I needs should be addressed:

- **Next generation airborne avionics platforms enabling autonomy:** This challenge refers to the development of integrated, airborne avionics platforms leveraging state of the art technologies

and enabling the safe integration of autonomous airborne operations (Single-pilot operations (SPO), RPAS and HAO) into the ATM system. It includes for example the following features:

- Advanced airborne systems supporting Single-Pilot Operations (SPO): In order to operate safely with a reduced crew, safety systems will be a key enabler to trigger the back-up modes in case of incapacitation, stress or exhaustion of crew members. This involves the development of systems such as Augmented & Virtual reality for smart/enhanced visual operations, airborne digital assistants, Connected Flight Management system, Multi-sensors Navigation, Airborne Collision Avoidance, automated ATC communication and frequency management.
- Autonomous navigation in all phases of flight (landing, taxi and take-off, approach in all conditions with limited ground infrastructure)
- Advanced airborne systems supporting RPAS and HAO integration into ATM such as, data communication, airborne safety nets, Detect And Avoid (DAA) and Remain Well Clear (RWC) functionalities.
- (R&I need: Single-pilot operations (SPO); Enabling greater ground and airborne, integration and wider performance; Integration of drones in all classes of airspace; Super-high-altitude operating aerial vehicles)
- **Air/Ground Integration enabling future operations:** This challenge refers to the development of operational solutions allowing for the safe integration of autonomous airborne operations (Single-pilot operations (SPO), RPAS and HAO) into the ATM system. It includes for example:
 - Operations for safe return to land in Single-Pilot Operations: conditions under which pilot incapacitation is declared and how it is handled by the various actors involved, definition of ground assistant role when the pilot is in command, the definition of incapacitation declaration and management procedures, between aircraft, the airline operation centre and ATM.
 - Operations for FOC-WOC/ATC connectivity in Single-Pilot Operations: addressing the expected role of FOC/WOC in the case of SPO abnormal situations requires their connection to ATC centres to support safe return to land even in a congested traffic environment.
 - Operations enabling the Integration of drones in all classes of airspace: This covers the integration with cooperative and non-cooperative traffic of small vehicles that are mainly operating at very low level (VLL) close to urban areas and airports, as well as large vehicles, such as remotely-piloted aircraft systems (RPAS), used both for civil and military applications.
 - Operations for Super-high-altitude operating aerial vehicles: this involves safe and efficient separation management, entry and exit procedures through segregated or non-segregated airspace.
 - (R&I need: Single-pilot operations (SPO); Enabling greater ground and airborne, integration and wider performance; Integration of drones in all classes of airspace; Super-high-altitude operating aerial vehicles)
- Operations for safe dialogue between controller and pilot with machine learning and speech-to-text-to speech technics in order to:

- Replace controller's voice by messages which can be directly understood and executed by onboard avionics, reducing controller directives execution time and misunderstanding from pilots.
- Perform surveillance of pilot-controller dialogs, in order to detect any misunderstanding between them
- (R&I need: Integrated 4D trajectory automation in support of TBOs; Enabling greater ground and airborne; integration and wider performance; Complex digital clearances)
- **Integrated 4D trajectory automation in support of TBOs:** This challenge refers to the development of a common 4D trajectory, shared between every application that needs to process each flight, and updated by every application acting upon that flight, underpins ground-provided ATM. It includes for example the following features
 - applications for 4D trajectory synchronisation and ATM/U-space convergence to facilitate their access and operations in controlled airspace
 - Trajectory management during the execution phase including contribution to the development of ICAO TBO FF-ICE 2 concept
 - gate-to-gate data driven trajectory prediction and conflict detection/resolution
 - (R&I need: Integrated 4D trajectory automation in support of TBOs; ATM/U-space convergence; Enabling greater ground and airborne; integration and wider performance; Complex digital clearances)

2.2.5.3.3 Topic HORIZON-SESAR-2022-DES-IR1-WA3-3: Industrial Research & Validation for Capacity-on-Demand and Dynamic Airspace

This topic covers the industrial research required in support of the scalable capacity concept, which requires agility and flexibility in providing capacity where and when it is needed, particularly for maximising the use and performance of limited resources, i.e. airspace and ATCOs. It includes both the increase of the volume of air traffic that can be safely managed per volume of airspace and the increased flexibility in the allocation of the controller workforce to offer ATC capacity where it is needed thanks to the virtualisation of the ATC provision. The increased capacity will not result in reduced flight efficiency, i.e. the optimisation of trajectories will be possible even at busy periods.

Expected outcomes

The introduction of dynamicity in the management of airspace and the capacity-on-demand service will unlock performance benefits in the following areas:

- **Environment:** More precise trajectories in arrival and departure will allow reducing the noise impact
- **Capacity:** Capacity is measured in terms of either ATFM delays (lack of capacity) or throughput. The en-route capacity aims to maintain ATFM delays at 0.5 min/flight or less. TMA and peak runway throughput shall be increased according to traffic forecasts. A more stable and predictable level of capacity will be achieved in all-weather operations. In addition, by providing capacity dynamically where and when it is needed and re-configuring the airspace to match the traffic flows, overall system resilience will be significantly increased.
- **Cost-efficiency:** DAC, capacity-on-demand, ATCO training programmes will provide scalability. ATCO productivity is expected to increase significantly.

- **Operational efficiency:** Trajectory management and DAC will provide further improvements in vertical flight efficiency and cruising/taxiing fuel consumption when flights are subject to queueing. Overall improvements in capacity and trajectory management and DAC are expected to reduce the average delay in block to block times by 4 minutes per flight.
- **Safety:** safety levels will be maintained.

Scope:

To successfully address the expected outcomes, all or some of the following sub-R&I needs should be addressed:

- **Applications for resilient, cross-border, On-demand ATSS and Dynamic airspace management:** This challenge refers to the development of applications leveraging state of the art technologies to deliver resilient, cross-border, on-demand ATSS and dynamic airspace management. It includes for example, the following features:
 - Flexibly allocating resources to where they are required due to traffic demand, irrespective of the controller's physical location in Europe, while taking into account network optimisation needs.
 - Reconfiguration/Consolidation of cross-border dynamic and remote ATS. Development of operational plans for a flexible and dynamic sectorisation by taking into account basic complexity indicators based on specific shapes of demand, network flight efficiency needs plus existing ATC technology enabled capabilities and the application of the virtual centre concept, as well as the operation in real time of the concept.
 - Network Performance Management cockpit supporting the collaborative decision-making to improve the current monitoring process combining collected local performance indicators and the use of advance data science and prediction techniques allowing the identification of unattended business opportunities and the anticipation (and better management) of disruptive operational situations across the network.
 - Cross-border Flow centric operations. Full reconciliation of ATFCM measures with other measures/advisory and multiple constraint manager. Dynamic sector configurations that satisfy traffic flows and are adaptable and proportionate to variable traffic demand.
 - increased Flexibility in ATCO validations (IFAV) for a generic pan-European capacity-on-demand service.
 - Resilient ATM systems that would continue to provide services despite disruption, e.g. during capacity bottlenecks, adverse weather or national system breakdowns.
 - System health monitoring, tools and procedures for resilience and containment of potential cascade failures on geographically distributed interconnected and interdependent systems.
- (R&I need: On-demand air traffic services, ATM continuity of service despite disruption)

2.2.5.3.4 Topic HORIZON-SESAR-2022-DES-IR1-WA3-4: Industrial Research & Validation for Artificial Intelligence for Aviation

Expected outcomes:

Project results are expected to contribute to the following expected outcomes:

- **Environment:** AI will enable the optimisation of aircraft trajectories, allowing a potential reduction in the aviation environmental footprint.
- **Capacity:** AI will play a fundamental role in aviation/ATM to address airspace capacity shortages, enabling dynamic configuration of the airspace and allowing dynamic spacing separation between aircrafts.
- **Cost-Efficiency:** AI will enrich aviation datasets with new types of datasets unlocking air/ground AI-based applications, fostering data-sharing and building up an inclusive AI aviation/ATM partnership. This will support decision-makers, pilots, air traffic controllers and other stakeholders, bringing benefits in cost-efficiency by increasing ATCO productivity (reducing workload and increasing complexity capabilities).
- **Operational efficiency:** Increasing predictability will be a key role for AI, by enabling traffic predictions and forecasts that will boost punctuality.
- Safety science will also need to evolve to cope with the safety challenges posed by the introduction of machine learning. Actual safety levels will be at least maintained using this technology.
- **Security:** AI will offer the possibility to stay cyber-resilient to new technologies and threats, the objective is to maintain a high level of security.

Scope

To successfully address the expected outcomes, all or some of the following sub-R&I needs should be addressed:

- **AI powered applications for higher automation:** This challenge refers to the development of AI powered supporting the transition to automation level 4. It includes for example the following features:
 - AI powered applications supporting next generation ATC platforms: Development of innovative AI applications and advanced HMI for ground systems. This includes support tools for complex operation as well as for the safe integration of “new entrant” aircraft types into an increasingly busy, heterogeneous and complex traffic mix (i.e. UAVs, supersonic aircraft, hybrid and fully electric aircraft)
 - AI powered applications supporting next generation airborne platforms: Development of intelligent augmentation tools, enabling autonomous operations (e.g. vision-based navigation or trajectory optimisation)
 - (R&I need: trustworthy AI powered ATM environment, AI for prescriptive aviation,)
- **AI development infrastructure and services:** This challenge is refers to the development of an appropriate aviation/ATM AI infrastructure supporting AI-enabled applications with the required software developments processes, using robust architectures for ATC systems to provide ATCOs and pilots with good level of confidence of automation and decision aiding tools. It includes for example the following features:
 - Cloud Infrastructure and services: Develop for an AI-powered cloud infrastructure and services for automation levels 4 and above. This element covers the development of ATM processes from which analysis and prediction point of view are susceptible to obtain a greater benefit from.

- Trustworthiness and explainability: Development of new methodologies for the validation and certification of advanced automation including cybersecurity that ensure transparency, legal aspects, robustness and stability under all conditions and taking full consideration for a future ATM environment built on multiple AI-algorithms system of systems, with a human-centric approach. This includes abnormal situation management.
- (R&I need: trustworthy AI powered ATM environment, AI for prescriptive aviation, AI Improved datasets for better airborne operations.)
- **AI powered Digital assistants:** In view of achieving automation level 4, this challenge refers to the development of Human-Machine joint cognitive systems where digital assistants propose the best possible options to the human (flows, sequences, safety net, etc.) and solves complex situations using machine-to-machine communication. It includes for example the following features:
 - Airborne digital assistant: Support pilots, and reducing the workload (e.g. automating non-critical tasks, adapting the human-machine interface during operations). This is a first step towards introducing the artificial co-pilot necessary for future operations like SPO.
 - Ground Digital assistant: Increasing ground operators (e.g. ATCOs) capabilities during complex scenarios and reducing workload in order to focus on highly added-value activities and strategical planning. For example alleviating controller workload, proposing the best possible options to the controller (flows, sequences, safety nets, etc.) while solving complex trajectory situations using machine-to-machine communication between airspace users. AI-based human operator support tools that ensure the safe integration of “new entrant” aircraft types into an increasingly busy, heterogeneous and complex traffic mix (i.e. UAVs, supersonic aircraft, hybrid and fully electric aircraft) should be developed.
 - Air/Ground synchronisation: development of Air/Ground applications to automatically negotiate trajectory changes and achieve the best possible air traffic patterns (environmental sustainability, safety...).
 - Intelligent training systems: Design and implementation of intelligent systems to perform automated Air traffic controller training. Use of AI based techniques to evaluate assess the actions and enhance the necessary skills in a tailored fashion.
- (R&I need: Human – AI collaboration: digital assistants)

2.2.5.3.5 Topic HORIZON-SESAR-2022-DES-IR1-WA3-5: Industrial Research & Validation for Civil-military Interoperability & Coordination

Expected outcomes:

Project results are expected to contribute to the following expected outcomes:

- **Environment:** The additional predictability resulting from the integration of military flight data into the network, will lead to more efficient use of available airspace capacity by civil traffic, which will lead to greater fuel efficiency.
- **Capacity:** The additional predictability resulting from the integration of military flight data into the network, will lead to more efficient use of available airspace capacity by civil traffic which will lead to fewer delays.

- **Operational efficiency:** Greater mission predictability will be of benefit to the operational efficiency of civil traffic in the European Network.
- **Security:** The confidentiality, integrity and availability of information and data are crucial in ensuring safe and secure military operations. The development of a secure virtual infrastructure would address the fragmentation issue, while digital technologies are viable options for enhancing the resilience of infrastructure to cyberattacks.
- **Civil-Military Coordination:** The coordination of sharable data relating to the mission trajectory with the Network Manager will ensure the optimal and timely integration of military flight data into the network, thus allowing solid and reliable traffic predictions.

Scope

To successfully address the expected outcomes, all or some of the following sub-R&I needs should be addressed:

- **Access to airspace:** This challenge refers to further develop the seamless access to airspace to both civil and military users. It includes for example the following features:
 - Advanced Dynamic mobile areas: this will support the dynamic configuration of segregated airspace and management of mission trajectories, thus contributing to the efficiency of both civil and military operations. The areas will have a potential to “roll up” following use over time, distance and volume as a mission progresses allowing for the early release of airspace for other users. The concept of dynamic mobile area reduces the need for static airspace reservation as it protects the aircraft along its trajectory therefore not linked to any geographical location.
 - Mission trajectory management: Automation support for civil–military collaborative decision-making processes supported by common procedures, data formats and the underlying information exchange services.
 - Interoperability with U-space: this will require developing specifications and procedures allowing U-space permeability to mission trajectory and mixed-mode operations together with the required civil–military interoperability concerning certified UAS systems.
 - (R&I need: Access to airspace & System-wide information management (SWIM))
- **Data-sharing:** This challenge is about the availability of data (such as aeronautical, meteorological, environmental and flight data) in a digital format to improve air picture development. (*R&I need: Military surveillance capabilities*);
- **Military surveillance capabilities:** This challenge is about the availability of data (such as aeronautical, meteorological, environmental and flight data) in a digital format to improve military surveillance capabilities. (*R&I need: Military surveillance capabilities*)
- **Connectivity and access to CNS infrastructure:** This challenge is about the development of service-driven approach to the CNS infrastructure that accommodates both civil and military needs. This includes It includes for example the following features:
 - Joint utilisation of the CNS infrastructure: The development of technologies enabling joint civil and military utilisation of the CNS infrastructure and how the CNS services are delivered for navigation, communication, surveillance and traffic or flight information, including cross-domain services (e.g. contingencies).

- Avionics interoperability: Solutions allowing for CNS avionics (e.g. with software-defined radios) to operate seamlessly and to be interoperable with civilian CNS requirements.
- (R&I need: Connectivity and access to CNS infrastructure)
- **Cybersecurity:** This challenge is about the development of cybersecurity and data-protection solutions which should be considered holistically in an end to-end information management process. This also includes personnel education, training and capacity building, technical infrastructure in the context of increased cooperation and information-sharing among civil and military authorities. This also includes addressing potential combined attack scenarios involving Signal In Space attack vectors (*R&I need: Cybersecurity*).

2.2.5.4 Work Area 6 – IR Topics addressing key SESAR 2020 Solutions required to complete ATM MP Phase C (only in IR1 call)

Specific conditions for WA6	
<i>Expected EU contribution per project</i>	The SJU estimates that an EU contribution of maximum 5.00 million would allow these outcomes to be addressed appropriately. Nonetheless, this does not preclude submission and selection of a proposal requesting different amounts.
<i>Indicative budget</i>	The total indicative budget for the topic is EUR 25.00 million ⁽³⁷⁾
<i>Type of Action</i>	JU-RIA
<i>Legal and financial set-up of the Grant Agreements</i>	Grants award under this topic will have to submit the following deliverables: ● Contextual note ● SPR-Interop/OSED ● TS/IRS ● VALP ● VALR ● CBA ● STAND ● REG ● Data management plan (to be submitted at the beginning, at mid-term and towards the end of the project) ● Communication Plan (to be submitted 6 months after the beginning of the grant together with the D&E plan) ● Plan for the dissemination and exploitation of the results – D&E plan (to be submitted 6 months after the beginning of the grant and towards the end of the project).
<i>Other requirements</i>	The maximum project duration is 36 months.

⁽³⁷⁾ This budget is shared with topic DES-IR1-WA6-1, DES-IR1-WA6-2, DES-IR1-WA6-3 and DES-IR1-WA6-4.

This work area comprises the industrial research activities required to complete TRL6 for the key R&D solutions that define the ATM Master Plan 2020 Phase C ambition, establishing a solid foundation for ATM Master Plan Phase D. The scope of this work area includes a number of elements that although addressed in SESAR 2020 Wave 1 and Wave 2 activities did not finally complete TRL6. It also covers the integration of solutions that having achieved (or nearly achieved) TRL6 as part of previous SESAR programmes still require integrated validation activities to facilitate and de-risk the industrialisation and deployment phases: these activities may target a TRL7 level of maturity. It may also include activities for early integration of less mature SESAR Solutions.

By the end of Phase C, the ATM system will have gradually integrated greater levels of automation and connectivity (Level 2 and 3), supporting higher productivity and full sharing of information among stakeholders. It will be using standardised and interoperable systems enabling TBO in a highly connected, service-oriented, network driven context. The collaborative planning and decision process will allow each flight to be managed and optimised as a whole rather than in relation to segmented portions of its trajectory. This phase will also see the full integration of airports into the ATM network, facilitating airspace user operations and thereby reducing the impact of ATM on user costs. This will be possible thanks to the involvement of airspace user/flight operations centres, dynamic demand – and capacity-balancing (DCB) management, and further integration of ATC and ATFCM. The data provided through ADSPs and a more flexible system with improved and new services, such as capacity on demand, will fully support the implementation of these operations. This integration will certainly be gradual; it may start at a regional level or for some alliances of ANSPs.

The new architecture will make it possible to decouple the system infrastructure from ATC operations. ANSPs, irrespective of national borders, will be able to plug in their services where they are needed, providing end-to-end services and sharing resources among ANSPs.

In this phase, drone operations (UAS and RPAS) could be managed as routine operations even if not yet fully integrated into ATM. Additional services, along with new ground and air capabilities, will make it possible to manage safely a large number of diverse drone operations in all environments, including urban areas, for which specific requirements will be set up.

2.2.5.4.1 Topic HORIZON-SESAR-2022-DES-IR1-WA6-1: Industrial Research & Validation for Master Plan Phase C Connected and Automated ATM

The key objective of this topic is to complete TRL6 maturity level for the levels of automation and connectivity expected in Phase C of the ATM Master Plan 2020 (level 2 and 3), supporting higher productivity and improved sharing of information among stakeholders, and deliver a solid foundation for Phase D ambition level. The scope is limited to those SESAR solutions identified as “Key” in the ATM Master Plan 2020. It also covers the integration of solutions that having achieved (or nearly achieved) TRL6 as part of previous SESAR programmes still require integrated validation activities to facilitate and de-risk the industrialisation and deployment phases: the projects addressing these integrated validations will target a TRL7 level of maturity. It may also include activities for early integration of less mature SESAR Solutions.

Expected outcomes:

Project results are expected to contribute to the following expected outcomes:

- **Environment:** Improvements to the connectivity and automation of will enable ATM to facilitate trajectories that are closer to the optimum green profile.

- **Capacity:** The increased level of automation support to ATC (Level 2 and Level 3) will improve the use of the airspace. Improvements in ground operations predictability and integration of advanced tools for arrival and departure will help to optimise runway use. Better connectivity between stakeholders, the use of shared 4D trajectories, interoperability and higher predictability brought about by increased automation will increase capacity.
- **Cost-efficiency:** the objective is to achieve automation levels 2–3 for ATC platform, where there is high level of automation support to action execution, but actions are always initiated by the human controller. This will contribute to improve ATM cost-efficiency. A performance and service based CNS infrastructure will also contribute to improve cost-efficiency.
- **Operational efficiency:** shared 4D trajectories and higher interoperability will increase the predictability of operations, enabling the preferred trajectories to be flown with fewer tactical interventions.
- **Safety:** The performance of the system (human and automation) in an environment with increased automation includes safety, which will be maintained if not improved. The automation of some procedures shall ultimately lead to improved safety and fewer errors, which tend to be human-triggered.

Scope

To successfully address the expected outcomes, all or some of the following sub-R&I needs should be addressed:

- **Future satellite data link technologies SatCom Class A:** complete TRL6 for future satellite data link technologies SatCom Class A for both the continental and remote/oceanic regions, including support to standardisation on FCI multilink where needed to integrate SATCOM Class A (**PJ.14-W2-107**).
- **Combined airborne and ground GBAS approach service type (GAST-F):** complete TRL6 for GALILEO based GAST-D + GAST-F to maximise the benefits of this technology down to CAT II/III to allow for more robust operations, including at high and low latitudes with tougher ionospheric conditions. It also addresses improved resilience to radio frequency interferences on a single band and improved resilience to single constellation outages or failures (**PJ.14-W2-79b**). This includes:
 - Accelerated GAST D definition, prototyping, testing and validation to incorporate GALILEO, at technical level up to TRL6. The proposal should clearly indicate if the system will simply switch between different satellite constellations, or build on the blending satellite signals from different constellations into one NAV system, or both;
 - Development of both DFMC GBAS ground station and DFMC GBAS airborne receiver up to TRL6 and perform ground-airborne interoperability testing and performance validation. Note that the DFMC GBAS airborne receiver initial maturity level is not yet TRL4, and therefore an essential priority would be to develop and mature it as fast as possible to catch-up with the DFMC GBAS ground station developments in Wave 1 and Wave 2. The proposals shall address both ground and airborne aspects, and include GALILEO;
 - Definition, at technical level, of the transition from GAST D technology (ground/air) to DFMC GBAS. This includes the rapid development and adaptation of GBAS GAST-D to incorporate GALILEO (excluding multi-frequency);
 - Incorporate the outcome of high level working group on the GAST-F architecture;

- The development of a prediction service to anticipate CAT II/III unavailability due to atmospheric/solar events, and also to provide an expected performance in terms of minutes of expected unavailability of the service per year, including potential correlation with LVP (if there is any). In particular an alerting service timely forewarning airspace users of expected outages, prior to the outage actually happening, is necessary for a safe and efficient conduct of flights;
 - Transitional aspects including downwards compatibility to GAST-D both ground stations and avionics, need to be addressed. Feasibility of transitional aspects on GAST-C need to be considered;
 - GBAS approach service (GAST-F) for State aircraft operations: GBAS approach service (GAST-F) based on DFMC down to CAT II/III for State aircraft operations;
 - Support standardisation and accelerated certification activities:
 - Extension of current GBAS CAT-III standards (based on GPS L1 or GLONASS FDMA) to accommodate GALILEO signals and dual-frequency capability:
 - Creation of a new ICAO standard in line with the DFMC GBAS concept;
 - Extension of GAST D current standards to augment Galileo signals.
 - Provision of standards that allow the industrialisation of GBAS equipment (ground station and airborne receiver), to ensure timely delivery and full compatibility of both sub-systems;
 - EUROCAE WG-28 activities (in coordination with RTCA SC-159) to produce MOPS for ground and airborne equipment.
 - Development of implementation guidelines, in particular considering different airport layouts/complexity.
- **DFMC GNSS/SBAS/ABAS receivers:** complete TRL6 for DFMC GNSS/SBAS/ABAS receivers and additional avionics systems processing GPS and GALILEO signals in L1/E1 and L5/E5, architectural considerations and assessment of transitions, exploiting synergies and complementarities between different augmentations (DFMC ABAS (ARAIM) and DFMC SBAS) in nominal and degraded modes. This includes the consideration of requirements on backwards compatibility and joint airborne architecture for ABAS/SBAS/GBAS receivers/avionics equipment (avoiding the need for multiple avionics) and a joint airborne architecture for GAST-F and SBAS. This also includes the development of DFMC GNSS receivers on State aircraft: undertakes standardisation work on the introduction of DFMC GNSS, based on the use of secure signals, for State aircraft operations in a General Air Traffic (GAT) environment (*Note: this is a significant gap not addressed in Wave 2*).
 - **L-DACS digital voice capability:** complete TRL6 for the capability of the future terrestrial A/G datalink solution (L-DACS) to exchange digital voice services. Digital voice is foreseen to replace VHF radio completely in the long term in all continental operational environments: en-route (flight-centric or with geographic sectors, continental high and low density), TMA and Tower (TWR), including ground and platform control. The technical solution should be configurable to support both party line and point-to-point ATC-Pilot communication (*PJ.33-W2-02*).
 - **L-DACS NAV capability:** complete TRL6 for L-DACS capability as a potential target alternative Position, Navigation and Timing (A-PNT) solution (*PJ.14-W2-60*). The objective is to further study L-DACS as a complementary system for current navigation infrastructure, taking advantage of its development as a primary communication system. L-DACS is one of most suitable candidates as it can be easily deployed with distance measuring equipment (DME) and is a driver for navigation

infrastructure rationalisation, capable of meeting RNP0.3 requirements. This shall cover the avionics required to support the transition from multi-DME to L-DACS as an A-PNT solution.

- **Integration of various A-PNT technologies:** to support PBN/RNP operations in case of a GNSS degradation or outage. Satellite signals from GNSS constellations like Galileo enable aircraft to follow precise flight paths and take advantage of PBN navigation procedures. Extended periods of signal interruption, for example as a result of signal degradation or satellite outage, can impact flight efficiency. SESAR is researching ways to maintain navigation performance during long-term signal disruption. Candidate technologies shall include L-DACS-NAV and may include the Multi-DME solution and RAIM algorithm, Enhanced DME and Inertial, and alternative radio navigation, radar and vision technologies including database fusion and inertial, supporting all phases of flight (en-route, approach and landing).. Although L-DACS NAV is a potential target solution due to spectrum considerations, there will be a need for a transition period in which the number of L-DACS ground stations for A/G COMS will gradually grow. Meanwhile, A-PNT will have to rely on a variable mixture of basic DME NAV, L-DACS NAV, and alternative radio navigation, radar and vision technologies including database fusion. Therefore, the scope shall include data fusion of these different sources to enable a seamless transition from DME to L-DACS A-PNT. This also includes the options to integrate inertial and terrestrial ranging sources using sensor fusion technology e.g. new approach to inertial integration based on system-level modularity. The availability of multiple geographic measurements is crucial to integrity which is based on redundancy. The scope covers the development of A-PNT solutions for State aircraft operations: use of military inertial systems for State aircraft operations in a PBN/RNP airspace environment for A-PNT purposes (*PJ.14-W2-81c and PJ.14-W2-81d*). The objective is to complete TRL6.
- **SWIM TI Purple Profile for Air/Ground Safety-Critical Information Sharing:** complete TRL6 for the SWIM TI Purple Profile for Air/Ground Safety-Critical Information Sharing allowing the distribution of safety-critical information through A/G SWIM infrastructure and aeronautical telecommunications network/Internet protocol suite (ATN/IPS) networking, rather than legacy point-to-point contracted services. This should include a transition roadmap for legacy protocols to SWIM TI PP (*PJ.14-W2-100*).
- **Advanced curved approach operation in the TMA with the use of geometric altitude:** Complete TRL6 for advanced curved approach operations using geometric altitude instead of barometric altitude in the initial, intermediate and final phases of Instrument Approach Operations. The combination of geometric altitude and curved operations allows optimum flight trajectories, increased safety, efficiency and predictability, whilst reducing the workload of Air Traffic Controllers and Flight Crews compared to today's operations (*PJ.01-W2-04.3*).
- **Enhanced optimised runway delivery for arrivals (eORD)** Complete TRL6 for enhanced optimised separation delivery for arrivals using more accurate flight-specific predictions of final speed profiles derived from either an evolved eFPL or Extended Projected Profile (EPP) down-linked from a/c using ADS-C or advanced Big Data/Machine Learning techniques (*PJ.02-W2-14.6a & PJ.02-W2-14.6b*).
- **Enhanced optimal separation delivery for departures (eOSD):** Big Data (BD) and Machine Learning (ML) techniques are used to make more accurate flight specific predictions of aircraft performance/behaviour, e.g. rolling distance/rotation point and departure speed/climb profile trajectory, to deliver more efficient spacing between consecutive departures at capacity-constrained airports where complex separation rules are applied (*PJ.02-W2-14.8*). The objective is to complete TRL6.

- Reduction of dynamic pairwise runway separations (based on ground-computed arrival ROT (D-PWS-AROT) and wake decay acceleration devices): Machine learning techniques are used to develop more accurate predictions of arrival runway occupancy time (AROT) and runway exit based on aircraft characteristics such as aircraft type, weight, equipage (Enhanced Braking System/non-EBS) and weather. In addition to an improvement of post-operations offline analysis, machine learning techniques lead to an improvement of the quality of AROT and runway exit predictions during operations. Overall, the pairwise runway separations based on ground-computed AROT bring benefit in terms of increased runway throughput capacity and resilience thanks to the optimised separation/spacing on the final approach with potential positive impact on safety thanks to an accurate prediction of the runway exit. The scope also includes the potential use of wake decay acceleration devices to reduce separation (*PJ.02-W2-14.10*, *PJ.02-W2-14.11* and *PJ.02-01 (AO-0325)*). The objective is to complete TRL6.
- **Advanced automated support for separation management (Level 2 and 3).** Complete TRL6 for increased automation solutions (in planning and tactical separation management) including the use of the downlinked predicted speed at waypoints, the refinement of the wind model using Mode-S reports, and features specific to the tactical trajectory, that aim to bring further improvements to CD/R tools' performance. Complete level 2/3 of automation in CD/R tools, particularly in the resolution of conflicts, to assist the controller in the assimilation of diverse information that is needed to allow him/her to take optimal decisions taking into account flight efficiency and intent, adverse weather and ultimately, safety. This also considers the prediction of ATC intent (upstream clearances that have not yet been delivered to the aircraft, but that are likely to be delivered to the aircraft by the ground at a later stage) through Machine Learning and Big Data techniques, and to perform conflict detection using trajectories calculated using this predicted intent (*PJ.18-W2-53A*).
- **Collaborative control:** this element covers the completion of the collaborative control concepts, which will allow two or more controllers to separation responsibility between them in some cases thanks to advanced system support for coordination. It includes in particular the pull and push coordination concepts, tools and procedures. It supports improved descent profiles thanks to the improved coordination methods and the reduced need for aircraft to level off when crossing a sector boundary (because coordinating an aircraft to cross the sector boundary will now be easier) (*PJ.10-W2-73 CC*).
- **Flight-centric in medium density:** this element covers the completion of the IR work in flight-centric operations in the environments defined as of interest at the end of the Wave 1 work (as defined in the PJ.10.10-01b datapack, for which this concept reached V2 at the end of Wave 1). The scope includes the development of ECAC-wide deployment scenarios that are consistent with the limitations of the VHF spectrum for voice communications (which limits the number of ATC positions that can be operated simultaneously) and the assessment of the ECAC-wide benefits of the concept in each of the deployment scenarios (*PJ.10-W2-73 FCA*).
- **Virtual/Augmented Reality applications for tower:** complete TRL6 for solutions to support the Air Traffic Controllers by means of Virtual and Augmented Reality application in Tower Environment. The technology involves the use of Tracking Labels, Air Gestures and Attention Guidance (*PJ.05-W2-97.1*). Operational aspects shall be addressed before completing TRL6. These applications are enabled by devices like Head-Mounted See-Through display, that allow:
 - to visualise equivalent out-of-the-window view to good visibility even in LVC,
 - to augment the out of the window view by tracking labels,
 - to provide interaction with V/A-R interface by air gestures and

- to guide controller's attention to critical ATC situations.
- The need to switch from head up to head down and vice versa is expected to decrease, with benefits on ATCO productivity and situation awareness.
- **Automatic Speech Recognition at the TWR CWP supported by AI and Machine Learning:** aims to support the Tower Controllers by means of Automatic Speech Recognition supported by AI and Machine Learning algorithms, to improve usability and task efficiency (**PJ.05-W2-97.2**). The objective is to complete TRL6. Note that operational aspects shall be addressed before completing TRL6.
- **Interacting with tower CWP by means of touch screen (multi touch input):** aims to support the Tower Controllers by means of Multi Touch input device (touch screen) besides traditional means (keyboard, mouse), so to improve usability and task efficiency (**PJ.05-W2-97.3**). The objective is to complete TRL6. Note that operational aspects shall be addressed before completing TRL6.
- Integrated validations of an airport maximised capacity SESAR tool suite (based on SESAR 1 and SESAR 2020 Wave 1 validated SESAR solutions) to:
 - Show case the full performance potential they can bring when deployed together in the target environments;
 - De-risk the joint deployment of these solutions and support the definition of a deployment strategy at European level considering also specific needs at local level;
 - Progress towards TRL7 for the solutions addressed in the integrated validations.
- **Integrated validations of integrated TMA and Airport SESAR solutions** to facilitate their transition towards industrialisation and deployment. This consists of the integrated validation of TMA and Runway SESAR solutions that have completed (or nearly completed) TRL6 during SESAR 1 and SESAR 2020 programmes. They include SESAR solutions for both arrival and departure phases, ensuring as well their seamless integration with en-route airspace and network. The work should consider the completion of TRL6 gaps (if any) and take into considerations any recommendation for future work as documented by these SESAR solutions from SESAR 1 and SESAR 2020 programmes, and target to achieve TRL7 maturity. The scope may consist of: support for merging approaching traffic in combination with Extended/Streaming AMAN, a TBS tool and PBN routes, synchronisation of arrivals and departures flows in high density/complexity environments, management of departure flows in an integrated manner enabling a more consistent and manageable delivery into En-route while ensuring an optimal usage of runway capacity, digital ATSAW (parallel runways (including CSPO)), noise mitigation with advanced GBAS/SBAS based procedures (dual glide slope, IGS, A-IGS...), etc. The objective is to:
 - Facilitate their transition towards industrialisation and deployment e.g. definition of the methodology and activities necessary to provide the required safety evidence to achieve regulatory approval before deployment, contribution to required standardisation activities, data collection campaigns, etc.;
 - To showcase that the integration of these solutions is feasible and provide the expected performance benefits,
- **Integrated validations of advanced surface management SESAR solutions delivered in SESAR 2020 Wave 1 and Wave 2.** The objective is to progress towards TRL7 that should be the target for the solutions under the scope of this point:

- Enhanced guidance assistance, in both apron and manoeuvring areas, to vehicle drivers via displays of dynamic traffic context information including status of runways and taxiways, obstacles and route by application of an airport moving map. Extension of datalink operations to vehicles management to reduce the saturation of sector capacity and/or voice communication channels and avoid potential misunderstandings of the vehicle drivers in moments of peak traffic;
 - Automatic guidance to mobiles on the airport surface is provided using “follow-the-greens” concept and the airfield ground lighting infrastructure;
 - Use of real stop bars and virtual stop bars (VSB) appropriately placed in the entire airport movement area to reduce the size of control blocks while ensuring a safe longitudinal spacing is guaranteed between taxiing aircraft or taxiing aircraft and vehicles in low visibility conditions;
 - Provision to ATCOs of the most suitable ground routes for all mobiles on the movement area (runways, taxiways and aprons) taking into account user’s preferences and known constraints (such as taxiway closures, aircraft types, etc.).
 - Support tools for controllers at A-SMGCS Airports for detecting potential and actual conflicting situations, incursions and non-conformance to procedures or ATC clearances, involving mobiles (and stationary traffic) on runways, taxiways and in the apron/stand/gate area as well as unauthorised/unidentified traffic and generate the corresponding alert.
 - Safety support tools for ATCOs and flight crew to reduce the number of runway excursions.
- **Integrated validation of ATFCM, queue management and airport management solutions:** integrated validation of SESAR delivered solutions (i.e. SESAR catalogue of solutions) covering a wide range of operating environments addressing the integration of e.g. queue management solutions, coupling AMAN/DMAN related solutions, ATFCM validated improvements e.g. UDPP, AOP-NOP integration, U-space, etc...) in support of industrialisation and deployment. The target is to progress significantly towards TRL7.

2.2.5.4.2 Topic HORIZON-SESAR-2022-DES-IR1-WA6-2: Industrial Research & Validation for Master Plan Phase C Air-ground Integration and Autonomy

Expected outcomes:

This topic covers the industrial research required to complete TRL6 maturity level for the evolution of the air-ground communication infrastructure, supporting technologies and operational concepts, as expected for Phase C of the ATM Master Plan 2020... The topics will address in particular air-ground integration needs that rely on direct interactions between air and ground automation (automation levels 2 and 3).

- **Environment:** 4D trajectory operations will reduce the fuel-burn and reduce the overall emissions per flight.
- **Cost-efficiency:** The increased air-ground integration supports the introduction of higher levels of automation in ATM. The objective in Phase C is to achieve automation level 3 for ATC platform, where there is high level of automation support to action execution, but actions are always initiated by the human controller. The implementation of higher levels of automation, when

adopted consistently, will contribute to operational harmonisation and eventually to cost-efficiency of the ATM system.

- **Operational efficiency:** advanced communication means and increased automation (reduced workload for ATCOs and flight crews/remote pilots) will contribute to increased operational efficiency.
- **Safety:** increased automation enables the human actors to be discharged from routine tasks and to focus on strategic tasks, including safety oversight of the operations. The developments on the cockpit side reduce the pilot workload and improve safety. The increase in data-sharing will also foster the early detection of potential safety issues and their mitigation.

Scope

To successfully address the expected outcomes, all or some of the following sub-R&I needs should be addressed:

- **TBO data-driven trajectory prediction and conflict resolution:** complete TRL6 for a common data-driven trajectory prediction and conflict detection/resolution multi-sector capability/service (i.e. medium term) based on artificial intelligence and machine learning allowing advanced separation support to be provided to controllers. Enhanced resolution support (e.g. what-if & resolution advisory tools & services) based upon predicted conflict detection and associated monitoring features, including additional TP improvements using the most relevant elements of ADS-C EPP and known constraints and the application of Machine Learning and Big Data techniques (**PJ.18-W2-56**).
- **Aircraft as an AIM/MET sensor and consumer:** Improved understanding and prediction of weather conditions contributes to enhanced flight safety and efficiency. In addition to information from onboard sensors, pilots receive updates in various formats via datalink including simple text messages, graphical products and satellite images. These inputs cover different timeframes, ranging from past observations to predictions for the next several hours. It falls to the pilot to organise and geo-reference these data, and temporarily build a mental picture as fast as possible. SESAR is examining novel and robust ways to support intelligent data pre-processing, smart filtering and integration, both on ground and on board the aircraft for the two-way exchange of meteorological data. Moving to SWIM based technology will enable standardised exchange of information. One aspect of this solution is the definition and design of purple profile SWIM services for meteorological data, which so far has no specification. EUROCONTROL has only published specifications for yellow profile to date. The research will contribute to EUROCAE WG-76 and RTCA SC-206 MET datalink standardisation, and ICAO is also developing standards for turbulence and space weather which could be downlinked or uplinked via a SWIM purple profile service. Downlink and uplink of weather parameters (pressure, temperature, wind speed and direction), turbulence, space weather, icing considerations and contrail related information (e.g. air humidity) should also be addressed. (**PJ.14-W2-110**). The objective is to complete TRL6.
- **IFR RPAS integration in controlled class A-C airspace:** complete TRL6 for the full IFR RPAS integration by developing technical capabilities in the Remote Pilot Station and RPAS air vehicle and procedural means to allow IFR RPAS to comply with the same ATC instructions that are used for manned aviation. It also covers the development of new procedures and tools for handling IFR RPAS in a cooperative environment in full integration with manned aviation, e.g. contingency procedures, adaptation of flight planning processes and ATC tools and procedures to account for RPAS specificities, consideration of RPAS in the assessment of complexity, separation minima for

RPAS. The scope includes both en-route and TMA, including take-off and landing, as well as airport surface and also FMP/NM for DCB purposes **(PJ.13-W2-117)**.

- **ATM-U-space interface and supporting technologies:** complete the U-space-ATM interface solutions, incorporating in particular the needs identified by results emerging from SESAR U-space projects, with a view to achieving a consolidated definition for U2 services and complete the validation of the required interfaces with ATM, as well as securing a baseline for U3 services and support the delivery of the European Commission's Drone Strategy 2.0. Cooperation with ongoing EASA and European standardisation activities is essential. The activity should also look wider than just SESAR, including other H2020 projects, EASA Task Forces, Standardisation projects, EDA projects, State projects, ICAO developments et al to enable the production of a detailed, current and accurate baseline from which continued regulation, standardisation, research and development can continue in a harmonised manner across Europe **(PJ.34)**.

2.2.5.4.3 Topic HORIZON-SESAR-2022-DES-IR1-WA6-3: Industrial Research & Validation for Master Plan Phase C Capacity-on-Demand and Dynamic Airspace

Expected outcomes:

The key objective of this topic is to complete TRL6 maturity level for the ambition of Phase C of the ATM Master Plan 2020 on capacity on demand and dynamic airspace management aspects.

- **Capacity:** is increased through a dynamic airspace management responding with flexibility to the Airspace users' flight trajectory needs;
- **Safety:** Improved safety in better anticipating and managing potential overloads;
- **Efficiency** thanks to the monitoring of the DCB measures and network performance and the implementation of corrective actions;
- **Cost-efficiency:** allows improved ATM resource planning and better use of existing capacities leading to reduced ATC and Airport Capacity costs;
- **Predictability:** the provision of information regarding the planned network situation considering all known constraints and time deviation will be managed by anticipating demand/capacity imbalance detection and improving the implementation of DCB solutions;
- **Flexibility:** common awareness to all stakeholders and access to opportunities in case of late changes in capacity or demand.

Scope

To successfully address the expected outcomes, all or some of the following sub-R&I needs should be addressed:

- Increased Flexibility of ATCO Validations (IFAV) within ATSU or between two ATSUs with similar console, tools and HMI (typically, but not necessarily, from the same ANSP): standardisation of ATCO procedures and more generic en-route controller procedures to reduce the amount of training required for en-route or TMA controllers to get endorsed and/or to stay current in a sector or group of sectors, thanks to new tools and/or procedures. The objective is to allow more flexible rostering within a centre or across ATSUs from the same ANSP thanks to the virtual centre concept (assuming a common console and tools between the two centres). It also includes the development of smart sector grouping options, whereby controllers remain validated for the same number of sectors as today, but the number of different sector groups is increased in order to increase the flexibility of the rostering processes, as well as innovative concepts for currency

requirements based on recording additional data compared to today, e.g., traffic types, traffic levels – so that not all hours on console count the same, or that total number of hours for currency is maintained but requirements on a sector are loosened (e.g. by counting hours on a neighbouring sector with a certain weight...). This concept can support controllers in accepting delegation of airspace as outlined in the Airspace Architecture Study as per the Virtual Centre solution (*PJ.10-W2-73 IFAV and PJ.33-W2-01*). The objective is to complete TRL6.

- **Increased Flexibility of ATCO Validations (IFAV)** through night-only validations within ATSU or between two ATSUs with similar console tools and HMI (typically, but not necessarily, from the same ANSP): the validation of a controller for working in a sector at top-capacity during the day requires detailed knowledge of airports, coordination procedures, traffic patterns and sector transfer conditions that are never applied during the night shifts (because certain airports are closed, some sectors are always band-boxed or some traffic flows do not happen during the night). The objective of this activity is to develop a concept for a night-only validation aiming at completing TRL6. This could for example be applied within a centre with multiple sector groups in which controllers are validated for day operations only for one sector group but can work nights in all sector groups, thereby increasing the flexibility in rostering. Another use case would be having a group of controllers specialise in night shifts only, and be able to work in both the work both in their own ATSU and a second ATSU, thereby allowing consolidation of the two ATSUs during the night (*PJ.10-W2-73 IFAV and PJ.33-W2-01*).
- **Collaborative management at regional airports:** the Airport Operations Centre (APOC) concept was originally developed for large airports during previous SESAR phases and further elaborated through the Total Airport Management (TAM) project, based on a platform/operational structure which collaboratively and pro-actively manages airport operations performance. Although regional airports do not generally experience operational constraints in such scale as those occurring at large ones, they do experience issues which underperform their operations. The lack of communication and information shared amongst the stakeholders causes unforeseen deterioration of the airport performance with potential knock-on effect onto the ATM network. This covers the development of a Lite APOC, aiming at the improvement of inbound, turnaround and outbound predictability based on enhanced local collaborative environment and connectivity with ATM network. Airport and network information is exchanged thereby forming the basis for improved situational awareness whilst supporting pre-tactical and tactical decision-making (*PJ.04-W2-28.2*). The objective is to complete TRL6.
- **Environmental performance management:** Management of Airport operations often necessitates a trade-off between different performance criteria (flight delay, passenger satisfaction, resource availability etc.). The objective is to complete TRL6 for solutions focused on environmental performance management with the aim of integrating environmental considerations into the overall airport operations management process, bringing the question of environmental performance into the decision-making process (*PJ.04-W2-29.3*).

2.2.5.4.4 Topic HORIZON-SESAR-2022-DES-IR1-WA6-4: Industrial Research & Validation for Master Plan Phase C Civil-military Interoperability & Coordination

Expected outcomes:

The key objective of this topic is to complete TRL6 maturity level for civil–military objectives as expected for Phase C of the ATM Master Plan 2020, supporting higher levels of civil–military coordination and interoperability.

- **Environment:** further integration of military flight data into flight planning and network, will allow civil Airspace Users to make a better use of available airspace capacity thus leading to greater fuel efficiency.
- **Capacity:** improving the way military airspace demands are managed, will lead to an overall more efficient use of available airspace capacity by civil traffic.
- **Security:** the confidentiality, integrity and availability of information and data are crucial in ensuring safe and secure military operations.
- **Cost-efficiency:** the dual-use of a service-driven iCNS infrastructure will ensure a CNS cross-domains consistency in terms of robustness, spectrum use and interoperability for both military and civil users. Integrated Communications, Navigation and Surveillance services will ensure military needs in terms of security, appropriate levels of quality of service and access to RF spectrum resources, while reducing the cost.
- **Civil-Military Coordination:** The coordination of sharable data relating to the mission trajectory with the Network Manager will ensure the optimal and timely integration of military flight data into the network, thus allowing solid and reliable traffic predictions.

Scope

To successfully address the expected outcomes, all or some of the following sub-R&I needs should be addressed:

- **Dynamic airspace configurations (DAC) (Level 1 and 2):** dynamic airspace configurations (DAC) and airspace management improve the use of airspace capacity for both civil and military users by increasing the granularity and flexibility in airspace organisation and design. The objective is to complete TRL6 for a fully dynamic, agile and cross-border airspace management concept that will take into consideration all capacity/demand aspects and constraints in one seamless process, with a higher level of modularity and flexibility up to the execution phase all supported by automated tools (*PJ.09-W2-44*). It includes:
 - Integration of DACs with air traffic flow and capacity management (ATFCM) into a single “rolling” planning process that collaboratively optimises traffic flows and airspace resource utilisation thus increasing levels of capacity and flexibility to allow capacity variations in time and space to meet levels of demand;
 - Digital integrated network management and ATC planning (INAP) to optimise the flow management process by addressing the planning gap between ATFCM and ATC processes and facilitate layered ATM planning in the execution phase, including the development of automation support e.g. what-if, what-else tools based on artificial intelligence, machine learning and big data.
 - Integration of concepts and procedures to allow flexible sectorisation to be dynamically modified based on demand.
 - Dynamic mobile areas (DMA2): DMAs will support the dynamic configuration of segregated airspace and management of mission trajectories, thus contributing to the efficiency of both civil and military operations. The areas will have a potential to “roll up” following use over time, distance and volume as a mission progresses allowing for the early release of airspace for other users.”
- **Improved and harmonised Operational Air Traffic (OAT) flight plan** as the first description of the mission trajectory integrated into the ATM network systems for processing and distribution. The

interaction between Wing Operations Centre (WOC) and Network Manager (NM) in terms of iOAT FPL management **(PJ.07-W2-40)**.

- **Integration of mission trajectories into the Network operations**, involving all the concerned actors (e.g. military Airspace Users/Wing Operations Centre, Network Manager, ATS providers (civil or military) and Military Controlling/Air Defence units) to accommodate individual military airspace user needs and priorities without compromising optimum ATM system outcome and the performances of all stakeholders. Develop the integration of military demand in the ATM network operations (MT and ARES). Mission Trajectories integrated in Trajectory Based Operations (TBO) environment throughout all phases of trajectory planning and execution (SMT/RMT), where mission trajectories will be subject to trajectory management processes and contain 4D targets and ATM constraints **(PJ.07-W2-40)**.

2.2.5.5 Work Area 4 – FTIU topic addressing U-space and UAM

Specific conditions for WA4	
<i>Expected EU contribution per project</i>	The SJU estimates that an EU contribution of maximum 7.00 million would allow these outcomes to be addressed appropriately. Nonetheless, this does not preclude submission and selection of a proposal requesting different amounts.
<i>Indicative budget</i>	The total indicative budget for the topic is EUR 35.00 million.
<i>Type of Action</i>	JU-IA
<i>Legal and financial set-up of the Grant Agreements</i>	Grants award under this topic will have to submit the following deliverables: • Contextual note • SPR-Interop/OSED • TS/IRS • VALP • VALR • CBA • STAND • REG • Data management plan (to be submitted at the beginning, at mid-term and towards the end of the project) • Communication Plan (to be submitted 6 months after the beginning of the grant together with the D&E plan) • Plan for the dissemination and exploitation of the results – D&E plan (to be submitted 6 months after the beginning of the grant and towards the end of the project). Moreover, purchases of equipment, infrastructure or other assets specifically for the action (or developed as part of the action tasks) may be declared as full capitalised costs.

Other requirements	The maximum project duration is 36 months.
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2.2.5.5.1 Topic HORIZON-SESAR-2022-DES-IR1-WA4-1: Fast Track Innovation and Uptake U-space and Urban Air Mobility

Expected outcomes:

U-space provides an unparalleled opportunity to experiment, test and validate some of the key architectural principles and technology enablers of the future Digital European Sky before incorporating them into the broader ATM ecosystem. It will accelerate the digital transformation of the European ATM system while opening the way to the safe integration of new vehicles into the airspace.

- **Environment:** U-space shall not increase the environmental footprint of the air transportation system. Specific metrics will be defined, tailored to the U-space environment and the types of vehicles operating within it (most of them are expected to be zero emissions aircraft). Special consideration should be given to the noise impact of low-level operations enabled by U-space. The growing use of zero-emission UAVs enabled by U-space may also contribute to reducing the environmental footprint of the overall transportation system, for example by reducing road traffic levels.
- **Passenger experience:** In terms of passenger experience and overall socio-economic contribution, U-space will enable and accelerate the drone economy, opening the way to new services (delivery, inspection, security, UAM, etc.) that will increase the wellbeing of European citizens. U-space will foster the development of a new high-tech economic sector in Europe, leading to wealth and job creation. Particular attention must, however, be paid to safeguarding privacy and ensuring social acceptance.
- **Capacity:** U-space shall not negatively affect the capacity of the ATM system and will enable additional system capacity by enabling large volumes of unmanned aircraft to access the airspace. Specific capacity metrics shall be defined for U-space defined in terms of safety or other concerns such as noise.
- **Cost-efficiency:** U-space shall not negatively affect the cost of providing ATM services. Specific cost-efficiency metrics shall be defined for U-space, focusing on the cost of delivering U-space services.
- **Operational efficiency:** U-space shall substantially reduce the costs of operating unmanned aircraft in the European airspace and will not negatively affect the operating costs of other airspace users. Specific operational efficiency metrics shall be defined for U-space, including fairness aspects.
- **Safety:** U-space shall not negatively affect the safety of the ATM system. Specific safety metrics shall be defined for U-space,
- **Security:** U-space shall not negatively affect the security of the ATM system. Cybersecurity will be a key area to consider in U-space, especially regarding the interaction (data exchange) between U-space services and ATM systems.

This thread is designed to accelerate the development of high risk/high gain projects with the perspective of shortening the time to market for disruptive and highly innovative solutions. These

activities may start at very low TRL levels but should aim at delivering as rapidly as possibly new products & services to the market at TRL7 (System demonstration in an operational environment).

Scope

To successfully address the expected outcomes, all or some of the following sub-R&I needs should be addressed:

- **Enabling safe and autonomous operations in all types of airspace:** This involves the development of technological and operational enablers leading to the execution of meaningful demonstrations showcasing autonomous operations of eVTOL and large drones in all types of airspace (controlled, uncontrolled, suburban and urban areas). This also includes simulation to enable the analysis, design, testing and validation of the future U-space ecosystem. *(R&I need: Support the development of the U-space regulatory framework and required standards)*
- **U-space advanced services (U3):** support more complex operations in dense areas and may include capacity management and assistance for conflict detection. New technologies, higher levels of automation, miniaturisation, including machine learning and artificial intelligence, automated detect and avoid (DAA) functionalities and reliable means of communication will enable a significant increase of operations in all environments and will reinforce interfaces with ATM/ATC and manned aviation. This advanced services should include in particular: collaborative interface with ATC, strategic/tactical conflict resolution, dynamic capacity management. These services should build on U1/U2 capabilities but should also result in the development of new capabilities such as connectivity (vehicle-to-vehicle and vehicle-to-infrastructure) and DAA. *(R&I need: Develop advanced U-space services)*
- **U-space full services (U4):** particularly services offering integrated interfaces with manned aviation, support the full operational capability of U-space and will rely on very high level of automation, connectivity and digitalisation for both the drone and the U-space system. *(R&I need: Develop advanced U-space services)*
- **Legal and financial aspects of U-space at U3 and U4 level:** detailed look at the legal and financial aspects of U3 and U4 U-space operations, including normal and aviation law, also covering safety and security issues, liability issues, certification issues and U-space services financing models. This is a transversal activity, which will need to get input from the two previous impact pathways. *(R&I need: Financial and Legal aspects)*
- **U-Space services above VLL airspace:** UAM covers all types of urban air operations that require the extension of U-space services beyond the VLL limit. Drone operators and UAM operations will require access to higher altitudes and areas close to commercial manned aviation (e.g. airports) when at the same time flying manned aircraft in or adjacent to VLL could make use of U-space services. A safe and equitable integration of these operations with manned aviation will require additional U3–U4 services. The development of interoperability and a collaborative decision-making process between the urban operations, ATM and city authorities is key for future urban operations. *(R&I need: Applications above VLL airspace & Enable UAM)*
- **Enable UAM:** The requirements of UAM operations are expected to be the most challenging for the U-space ecosystem. One of the key research questions is how to integrate the airspace autonomous operations over populated areas safely into complex and congested airspace environments, with operations involving vehicles interacting with U-space and conventional ATM services. Research should investigate how U-space can support the transition from piloted to autonomous operations. The evolution of U-space together with its associated regulatory

framework and standards will need to be synchronised and coordinated with the development of the UAM ConOps, future UAM services and the certification of UAM vehicles. Special consideration should be given to the operational limitations of these new vehicles and how U-space can contribute to operational safety by protecting their operation in contingency and non-nominal situations. *(R&I need: Enable UAM)*

- **U-Space services for general aviation and rotorcraft:** General aviation and rotorcraft are expected fly jointly with drones in portions of airspace. In order to enable safe operations, U-Space services are required to be provided to airspace users such as general aviation and rotorcraft. These targeted services are based on enhanced information-sharing allowing for improved situational awareness at both strategic and tactical levels. *(R&I need: ATM/U-space integration)*
- **Advanced Airborne capabilities for Air/Ground integration:** The required airborne technologies to enable safe operations, advanced situational awareness and autonomous decision-making. Interoperable, performance based communications, navigation and surveillance (CNS) services in U-space need to be developed and validated in operational environments. Activities should be focused on Air/Ground integration in a total system approach. This includes airborne sensor technology for situational awareness and safety, trajectory management, detect and avoid solutions for cooperative and non-cooperative traffic, high integrity data communication. *(R&I need: CNS and separation minima)*
- **Environmental sustainability and Social acceptance:** Work is required to ensure that the new operations enabled by U-space and UAM are acceptable to the public. This includes the definition and development of measures reducing the environmental impact of U-space operations (noise, visual pollution and CO₂), ensuring adequate levels of safety and security. This work should consider as a starting point the findings of the 2021 EASA study on the social acceptance of UAM in Europe ⁽³⁸⁾. *(R&I need: U-space social acceptance)*

2.2.5.6 Work Area 5 – FTIU topics

Specific conditions for WA5	
<i>Expected EU contribution per project</i>	The SJU estimates that an EU contribution of maximum 5.00 million would allow these outcomes to be addressed appropriately. Nonetheless, this does not preclude submission and selection of a proposal requesting different amounts.
<i>Indicative budget</i>	The total indicative budget for the topic is EUR 22.00 million ⁽³⁹⁾
<i>Type of Action</i>	JU-IA
<i>Legal and financial set-up of the Grant Agreements</i>	Grants award under this topic will have to submit the following deliverables: • Contextual note • SPR-Interop/OSED • TS/IRS • VALP

⁽³⁸⁾ 2021 EASA study on the social acceptance of Urban Air Mobility in Europe report is available [in this link](#).

⁽³⁹⁾ This budget is shared with topic DES-IR1-WA5-1, DES-IR1-WA5-2, DES-IR1-WA5-3 and DES-IR1-WA5-4.

	• VALR • CBA • STAND • REG • Data management plan (to be submitted at the beginning, at mid-term and towards the end of the project) • Communication Plan (to be submitted 6 months after the beginning of the grant together with the D&E plan) • Plan for the dissemination and exploitation of the results – D&E plan (to be submitted 6 months after the beginning of the grant and towards the end of the project). Moreover, purchases of equipment, infrastructure or other assets specifically for the action (or developed as part of the action tasks) may be declared as full capitalised costs.
<i>Other requirements</i>	The maximum project duration is 36 months.

2.2.5.6.1 Topic HORIZON-SESAR-2022-DES-IR1-WA5-1: Fast Track Innovation and Uptake Capacity-on-Demand and Dynamic Airspace

Expected outcomes:

The introduction of dynamicity in the management of airspace and the capacity-on-demand service will unlock performance benefits in the following areas:

- **Environment:** More precise trajectories in arrival and departure will allow reduce the noise impact
- **Capacity:** Capacity is measured in terms of either ATFM delays (lack of capacity) or throughput. The en-route capacity aims to maintain ATFM delays at 0.5 min/flight or less. TMA and peak runway throughput shall be increased according to traffic forecasts. A more stable and predictable level of capacity will be achieved in all-weather operations. In addition, by providing capacity dynamically where and when it is needed and re-configuring the airspace to match the traffic flows, overall system resilience will be significantly increased.
- **Cost-efficiency:** DAC, capacity-on-demand, ATCO training programmes will provide scalability. ATCO productivity is expected to increase significantly.
- **Operational efficiency:** Trajectory management and DAC will provide further improvements in vertical flight efficiency and cruising/taxiing fuel consumption when flights are subject to queueing. Overall improvements in capacity and trajectory management and DAC are expected to reduce the average delay in block to block times by 4 minutes per flight.
- **Safety:** safety levels will be maintained.

Scope

To successfully address the expected outcomes, all or some of the following sub-R&I needs should be addressed:

- **On-demand air traffic services:** This challenge refers to the development of digital platforms and services leveraging state of the art technologies to enable capacity on demand. It includes for example the following features:
 - Cloud based, cybersecure digital platform for on-demand capacity management enabling all airspace users and all types of vehicles (traditional, hydrogen, electric, military) to access to the airspace in the context of dynamic airspace management, remote provision of air traffic services and ATM/U-Space convergence.
 - Offering an increased level of capacity, while accepting a much higher level of complexity so that optimised flight efficient trajectories do not result in structural limitations on capacity.
 - Offering increased levels of capacity and flexibility to allow capacity variations in time and space to meet levels of demand.
 - Offering airspace users at all times the most environmentally friendly options when there is the need to constrain traffic, particularly when queueing aircraft at the arrival or departing runways, so that holding no longer exists as part of normal operations and, if and when there is the need for conflict resolutions, offering real-time options to airspace users so that they can select the least penalising trajectory.
 - Enhanced network traffic prediction and shared complexity representation using data science techniques such as Artificial Intelligence and Machine learning (AI/ML) to improve the quality of the traffic forecast and increase the network stakeholders' confidence on the planning information.
 - Use of Machine learning to identify and exploit information patterns, and identify and design new elementary basic sector volumes) for complexity detection and resolution and balancing workload and optimising resources.
 - (R&I need: On-demand air traffic services)
- **ATM continuity of service despite disruption:** This challenge refers to the development of digital platforms and services leveraging state of the art technologies to enable ATM continuity of service despite disruption (e.g. during capacity bottlenecks, adverse weather, national system breakdowns or disruptive social actions). This includes for example the following features:
 - Smart digital solutions for predicting adverse situations (weather, capacity...) and distributed decision making between involved stakeholders minimising the risk and impact of the disruption. This includes facilitation of cross-border dynamic and remote air traffic services (ATS).
 - Improvements of airline operations based on the use of digital technologies (e.g. big data, machine learning algorithms, AI, IoT, behavioural economics, improved market modelling, complexity science, etc.) to support the airline decision making processes in disruption scenarios, the integration of airline operations into the network, the collaboration between FOCs, network management function and ATC and the better consideration of airspace users' preferences in the DCB and sequencing processes, etc.
 - Enriched DCB Information and Enhanced What-Ifs available to improve AUs decision process when planning or re-planning trajectories. Enriched DCB information encompasses DCB constraints/measures information like ATFCM regulations/CTOT/STAM, and additional DCB information such as hotspots and congestion level indicators.

- Use of new data sources (big data), machine learning algorithms (including neural networks), AI based decision support tools, behavioural economics, improved market modelling, complexity science, etc. to support network operations e.g. models and methods for improving demand, flow and complexity forecasting and resolution.
- Use of big data and machine learning to identify best practices regarding regulation strategies for particular traffic load patterns based on historical data and develop optimised strategies for the most frequent traffic load situations in the European ATFCM network.
- Using modelling and operational data to understand typical resolutions to network planning and traffic management problems to develop optimisation capabilities which are less human centric.
- Use of new data sources (big data), machine learning algorithms, AI based decision support tools, etc. to support airline decision making in disruption scenarios in order to improve the resilience of the system.
- (R&I need: ATM continuity of service despite disruption)
- **Future data services and applications for airport and network:** This challenge refers to the development of digital platforms and services leveraging state of the art technologies to enable future data services and applications for airport and network. It includes for example, the following features:
 - Advanced user-driven prioritisation process, which provides airspace users with more control over the selection of flights which are delayed in order to prioritise them based on business needs, and which can gradually be extended to new ATFCM rules and queueing techniques.
 - The integration of connectivity within the loop of ATM operations, the new data sets available through A-CDM, UDPP, AOP/NOP data, TTO/TTA and extended AMAN demand further development of the rules for ATFCM and queuing priorities.
 - Improved AUs decision process Enriched DCB Information and Enhanced What-Ifs available to improve AUs decision process when planning or re-planning trajectories. Enriched DCB information encompasses DCB constraints/measures information like ATFCM regulations/CTOT/STAM, and additional DCB information such as hotspots and congestion level indicators.
- (R&I need: Future data services and applications for airport and network)

2.2.5.6.2 Topic HORIZON-SESAR-2022-DES-IR1-WA5-2: Fast Track Innovation and Uptake Virtualisation and Cybersecure Data-Sharing

Expected outcomes:

Project results are expected to contribute to the following expected outcomes:

- **Environment:** Improved sectorisation will ensure more efficient flight routes and more optimal profiles and the reduction of delay at network level. At local level, requirements in respect of equipment and therefore power supply and cooling will be reduced.
- **Capacity:** Flexibility of sector-shifting to adapt to traffic demand and make best use of capacity at network level.

- **Cost-efficiency:** Potential reduction in infrastructure and the possible creation of competition between future data suppliers will reduce costs.
- **Safety:** Safety levels will be maintained – no impact through virtualisation.

Scope

To successfully address the expected outcomes, all or some of the following sub-R&I needs should be addressed:

- **Future data-sharing service delivery model:** This challenge refers to the development of digital platforms and services leveraging state of the art technologies to enable future ATM data-sharing service delivery models. It includes for example, the following features:
 - Smart digital platform based on EU-wide ATM data service layer and enabling all ATM service providers to benefit from the cross-border sharing of data. This would provide the data and specific applications (e.g. STCA, Correlation, etc.) required to provide ATM services.
 - Delivery of advanced cloud based services for applications such as flight correlation, trajectory prediction, conflict detection and conflict resolution and arrival management planning, the provision of safety-net services (e.g. short-term conflict alerts – STCA, minimum safe altitude warning – MSAW, area proximity warning – APW) and decision-making support tools as a service. This could be considered in the context of the wider application of the “free seating” and authentication concept to cross- border delegation of air traffic services.
 - (R&I need: Future data-sharing service delivery model)
- **Infrastructure as a service:** This challenge refers to the development of digital platforms and services leveraging state of the art technologies to enable a service oriented approach to CNS Infrastructure with a wide implementation of IP based technologies. This includes for example the following features:
 - Digital solutions enabling location-independent transmission of CNS data and/or voice with stronger reliance on satellite based technologies. This includes integrated CNS applications using space-based sensors.
 - Digital solutions for dynamic allocation of IP connections reducing the need for VHF channels on the ground side and the need for the airborne side to switch frequencies several times during the flight.
 - (R&I need: Infrastructure as a service)
- **Free flow of data among trusted users across borders:** This challenge refers to the development of digital platforms and services leveraging state of the art technologies to enable the sharing of data through interoperable platforms and, the exchange of open data between trusted partners, combined with open architecture policies. (R&I need: Free flow of data among trusted users across borders)
- **Cyber-resilience:** This challenge refers to the development of digital platforms and services leveraging state of the art technologies to enable the protection of information and information systems, manage cyber-resilience risks, implement appropriate safeguards to ensure the delivery of services. In this context it is necessary to apply best practices and specific techniques already

established in other domains such as banking (e.g. system design principles, cryptography, blockchain, software-defined networking). It includes for example, the following features:

- Digital solutions for Cyber-resilience: Ability to prevent a cyberattack from being successful, to prevent operational disruptions from successful cyberattacks, to prepare for and adapt to changing conditions due to successful cyberattacks and to respond and recover rapidly from successful cyberattacks to ensure the continuity of operational services at an acceptable performance level.
- Digital solutions increasing system robustness against cyberattacks: The first and impact step towards strengthened cyber-resilience is to keep operating the event of a cyberattack by preventing cyberattacks from being successful. Elements that need to be addressed include the increase in foresight (prediction, anticipation, cyberintelligence), introduction of patch management in safety-critical systems and the strengthening of controls related to intrusion prevention and detection. It builds on both new technical cybercontrols in new and existing technical systems as well as improved human cyberskills in the operational context.
- Digital solutions for fast and effective cyberattack systems-response: When being under attack from outside or even inside, the response to the attack is of the highest importance. Responsive measures include the restriction of services or the unwinding of pre-defined and trained sequences. The focus shall be kept on the secure delivery of services and data whilst being aware of the attack in progress. Security by design is key in this context and includes alternate paths for critical processes, graceful degradation of critical systems, and independent functional duplication for critical processes clear separation between system functions. On top, it is essential to provide methods and means to allow the solution to recover as quickly as possible from these degraded modes (minimum recovery time).
- Digital solutions for multi stakeholder cybercontingency: Being resilient requires an anticipated and pre-defined acceptable drop of performance in response to an attack, in order to absorb the energy of an attack. Several levels of degraded modes need to be anticipated and integrated in contingency plans, to ensure a continuously controlled operation while healing measures and repair works can be undertaken. Such a degraded mode needs to be kept until the effects of an attack have been rated and accounted for. With the increased connectivity in aviation, contingency management is increasingly becoming a multi-stakeholder exercise that requires extended situational cyberawareness that goes beyond the borders on individual organisations. A better understanding of attack-impact and potential attack-propagation scenarios through the multi-stakeholder system-dependencies is needed.
- (R&I need: Cyber-resilience)

2.2.5.6.3 Topic HORIZON-SESAR-2022-DES-IR1-WA5-3: Fast Track Innovation and Uptake Multi-modality and Passenger Experience

Expected outcomes:

Project results are expected to contribute to the following expected outcomes:

- **Environment:** Optimised operations due to improved gate-to-gate planning contribute to the optimisation of fuel-burn and therefore of CO₂ emissions per flight. Additional environmental benefits will come from alleviating congestion at and around airports by improving passenger

flows (through predictability and single-ticketing), from helping access/egress to/from airports, using environmentally-friendly means, and from integrating vertiports for electric UAM vehicles.

- **Passenger experience:** Optimised operations due to improved gate-to-gate planning contribute to the optimisation of fuel-burn and therefore of CO₂ emissions per flight. Additional environmental benefits will come from alleviating congestion at and around airports by improving passenger flows (through predictability and single-ticketing), from helping access/egress to/from airports, using environmentally-friendly means, and from integrating vertiports for electric UAM vehicles.
- **Capacity:** Fully integrating the most congested airports into the ATM planning process, introducing tools that allow user-driven prioritisation based on real-time multimodal passenger constraint information, monitored and shared accurately at Network level, will help reduce departure delay, while improving IFR movement numbers at these airports and ultimately IFR network throughput.
- **Cost-efficiency:** The data-sharing-powered network performance cockpit will enable increased predictability of traffic flows coupled with increased network flexibility and resilience. This would in turn help reduce en-route congestion and ANS costs. New data-sharing standards and systems will allow new 'as a service' businesses, creating more value for aviation, within an integrated transport-system.
- **Operational efficiency:** Improved, accurate, customer-focused planning, including user-driven prioritisation, allows operators to customise and optimise every flight, balancing their individual constraints against those of the Network, with a direct positive impact on additional gate-to-gate flight time, fuel-burn per flight, and operational costs from congestion and disruption. There will be also a positive impact on resilience from data-sharing, increase knowledge and integrated network crisis management processes.
- **Safety:** Better integration of UAS, UAM and GA operations at airports and within TMAs will directly contribute to increased, seamless, and hassle-free mobility while enhancing operational safety. Similarly, punctual, predictable, integrated ground transport to/from the airport will reduce passenger stress and contribute to reducing stress-related accidents.

Scope

To successfully address the expected outcomes, all or some of the following sub-R&I needs should be addressed:

- **Access to/exit from the airport:** This challenge refers to the development of digital platforms and services leveraging state of the art technologies to enable a better door-to-door experience for the passengers. Considering ATM to be an integrated part of an intermodal transport system this about sharing data between modes and collaborating better to optimise the performance of both the overall transport system. It includes for example, the following features:
 - Real-time information exchange giving stakeholders (including mobility providers): an increased knowledge of the entire multimodal journey will enhance the reliability of multimodal journey planning, identifying potential access issues that could affect the punctuality of operations, alleviating congestion, mitigating regulatory constraints, etc.
 - Extended integration of ATM network planning: (multi-slot swapping, aircraft operator-driven prioritisation processes etc.) and cooperation on enhanced

- collaborative airport performance planning and monitoring, enabling passengers to have a full picture of their journey and optimise their door-to-door time.
- Extended CDM process: Encompassing specific stakeholder information requirements relating to elements of the multimodal journey and fully included in the AOP and NOP collaborative processes.
 - Use of AI will help optimise pre-screening of passengers and departure/arrival queues/sequences in order to accommodate as much door-to-door journeys as possible
 - Integration of vertiports: Vertiports will be a crucial enabler for UAM, providing a safe and secure area for air taxi operators and people using them. Their integration into airport operations and city surface transport networks faces design, organisational, operational and safety challenges that need to be investigated and validated to facilitate operational implementation in a European city before 2027
 - (R&I need: Access to/exit from the airport: Airports are obvious multimodal nodes for aviation & System-wide information management (SWIM))
 - **Passenger experience at the airport:** This challenge refers to the development of digital platforms and services leveraging state of the art technologies to improve passenger experience inside the airport. It includes for example, the following features:
 - ATM data-sharing for a seamless door-to-door passenger journey: This includes in particular the development of open APIs to share ATM data (e.g. updated flight departure/arrival data) with public transportation systems and the demonstration of their value to passengers for improving their door-to-door experience
 - Digitalisation of passenger processes at the airport: this element includes the introduction of digital passenger processes at the airport for a seamless passenger experience and improved predictability of turnaround processes by reducing the uncertainty originated by current passenger processes. Potential scope includes digitalisation of security checks (e.g. video analytics with full and/or behavioural biometrics, walk-thru body scanner, AI-powered queue management, facial recognition), digital border control (e.g. advanced automation processes integrated with check-in and security processes like biometric pre-authentication), biometric support for a better and more predictable boarding process and development of mobile applications to support passengers travel to/from the airport and wayfinding at the airport to avoid late or no-show at the gate
 - Inclusion of outputs from landside processes (passenger and baggage) to be used to improve the accuracy and predictability of airside operations.
 - Business intelligence and machine learning to help airport stakeholders collaborate to align process and resource capacity with predicted demand to reduce queues.
 - Optimised intra-airport flow, reduced queuing for airport services and reduced walking distance for passengers, fast and efficient boarding and disembarkation.
 - Improved mobility planning and common situational awareness: Smart airports, with landside and groundside fully integrated into the ATM network, will be based around connectivity and other technologies to improve operations and the user experience. The integration of airport network planning and the timely exchange of surface network, airport and ATM network information

- (R&I need: Passenger experience at the airport)
- **An integrated transport network crisis management process:** This challenge refers to the development of digital platforms and services leveraging state of the art technologies to enable the coordination – when managing a crisis – between different modes of transport and a multitude of actors, including local and national authorities’ representatives. The research should also include proposals for counter measures based on the timely acquisition and sharing of information, as well as it should consider a broad set of threats affecting, directly or indirectly, aviation. A non-exhaustive list of threats is reported hereafter: volcanic ash dispersions, armed conflict, hazardous chemicals events, spread of diseases/pandemic, earthquakes, flooding, major failure of a pan-European function, (massive) cyberattack, etc. *(R&I need: An integrated transport network crisis management process).*

2.2.5.6.4 Topic HORIZON-SESAR-2022-DES-IR1-WA5-4: Fast Track Innovation and Uptake Artificial Intelligence for Aviation

Expected outcomes:

Project results are expected to contribute to the following expected outcomes:

- **Environment:** AI will enable the optimisation of aircraft trajectories, allowing a potential reduction in the aviation environmental footprint.
- **Capacity:** AI will play a fundamental role in aviation/ATM to address airspace capacity shortages, enabling dynamic configuration of the airspace and allowing dynamic spacing separation between aircrafts.
- **Cost-Efficiency:** AI will enrich aviation datasets with new types of datasets unlocking air/ground AI-based applications, fostering data-sharing and building up an inclusive AI aviation/ATM partnership. This will support decision-makers, pilots, air traffic controllers and other stakeholders, bringing benefits in cost-efficiency by increasing ATCO productivity (reducing workload and increasing complexity capabilities).
- **Operational efficiency:** Increasing predictability will be a key role for AI, by enabling traffic predictions and forecasts that will boost punctuality.
- **Safety:** Safety science will also need to evolve to cope with the safety challenges posed by the introduction of machine learning. Actual safety levels will be at least maintained using this technology.
- **Security:** AI will offer the possibility to stay cyber-resilient to new technologies and threats, the objective is to maintain a high level of security.

Scope

To successfully address the expected outcomes, all or some of the following sub-R&I needs should be addressed:

- **Trustworthy AI powered ATM environment:** This challenge refers to the development of advanced AI applications (e.g. supporting automation level 4) for ground or airborne use with a particular focus on the demonstration of new methodologies for the validation and certification of advanced AI applications that will ensure their transparency, robustness and stability under all conditions. It includes for example features like explainability, learning assurance, formal

methods, testing, licensing, in-service experience, and online learning assurance. *(R&I need: Trustworthy AI powered ATM environment)*

- **AI for prescriptive aviation:** This challenge refers to the development of digital solutions and services leveraging state of the art technologies to demonstrate how AI can be used in a highly automated and safety-critical environment to deliver substantial and verifiable performance benefits while at the same time fully addressing safety concerns and human's skills. It also includes for example abnormal situation management. AI/ML have indeed great potential for predictions/forecasts under normal circumstances, but need further evolution if they are to be used in the management of abnormal situations: a prescription-oriented approach will be needed to monitor reality and define precursors to detect deviations from what is expected. This covers the exploitation of aviation data-hubs. For example solutions for detection of abnormal situation and aircraft behaviour (compared to what is expected) with the support of machine learning, big data and predictive analysis technics will allow to analyse the situation, predict potential aircraft trajectories and intention of suspicious aircraft *(R&I need: AI for prescriptive aviation)*
- **Human – AI collaboration:** This challenge refers to the development of digital solutions leveraging state of the art technologies to support aviation actors in a highly automated environment (automation level 4) while ensuring humans understand what the systems are doing and maintain the right level of situational awareness. It includes for example, the following features:
 - Advanced AI applications for airlines, ANSPs and airport managers in a range of areas such as fleet management, infrastructure monitoring, sectorisation, staff planning, etc.
 - Advanced AI applications for regulators with new safety and security indicators that support the (early) detection and predictions of new risks.
 - new HMI interfaces for ATCOs (e.g. augmented reality) and the capability to monitor ATCO workload in real time based on AI, as well as new skills and new training methods to support these new joint human machine systems.
 - *(R&I need: Human – AI collaboration: digital assistants)*

2.3 Call CEF-T-2021-SIMOBGEN

2.3.1 Background

The Call CEF-T-2021-SIMOBGEN ⁽⁴⁰⁾ resorts under the Connecting Europe Facility 2021–2027 – MAWP for the transport sector. The programme has the general objective to build, develop, modernise and complete the trans-European networks, taking into account the Union long-term decarbonisation commitments, and thus to contribute to smart, sustainable and inclusive growth and to enhance territorial, social and economic cohesion. It shall contribute to the development of projects of common interest relating to efficient, interconnected, and multimodal networks and infrastructure for sustainable, smart, interoperable, inclusive, accessible, resilient, safe and secure mobility. It shall contribute to more sustainable modal composition of the transport system, in order to meet EU climate neutrality and zero pollution ambitions by 2050.

CEF contributes to the EU goal of climate-related spending and decarbonising transport. In accordance with the European Green Deal, CEF 2021–2027 will target a contribution of 60 % of its overall financial envelope to co-financing Actions supporting climate objectives and moving fast towards zero-emission mobility. A methodology to track climate expenditures against the targets set has been developed by the Commission and will be applied to all Actions under CEF.

The implementation of the MAWP aims at contributing to the completion of the Trans-European Networks – Transport (TEN-T), thereby further enhancing sustainable and smart mobility. It is expected that granting of support on the basis of this MAWP will contribute to the timely and efficient development of the TEN-T Core Network, support the realisation of a robust and resource-efficient European transport system and address climate change in accordance with the European Green Deal.

The granted support will directly contribute, inter alia, to the achievement of important transport policy objectives, such as establishing major interoperable transport axes interconnecting national networks and facilitating the functioning of the internal market, the optimal use of existing infrastructure capacities, improving the safety and reliability of the network, fostering cohesion and cross-border mobility, enhancing accessibility of peripheral areas of the Union, enhancing an integrated multimodal approach aiming at shifting a considerable part of transport that currently takes place by road towards more sustainable transport modes, increasing the capacity and performance of rail, inland waterways and short sea shipping infrastructure, contributing to a more balanced modal distribution, and reducing the negative environmental impacts of transport, in particular as regards GHG emissions.

The Digital Sky Demonstrators under this call contributes to two SRIA R&I flagships, namely U-space and UAM and Aviation Green Deal:

- **U-space and UAM:** Over the next 10 years, the implementation of this SRIA aims to unlock the potential of the drone economy and enable UAM on a wide scale. To that end, a new ATM concept for low-altitude operations needs to be put in place to cater safely for the unprecedented complexity and high volume of the operations that are expected. This concept, referred to as U-space, will include new digital services and operational procedures and its development has already started within the SESAR 2020 programme. U-space is expected to provide the means to

⁽⁴⁰⁾ <https://ec.europa.eu/info/funding-tenders/opportunities/portal/screen/opportunities/topic-details/cef-t-2021-simobgen-ertms-units>

manage safely and efficiently high-density traffic at low altitudes involving heterogeneous vehicles (small unmanned aerial vehicles, eVTOL vehicles and conventional manned aircraft), including operations over populated areas and within controlled airspace. U-space will have to integrate seamlessly with the ATM system to ensure safe and fair access to airspace for all airspace users, including UAM flights departing from airports.

- **Aviation Green Deal:** The objective of net-zero greenhouse gas emissions by 2050 set by the European Green Deal, in line with the EU's commitment to global climate action under the Paris Agreement, requires accelerating the shift to smarter and more sustainable mobility. This implies the need for aviation to intensify its efforts to reduce emissions. To this end, a set of operational measures to improve the fuel efficiency of flights will have to be put in place with the aim of enabling aircraft to fly their optimum fuel efficient 4D trajectory. At the same time, to ensure sustainable air traffic growth, it is necessary to speed up the modernisation of the air infrastructure to offer more capability and capacity, making it more resilient to future traffic demand and adaptable through more flexible ATM procedures. Furthermore, reducing aircraft noise impacts and improving air quality will remain a priority around airports.

2.3.2 Indicative budget

The indicative budget for this call is EUR 60.000.000. This call for proposals is managed by CINEA with technical assistance from the SESAR 3 JU.

Project budgets (maximum grant amount) for SESAR related Actions are expected to be around EUR 7 million per project (for projects submitted under CEF-T-2021-SIMOBGEN-SESAR-DSDU-WORKS) or EUR 15 million per project (for projects submitted under CEF-T-2021-SIMOBGEN-SESAR-DSDA-WORKS) per project.

2.3.3 Objectives and themes addressed in the projects

2.3.3.1 CEF-T-2021-SIMOBGEN-SESAR-DSDU-WORKS: Digital Sky Demonstrators on U-space and urban air mobility

Objective

The digital sky demonstrators on U-space, supported by the new regulatory framework and a set of new standards, will support the implementation of U1/U2 services across Europe ensuring safety and interoperability. U-space will have to integrate seamlessly with the ATM system to ensure safe and fair access to airspace for all airspace users.

U-space is expected to have a profound socio-economic impact, enabling the creation of a new marketplace for U-space service provision and accelerating the advent of the drone and UAM economy. The objective of the digital sky demonstrators is to accelerate the transition towards deployment of a number of U-space services and capabilities:

- **Environment:** U-space shall not increase the environmental footprint of the air transportation system. Specific metrics will be defined, tailored to the U-space environment and the types of vehicles operating within it (most of them are expected to be zero emissions aircraft). Special consideration should be given to the noise impact of low-level operations enabled by U-space. The growing use of zero-emission UAVs enabled by U-space may also contribute to reducing the environmental footprint of the overall transportation system, for example by reducing road traffic levels.

- **Passenger experience:** In terms of passenger experience and overall socio-economic contribution, U-space will enable and accelerate the drone economy, opening the way to new services (delivery, inspection, security, UAM, etc.) that will increase the wellbeing of European citizens. U-space will foster the development of a new high-tech economic sector in Europe, leading to wealth and job creation. Particular attention must, however, be paid to safeguarding privacy and ensuring social acceptance.
- **Capacity:** U-space shall not negatively affect the capacity of the ATM system and will enable additional system capacity by enabling large volumes of unmanned aircraft to access the airspace. Specific capacity metrics shall be defined for U-space defined in terms of safety or other concerns such as noise.
- **Cost-efficiency:** U-space shall not negatively affect the cost of providing ATM services. Specific cost-efficiency metrics shall be defined for U-space, focusing on the cost of delivering U-space services.
- **Operational efficiency:** U-space shall substantially reduce the costs of operating unmanned aircraft in the European airspace and will not negatively affect the operating costs of other airspace users. Specific operational efficiency metrics shall be defined for U-space, including fairness aspects.
- **Safety:** U-space shall not negatively affect the safety of the ATM system. Specific safety metrics shall be defined for U-space,
- **Security:** U-space shall not negatively affect the security of the ATM system. Cybersecurity will be a key area to consider in U-space, especially regarding the interaction (data exchange) between U-space services and ATM systems.

Themes and priorities

To successfully address the expected outcomes, all or some of the following sub-R&I needs should be addressed:

- **Market Uptake of U1 and U2 U-space services:** U1 includes services such as registration, remote identification and geo-fencing; U2 encompasses services such as flight planning, flight approval, tracking, and the basic interface with conventional ATC. This element includes the final definition of the safety and performance requirements of U1 and U2 services, based on their demonstration and pilot implementation in an integrated environment. Service definition shall include nominal and contingency situations. The objective is to ensure that the service definition is coordinated across Europe and that early movers are incentivised in order to accelerate the uptake. This addresses as well:
 - **U1 and U2 U-space services architecture, cross-border interoperability and standardisation.** Includes close coordination with ICAO and EASA to ensure global interoperability. Also includes full mechanisms for interoperability with manned aviation (ATC and other AUs), as well as contingencies. In these activities, the focus is on the definition of the services and the interoperability requirements in support of an open architecture, rather than the requirements of the services themselves. The output will contribute to the standardisation of U-space services.
 - **Multiple basic U-space services:** Although descriptions of many services exist at U1, U2 levels, different U-space architecture options still require to be further developed to ensure meeting the full range of requirements by the different types of operations and guarantee safe and secure interoperability. In particular, One of the challenges is to

enable the simultaneous operations of multiple U-space service providers (USSP) in the same airspace ((R&I need: *Mature basic U-space services*)

- **Legal and financial aspects of U-space at U1 and U2 level:** detailed look at the legal and financial aspects of U1 and U2 U-space operations, including normal and aviation law, also covering safety and security issues, liability issues, certification issues and U-space services financing models. This is a transversal activity, which will need to get input from the two previous research activities.
- **Support to deployments and regulation: Integrated demonstrations of U-space services,** potentially starting with demonstration in a controlled environment (U-space test centres), and then moving on to the real environment
- **Demonstration of U-space/ATC interoperability:** building on the previous validation activities, this element covers the demonstration of advance U-space/ATC interoperability concept, including in particular demonstration of simultaneous and safe provision of ATC and U-space services in the same airspace. This could also cover the transfer of U-space operational concepts or technologies to ATM or the transfer of ATM concepts or technologies to U-space.
- **Demonstration on U-space for UAM:** UAM refers to the provision of mobility services in an urban environment using air vehicles, which encompass everything from manned helicopters, as currently flown, through small inspection and delivery drones to 'flying taxis', with or without a pilot. The demonstrations shall aim at showcasing solutions that contribute to safely integrate UAM with manned aviation and ATC, defining new operational concepts (underpinned by existing and new technologies) while contributing to the definition of the required standards and regulations (e.g. recommendations for associated means of compliance). The demonstrations shall perform a significant number of flights, in coordination with relevant stakeholders, and using one or more eVTOL platforms, including vehicles with full autonomous capabilities. The demonstration projects shall build on the work done in SESAR 2020 on this field. Close coordination with EASA is expected to ensure complementarity and consistency with EASA activities.

2.3.3.2 CEF-T-2021-SIMOBGEN-SESAR-DSDA-WORKS: Digital Sky Demonstrators on Aviation Green Deal

Objective

The objective of the demonstrations under this topic is to contribute to achieve the objective of net-zero greenhouse gas emissions by 2050 set by the European Green Deal, in line with the EU's commitment to global climate action under the Paris Agreement. This implies the need for aviation to intensify its efforts to reduce emissions, in line with the targets set in Flightpath 2050.

To this end, a set of operational measures to improve the fuel efficiency of flights will have to be put in place. At the same time, to ensure sustainable air traffic growth, it is necessary to speed up the modernisation of the air infrastructure to offer more capability and capacity, making it more resilient to future traffic demand and adaptable through more flexible ATM procedures. Furthermore, reducing aircraft noise impacts and improving air quality will remain a priority around airports

- **Environment:** proposed operational measures shall enable gate-to-gate optimal flight trajectories and demonstrate improvements on fuel efficiency of flights, and thus CO₂ (and non-CO₂) reductions.

- **Capacity and operational efficiency:** the higher level of automation supporting the solutions under demonstration will make it possible to go beyond the current limits of sector capacity due to controller workload, which will allow optimal and environmentally- friendly flight trajectories.
- **Cost-efficiency:** saving fuel for airspace users will reduce CO₂ emissions and related costs (ETS).
- **Safety:** Safety levels are maintained or improved in case of a higher level of automation.

The objective is to achieve, for the scope under this topic, a TRL 8 maturity level (“actual system completed and “mission qualified” through test and demonstration in an operational environment”).

The Digital Sky Demonstrator instrument will be closely connected to the standardisation and regulatory framework, and will provide a platform for a critical mass of “early movers” representing at least 20 % of the targeted operating environment to accelerate market uptake.

Themes and priorities

To successfully address the expected outcomes, all or some of the following sub-R&I needs should be addressed:

- **Formation flight demonstrators:** the novel airborne station keeping capabilities in support of the Wave Energy Retrieval concept, based on previous SESAR work on Airborne Separation Assurance Systems (ASAS), will allow aircraft to cruise closely behind another aircraft on the same route, thereby realising fuel savings. This activity will contribute to the operational validation of the station keeping capabilities and pave the way to their certification. The scope should also address the airborne and ATC operational. It is expected that these demonstrations will allow for a limited initial implementation of this concept that will already realise environmental benefits (*R&I need: formation flight*).
- **Integrated 4D for green trajectories:** this activity will demonstrate the operational benefits of ADS-C when integrated in the ground systems beyond the 2D flight plan consistency check, with a focus on the environmental benefits, based for example on facilitating airline’s preferred trajectory using the TOD information, improved arrival management, enhanced vertical clearances delivered via R/T or CPDLC. The demonstration may combine live trials for concepts having reached TRL6 maturity in Wave 1 with real time simulations for the more advanced concepts. The potential scope includes alternative ATN B2 standards (ATN B2 Revision A) and/or non-European airborne platforms operating in Europe (potentially connecting via VDL2/IPS), military aircraft and business aviation aircraft. The distribution of the EPP data to NM and its operational use in support of network operations is also in scope (*R&I need: Optimum Green Trajectories*) (**PJ.18-06b1, #115 Milestone 1: ECAC-wide implementation of cross-border Free Route, air ground and ground-ground connectivity**).
- **Satellite-based innovation for green trajectories:** this activity will demonstrate the environmental benefits of introducing direct-controller-pilot-communication (DCPC) via VHF voice (with the ground antennas being substituted by LEO satellite antennas, but no change to the aircraft avionics) in combination with satellite-based ADS-B, satellite-based VHF-voice and potentially satellite-based VDL2 in order to dramatically increase the airspace capacity, thereby enabling AU to fly closer to their preferred routes. The activity includes both the technical demonstration and an initial assessment of the potential for reducing separation minima (building on the current work in ICAO on reduction of separation based on satellite-based ADS-B without DCPC, but now adding the DCPC element) and a quantification of the environmental benefits. The use of Initial Trajectory Information Sharing via SATCOM class B or VDL2 (IPS and/or OSI based) could be included in the scope of this demonstration. The activity must contribute to ICAO and all

relevant standardisation and international coordination groups (*R&I need: Optimum Green Trajectories*) (**Solution #109, AAS TP Milestone 4: Gradual transition towards higher levels of automation supported by SESAR Solutions**).

- **Green approaches through second runway aiming point and increased glide-slope concepts:** these demonstrations aim at increasing the available approach vertical path options to European airports; this increased flexibility will allow airports to reduce the environmental impact on the airport neighbouring communities. These procedures can be based on RNP, SBAS and/or GBAS. The demonstration will take place in a real environment and make an assessment of the environmental benefits of the new procedures (*R&I need: Advanced RnP green approaches*) (**Solutions PJ.02-W2-14.2, PJ.02-W2-14.3 & PJ.02-W2-14.5**).
- **Green approaches through GNSS as primary navigation means with reversion scenario in operational Environment.** The demonstrator shall address the use of GNSS in all phases of flight including: GBAS – GAST D (Cat II/III) GPS and Galileo, SBAS/GBAS Complementarity, reversion from GNSS to ILS and from GNSS area navigation to multi DME (A-PNT) (**Solution PJ.14-W2-79a**).
- **Green approaches through improved speed and aircraft configuration management on arrival:** this demonstration aims at investigating the impact of the throttle and high-lift-device management on the environment. It will aim at identifying quick-win airborne and ground procedures that reduce the impact on the environment without the need for an update to the avionics or ground systems (*R&I need: Advanced RnP green approaches*).
- **Green climb-via and descend-via procedures including descent-via with re-cruise options:** this demonstration builds on the SESAR single European sky award winning Optimized Descent Profiles demonstration. It will support the implementation of the CDO/CCO Action Plan recommendation to implement the ICAO descend-via procedures, in combination with the re-cruise FMS function developed within SESAR 2020 solution PJ.01-03b, which will be brought to maturity in this demonstration. The focus of the demonstration will be on addressing the ground and airborne challenges in order to allow the widespread adoption of the descend via procedures in Europe and the adoption of the re-cruise concept in order to mitigate the negative impact on the environment of early descent clearances (*R&I need: Environmentally optimised climb and descent operations (OCO and ODO)*) (**Solutions PJ.01-03b & PJ.01-W2-08B, AAS TP Milestone 4: Gradual transition towards higher levels of automation supported by SESAR Solutions**).
- **Initial ATN B2 datalink green trajectory revision concepts:** this activity will demonstrate of the uplink via ATN B2 CPDLC of an ATC clearance containing a revision of the 2D route or a vertical clearance with a vertical constraint. The activity should consider both ATN B2 and ATN B2 Revision A standards (with DRNP). These clearances will be auto-loaded in the FMS. The demonstration will make an assessment of the environmental benefits and of the impact on the flight-crew workload and crew resource management (*R&I need: Optimum Green Trajectories*) (**Solution PJ.18-W2-56, AAS TP Milestone 4: Gradual transition towards higher levels of automation supported by SESAR Solutions**).
- **TBO for green trajectories in the planning phase (FF-ICE 1):** this activity will demonstrate the environmental benefits of the enhancements to the planning phase brought by the FF-ICE 1 concepts, in particular the extended flight plan. The demonstration should investigate a concept to allow AU to load less fuel thanks to the reduced uncertainty for the AU, potentially incorporating information like planned departure and arrival runways, foreseen SID and STAR, intelligent prediction of ASMA time (prediction derived from demand data using machine learning), etc. (*R&I need: Optimum Green Trajectories*) (**Solution PJ.18-02c, AAS TP Milestone 4: Gradual transition towards higher levels of automation supported by SESAR Solutions**).

- **Green taxiing:** this element aims at implementing reduced emissions or emissions free taxi operations at medium and large European airports, applying any of the available technologies (engine-off taxi out and taxi-in, taxibots, auxiliary engines in the undercarriage), or a combination thereof. The demonstrators focus will be on addressing the ATM aspects as required to make it possible to scale up the operations to all AU at the airport. Attention will be paid to consider both nominal and adverse conditions, in particular with de-icing process where relevant. These demonstrators will pave the way for the large-scale implementation across Europe (*R&I need: Accelerating decarbonisation through operational and business incentivisation*).
- **Integrated ATM/apron management for green surface management:** this element is based on the use of cameras and machine learning/artificial intelligence to optimise turn around operations, linking landside and airside processes to increase predictability of operations, improve on time performance and optimise the use of resources such as parking stands. The concept includes the development of an operational strategy based on environmental criteria to optimise operations and mitigate environmental impact. Monitoring and promoting the reduction of operations which may have a negative environmental impact such as the use of APUs should also need be addressed. (*R&I need: Accelerating decarbonisation through operational and business incentivisation*) (**Solution PJ.04-W2-29.3**).
- **Aviation-induced cloudiness (AIC) data collection and analysis:** This demonstration will collect equip aircraft with advanced sensors in order to collect data relevant for the assessment of the non-CO₂ impact of aviation, e.g. humidity, temperature, cloudiness...and integrate it with satellite imagery data and data from other MET sources/databases. The aim is to set up a data collection and data analysis concept that can continue beyond the life of the demonstration, in order to support the continuous assessment of the evolution of the atmospheric metrics that are relevant to better understand the non-CO₂ impact of aviation and the impact of the policy actions (*R&I need: Non-CO₂ impacts of aviation*).

2.4 Call SESAR3-DSD1b

A second call for proposals for Digital Sky Demonstrators is planned to be launched in Q3 2022, preferably funded under the CEF programme. An initial content definition together with an indicative budget can be found here after.

2.4.1 Indicative budget

The indicative budget for this future call is EUR 111 million.

Project budgets (maximum grant amount) for SESAR related Actions are expected to be around EUR 15 million per project (for projects addressing Digital Sky Demonstrators on gradual transition towards higher levels of automation) or EUR 30 million per project (for projects addressing Digital Sky Demonstrators on Virtual centre and ATM Data Service Providers (ADSPs)) per project.

2.4.2 Expected outcomes and scope of the projects

2.4.2.1 *Digital Sky Demonstrators on gradual transition towards higher levels of automation*

Expected outcomes

The demonstrations under this topic aims at achieving a TRL 8 maturity level (“actual system completed and “mission qualified” through test and demonstration in an operational environment”) for a number of SESAR solutions delivered at TRL6 level by SESAR 1 and SESAR 2020 and that contributed to increase the level of automation support in ATM.

- **Operational efficiency and environment:** higher automation and interoperability will imply fewer tactical interventions by ATC and increase predictability, enabling the airspace users to fly closer to their preferred trajectories, thus reducing the fuel-burn and emissions.
- **Capacity:** the increased level of automation support to ATC will contribute to optimise the use of the airspace. Improvements in ground operations predictability and integration of advanced tools for arrival and departure will help to optimise runway use. Better connectivity between stakeholders, interoperability and higher predictability brought about by increased automation will increase capacity.
- **Cost-efficiency:** increasing automation support, when adopted consistently, will contribute to operational harmonisation and eventually to cost-efficiency. A service-based approach and a well-defined required service level (e.g. for CNS services) will also help to achieve cost-efficiencies.
- **Safety:** The automation of some procedures shall ultimately lead to improved safety and fewer errors, due to better allocation of human resources to where they have the most added value. Additionally, the increase in data-sharing will also foster the early detection of potential safety issues and their mitigation.

The digital sky demonstrators will help to increase the buy-in from the ATM community on the next generation of SESAR technologies and services and will provide further evidence to support their business case. The digital sky demonstrator instrument will be closely connected to the standardisation and regulatory framework, and will provide a platform for a critical mass of “early movers” to accelerate market uptake, thus facilitating the industrialisation process for SESAR solutions and promoting their deployment in Europe. All players including competent regulatory authorities can mutually learn and exchange practical expertise related to the introduction of the next generation of SESAR solutions.

Scope

The objective is to establish a network of digital European sky demonstrators to accelerate the transition towards deployment of a number of SESAR solutions that are part of Measure 3 of the Airspace Architecture Study Transition Plan (AAS TP) in order to fully leverage breakthrough technologies that can contribute to prepare the defragmentation of European skies (e.g. dynamic airspace configurations, solutions boosting the level of automation support, multilink environment, etc.).

To successfully address the expected outcomes, all or some of the following sub-R&I needs should be addressed:

- **Increased automation support:** improved conflict detection and resolution tools that are derived from the improvement of ground Trajectory Prediction (TP) based for example on the use of advanced data from ATN B2 ADS-C reports improved algorithms or improved use of meteorological data. The improvements of ground TP may include: the use of ADS-C data e.g. gross mass, speed schedule, TOC and TOD altitudes, the predicted speeds at route points, etc.), improvements in the calculations of turning manoeuvres thanks to the use of turn radius and the turning strategy (overfly vs fly-by), the implementation of catch-up manoeuvres (not depending on EPP data), etc. (*PJ.18-W2-53B, AAS TP Milestone 6: Trajectory Based Operations (TBO)*).
- **New human machine interface (HMI) interaction modes and technologies for ATC centre** in order to minimise the load and mental strain on controllers in the ATC centre. This may include the use of in-air gestures, attention control, automatic speech recognition, user profile management systems, tracking labels, virtual and augmented reality, etc. (*PJ.10-W2-96 AG, PJ.10-W2-96 ASR and PJ.10-W2-96 UPMS, AAS TP Milestone 4: Gradual transition towards higher levels of automation supported by SESAR Solutions*).
- **Flight-centric demonstrator to improve ANS productivity:** this demonstrator covers the demonstration of flight-centric operations in environments where most benefits in terms of cost-efficiency are expected, e.g., daytime high-altitude airspace and daytime or night-time low-density airspace (*PJ.10-W2-73 FCA, AAS TP Milestone 5: Transformation to flight/flow centric operations*).
- Demonstration of near real-time traffic management in the sequence- or flow-based AMAN-DMAN integration, taking advantage of predicted demand information provided by local Arrival and Departure Management systems and potentially including, in addition to the optimisation of runway usage, the balancing of flows in the TMA, e.g. through the prioritisation of traffic flows where appropriate, and the need for a more consistent and manageable delivery into the En-route phase of flight while still ensuring an optimal usage of runway and TMA capacity (*PJ.01-W2-08A1, AAS TP Milestone 4: Gradual transition towards higher levels of automation supported by SESAR Solutions*).
- **Use of enriched DCB Information and enhanced What-Ifs to improve flight planning including NM flight plan approval process:** to reduce the impact of ATM planning on Airspace Users' costs of operations, by providing them a better access to ATM resource management and allowing them to better cope with ATM constraints. The objective is to demonstrate solutions aiming at improving Airspace Users flight planning and network management through improved FOC participation into the ATM network collaborative processes in the FF-ICE context. Enriched DCB information will be available to improve AUs decision process when planning or re-planning

trajectories and may include: DCB constraints/measures information like ATFCM regulations/CTOT/STAM, additional DCB information such as hotspots and congestion level indicators, etc. The information (provided either for the trajectory planned by the AU as part of a submitted flight plan or for alternative trajectories considered in the context of advanced what-if) can be used in different use-cases: proactive management of fleet delays by AUs, CDM processes triggered by flow managers (e.g. STAM/Cherry picking measures) (*PJ.07-W2-38, AAS TP Milestone 4: Gradual transition towards higher levels of automation supported by SESAR Solutions*).

- **Improving the connectivity between regional airports and the network manager** thanks to the provision of DPI messages based on target times and a reduced set of turnaround milestones compared to the full A-CDM implementation. This reduced set of milestones is calculated quasi-automatically reducing the need for airline/ground handler inputs. The expected benefits relate to predictability, flexibility and efficiency for all airport stakeholders, while improving stability, predictability and resilience at network level. The demonstration is expected to cover a significant number of regional airports (supports AAS TP Milestone 4: Gradual transition towards higher levels of automation supported by SESAR Solutions)
- **Demonstration of a multilink communications infrastructure:** this addresses the need for ANSP to allow different airborne users to connect (CPDLC and ADS-C) to the ground through different communication means, demonstrating a seamless and automatic switch between different technologies based on availability and performance needs; potential A/G technologies in scope are VDL2/IPS, SatCom GEO/MEO/LEO, AeroMACS, L-DACS (also covered in the LDACS demonstration as per the bullet below); the demonstration shall include the ANSP and the aircraft side, and must include the demonstration of prioritisation of ATS messages over any other data traffic over the same link (**AAS TP Milestone 6: Trajectory Based Operations (TBO)**). **Ground-ground voice and data communications between RPAS pilots and ATC**, including the retransmission of the voice communications over the VHF channel in support of the seamless integration of RPAS in the European airspace (**AAS TP Milestone 6: Trajectory Based Operations (TBO)**);
- **The initial demonstration of the next generation of A/G datalink via LDACS**, with multilink integration on the ANSP side and assessment of the operational benefits of the higher performance of LDACS (in particular the lower latency in combination with higher data capacity thanks to prioritisation of ATS traffic over any other traffic over the same link): even though the focus of this demonstration is the datalink communications, the LDACS technology is part of the advanced integrated CNS concept; as a complement to the demonstration of the datalink aspects, the demonstration may also address the use of LDACS for A-PNT and/or surveillance aspects, as well as digital voice via LDACS options (**AAS TP Milestone 6: Trajectory Based Operations (TBO)**).

2.4.2.2 Digital Sky Demonstrators on Virtual centre and ATM Data Service Providers (ADSPs)

Expected outcomes

The Airspace Architecture Study (AAS) clearly highlighted the lack of flexibility in the sector configuration capabilities at pan-European level. This is caused by the close coupling of ATM service provision to the ATS systems and operational procedures, preventing air traffic from making use of cloud-based data service provision.

A more flexible use of external data services, considering data properties and access rights, would allow the infrastructure to be rationalised, reducing the related costs. It will enable data-sharing, foster a more dynamic airspace management and ATM service provision, allowing ATSUs to improve

capacity in portions of airspace where traffic demand exceeds the available capacity. It furthermore offers options for the contingency of operations and the resilience of ATM service provision.

- **Cost-efficiency:** Virtualization in support of delegation of the provision of ATSS amongst ATSUs will have an impact on the ANSP capability in terms of Resources Management at both staffing and facilities level (cost optimisation).
- **Capacity/Resilience:** More manoeuvring margin on resources management by the ANSP will lead to a better use of the spare capacity (less demand measures required). A more dynamic airspace management will contribute to improve capacity while responding with flexibility to the Airspace users' flight trajectory needs.
- **Environment:** the delegation of the provision of ATSS amongst ATSUs, for both cross border and non-cross border cases, will impact the seamless ATS provision as the load balancing between ATSUs and avoidance of airspace or ATM services provision disruptions will allow AUs to fly more efficient trajectories

The objective is to achieve, for the topics under scope, a TRL 8 maturity level ("actual system completed and "mission qualified" through test and demonstration in an operational environment").

The digital sky demonstrators will help to increase the buy-in from the ATM community on the next generation of SESAR technologies and services and will provide further evidence to support their business case. The digital sky demonstrator instrument will be closely connected to the standardisation and regulatory framework, and will provide a platform for a critical mass of "early movers" to accelerate market uptake, thus facilitating the industrialisation process for SESAR solutions and promoting their deployment in Europe. All players including competent regulatory authorities can mutually learn and exchange practical expertise related to the introduction of the next generation of SESAR solutions.

Scope

To successfully address the expected outcomes, all or some of the following sub-R&I needs should be addressed:

- **Demonstration of new ATS business operating model based on the delegation of ATC services based on Virtual Centre:** The objective is to demonstrate the increased efficiency and resilience of the ATC service thanks to better use of resources across ATSU borders; the scope includes: this includes:
 - Delegation of ATC services amongst ATSUs based on traffic/organisation needs (either static on fixed-time transfer schedule (e.g. Day/Night) or dynamic e.g. when the traffic density is below/over certain level) or on contingency needs.
 - ATFCM aspects in support of the Delegation of ATC services: including the interaction with NM on dynamic sectorisation – flow allocation. This may include the dynamic delegation of ATC services provision for load balancing (ATFCM), cross-border rostering concepts, etc. The delegation of the ATFCM service provision between ATSUs may also be considered.
 - Civil/military aspects of Delegation of ATS services e.g. delegation of ATS service provision between Civil and Military ATSUs: the digitalisation of ATC systems enables virtualisation approaches where remote operations become an important contributor for resource pooling and sharing and rationalisation. Civil/Military ATSUs will have the possibility to delegate the airspace to another ATSU while there is guarantee of the

seamless ATS service provision in the delegated airspace. Virtual control centres allow for a more efficient and flexible use of resources, with civil/military synergies.

- The ATCOs receiving the delegation of airspace need to be in possession of the appropriate validation; the demonstration may include the demonstration of complementary concepts in support of an Increased Flexibility of ATCO Validations (IFAV) e.g. validations for working in a sector restricted to certain configuration (for example airports/TMA below closed for the nights, sectors combined, etc.), standardisation of procedures and performance support tools to reduce the number of hours required to be current in a sector, so as to make it possible for ATCOS to be current on an increased more sectors, etc. **(PJ.16-03, PJ.10-W2-93 and PJ.32-W2-01, AAS TP Milestone 3: Virtual centres and dynamic airspace management at large scale).**
- **Demonstration of new ATS business operating model based on the ATM Data Service Providers (ADSPs) concept outlined in the Airspace Architecture Study and later complemented by a European Commission study** ⁽⁴¹⁾: In the framework of the European Commission study, ATM data services have been defined as services which provide ANSPs, airspace users, airports and other operational stakeholders with information on the intended movement of each aircraft, or variations therefrom, and with current information on the actual progress of each aircraft, based on operational data received from surveillance (SUR), aeronautical information services (AIS), meteorological services (MET), network functions and any other relevant operational data
 - The scope includes the development and demonstration of new business operating models based on the opportunities opened by the new service delivery model, e.g. provision of flight data processing and integration services, provision of surveillance data processing and integration services, new services related to ATM data transformation and/or processing dependent on or related to the output from flight data processing and/or surveillance data processing, services for remote technical monitoring of distributed systems, etc. The development of the technical enablers in support of the new services is also in scope; this includes in particular the ATSEP support tools for supporting the efficiency of the ATSEP distributed team.
 - The proposed demonstration shall be aligned with the scope of ATM data services in each of the data categories, and consider the interfaces with other services or functions, and the actors feeding into or consuming the ATM data services as defined in the European Commission study.
 - The demonstrations should help overcome the challenges associated with regulatory and certification issues. Close cooperation with EASA and/or national regulators is therefore essential to the success of the demonstration; the demonstration should aim at achieving the certification of the first ADSP(s) in Europe **(PJ.16-03, PJ.10-W2-93 and PJ.32-W2-01, AAS TP Milestone 3: Virtual centres and dynamic airspace management at large scale).**
- **Demonstration of Dynamic Airspace Configurations:** demonstrate in an operational environment the combination of dynamic airspace management & airspace configurations (DAC) Level 0, digital integrated network management and ATC planning (INAP) and Dynamic Mobile Areas (DMA) type 1. DMA Type 1 is a volume of airspace of defined dimensions described as an integral part of a MT at flexible geographical locations agreed upon in a CDM process (as part of the mission trajectory

⁽⁴¹⁾ European Commission study number MOVE/E3/SER/2018-580/SI2.813340

negotiation); it aims at satisfying military training needs while minimising the impact on civilian traffic. The demonstration scope includes the consideration of initial mission trajectory management capability at sub-regional/local level in the ATM planning phase to support the dynamic configuration of segregated airspace, thus contributing to the efficiency of both civil and military operations. The objective is to demonstrate improvements in the use of airspace capacity for both civil and military AUs within increased efficiency of airspace management and increased flexibility in the civil–military coordination. The demonstration scope includes the digital transformational technology impact on CDM, MTM and ASM e.g. ATCO support systems (ATCO screen to show active DMA in real time and system support for revising trajectories of aircraft that are planned to go into the DMA) ***(PJ.07-W2-40 and PJ.09-W2-44, AAS TP Milestone 3: Virtual centres and dynamic airspace management at large scale)***.

3 Annex III. List of members of the SESAR 3 Joint Undertaking

The full list of SESAR 3 JU members and their constituent entities is published on the SESAR 3 JU website.

