

DECISION
ADB(D)09-2021

**adopting the first amended version of the
SESAR JU Single Programming Document (SPD) 2021-2023**

THE ADMINISTRATIVE BOARD OF THE SESAR JOINT UNDERTAKING (SESAR JU),

Having regard to:

- Council Regulation (EC) No 219/2007 of 27 February 2007, on the establishment of a Joint Undertaking to develop the new generation European Air Traffic Management system (SESAR) ⁽¹⁾, as amended by Council Regulation (EC) No 1361/2008 of 16 December 2008 ⁽²⁾ and by Council Regulation (EU) No 721/2014 of 16 June 2014 ('SESAR JU Regulation') ⁽³⁾, and the SESAR JU Statutes annexed thereto ('SESAR JU Statutes'),
- Regulation (EU, Euratom) 2018/1046 of the European Parliament and of the Council of 18 July 2018 on the financial rules applicable to the general budget of the Union, amending Regulations (EU) No 1296/2013, (EU) No 1301/2013, (EU) No 1303/2013, (EU) No 1304/2013, (EU) No 1309/2013, (EU) No 1316/2013, (EU) No 223/2014, (EU) No 283/2014, and Decision No 541/2014/EU and repealing Regulation (EU, Euratom) No 966/2012 ⁽⁴⁾, and in particular Articles 6, 12, 18, 53, 70 and 110 thereof,
- Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 - the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC ('H2020 Regulation') ⁽⁵⁾, and in particular Articles 23 and 25 thereof,
- Regulation (EU) No 1290/2013 of the European Parliament and of the Council of 11 December 2013 laying down the rules for participation and dissemination in 'Horizon 2020 - the Framework Programme for Research and Innovation (2014-2020)' and repealing Regulation (EC) No 1906/2006 ('the Rules for Participation') ⁽⁶⁾, and in particular Article 11 thereof,
- Communication from the Commission C(2020) 2297 final on the guidelines for programming document for decentralised agencies and the template for the Consolidated Annual Activity Report for decentralised agencies,
- The SESAR JU Financial Rules adopted by the Administrative Board on 9 October 2019 (ref. ADB(D)-21-2019), and in particular Articles 25, 31, and 33 thereof,

Whereas:

⁽¹⁾ OJ L 64, 2.3.2007, p. 1.

⁽²⁾ OJ L 352, 31.12.2008, p. 12.

⁽³⁾ OJ L 192, 1.7.2014, p. 1.

⁽⁴⁾ OJ L 193, 30.7.2018, p. 1.

⁽⁵⁾ OJ L 347, 20.12.2013, p. 104.

⁽⁶⁾ OJ L 347, 20.12.2013, p. 81.

- (1) By decision ADB(D)14-2020 of 15 December 2020, the SESAR JU Administrative Board adopted the SESAR JU Single Programming Document 2021-2023 ('SPD 2021-2023').
- (2) Article 16 of the SESAR JU Financial Rules stipulates: *"If the budget result (...) is positive, it shall be entered in the budget of the SJU for the following financial year as revenue (...) The revenue or payment appropriations shall be entered in the budget of the SJU (...), while implementation of the budget of the SJU is under way, by means of an amending budget"*.
- (3) Article 12 of the SESAR JU Financial Rules states that *"(...) the cancelled appropriations may be entered in the estimates of revenue and expenditure up to the following three financial years, in accordance with Article 31 (...) To ensure the continuity of the SJU operation until its termination, appropriations related to administrative expenditure may be entered in the estimates of revenue and expenditure up to the following four financial years following the last payment of the EU financial contributions as per Article 4(3) of the SJU constituent act, (...)"*.
- (4) The budget result of EUR 8 860 294,50 (EUR 5 160 294,50 for the running costs and EUR 3 700 000,00 for the operational costs - Article 16 of the SESAR JU Financial Rules) as well as the unused and/or cancelled appropriations (EUR 12 942 957,25 for commitment appropriations and EUR 494 417,43 for payment appropriations - Article 12 of the SESAR JU Financial Rules) are reinscribed in the SESAR JU budget with this amendment 1 to the SPD 2021-2023.
- (5) Some limited increases of appropriation, both in commitment and payment, are necessary in order to take into account needs in expenditure that were not known at the time of the budget adoption:
 - one additional interim to cover maternity leave and three renewals for six months for existing interims;
 - the two building security maintenance current contracts end their four year duration in October 2021 and need to be renewed for four more years. As far as the alarm contract is concerned, there is an option in the contract requesting the contractor to first conduct an assessment of the existing system and to advise if a change should be foreseen. In case this change is advised, the SJU can ask for a change of the system;
 - the initial amount budgeted for insurance was short and the recalculation of the annual charges for the existing contracts requires an increase of the budget;
 - the IT budget line needs to be increased for the existing TESTA-NG III contract and the new IT programs annual fees: BLUEBELL, SPEEDWELL, ARES & RACHEL;
 - the Young Scientist awards are increased in order to attract more candidates;
 - the ex-post audits for U-space projects (Delegation Agreement) require a budget transfer.
- (6) The SPD 2021-2023 comprises the 2021 Annual Work Programme ⁽⁷⁾, the 2021-2023 Multi-Annual Work Programme, the 2021 Budget and the 2021 Staff Establishment Plan, and serves as the amended financing decision for the activities to be performed by the SESAR JU in 2021.
- (7) In accordance with Article 9 of the Rules of Procedure of the Administrative Board, a written procedure for the adoption of the first amended SESAR JU SPD 2021-2023 was launched on 4 May 2021 and concluded on 25 May 2021 with a positive result,

⁽⁷⁾ 2021 Annual Work Plan in the meaning of Article 2(1)(22) of Regulation (EU) No 1290/2013 of the European Parliament and of the Council ('Horizon 2020 Rules for Participation').

HAS DECIDED AS FOLLOWS:

Article 1

The SESAR JU SPD 2021-2023 adopted by ADB decision no. ADB(D)14-2020 of 15 December 2020, is replaced by the first amended SESAR JU SPD 2021-2023 annexed to this decision.

Article 2

Upon adoption, the first amended SESAR JU SPD 2021-2023 shall be made publicly available on the website of the SESAR JU.

Article 3

This decision shall enter into force on the day following that of its adoption.

Done in Brussels, 25 May 2021.

For the SESAR JU Administrative Board



The Chairperson
Henrik Hololei

Annexes:

- Annex I - First amended version of the SESAR JU SPD 2021-2023
- Annex II - Detailed technical breakdown of amended SESAR JU 2021 budget



Annex I

Adopted first amended version of the SESAR JU SPD 2021-2023

Due to its size, the first amended version of the SESAR JU's Single Programming Document 2021-2023 referred to in the title of this annex is provided in a separate document.

Annex II

Detailed technical breakdown of amended SESAR JU 2021 budget

The following tables provide the necessary detailed breakdown of the first amended SESAR JU 2021 budget for the purpose of upload into the ABAC systems.

Expenditure

SESAR JU expenditure budget per Title in 2020 – Commitment & Payment appropriations

EXPENDITURE	Initial Budget 2021		Amendment 1	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title I	5.644.386	5.644.386	5.671.227	5.671.227
Title II	3.180.287	3.180.287	3.647.863	3.647.863
Title III	2.520.000	37.960.808	2.521.500	37.962.308
Title IV	-	-	21.307.334	-
TOTAL EXPENDITURE	11.344.673	46.785.481	33.147.925	47.281.399

Table 1: SESAR JU expenditure budget per Title in 2021 – Commitment & Payment appropriations

Detailed SESAR JU expenditure budget 2021 – Commitment appropriations

ABAC Budget line	EXPENDITURE	Commitment appropriations				
		Initial budget 2021	C2 Budget outturn (SAP inscription)	C2 re-Inscription unused appropriations previous years (SAP inscription)	Final budget amendment 1	Total budget inscriptions C2
	Title I - Staff expenditure	5.644.386,25	-	26.841,10	5.671.227,35	26.841,10
	11 Salaries & allowances	5.259.846,25	-	26.841,10	5.286.687,35	26.841,10
1110	- of which establishment plan posts	4.798.730,00			4.798.730,00	-
	- of which external personnel	461.116,25	-	26.841,10	487.957,35	26.841,10
1210	Contract agents	82.268,00			82.268,00	-
1220	Interim staff	243.158,90		26.841,10	270.000,00	26.841,10
1410	Seconded national experts (SNE)	135.689,35			135.689,35	-
1630	12 Expenditure relating to Staff recruitment	5.000,00			5.000,00	-
1510	13 Mission expenses	200.000,00			200.000,00	-
	14 Socio-medical infrastructure				-	-
1610	15 Training	50.000,00			50.000,00	-
1640	16 External Services	93.840,00			93.840,00	-
	17 Receptions and events				-	-
1620	19 Other Staff related expenditure	35.700,00			35.700,00	-
	Title II - Infrastructure and operating expenditure	3.180.287,13	-	467.576,33	3.647.863,47	467.576,33
	20 Rental of buildings and associated costs ⁽¹⁾	856.295,02	-	51.886,43	908.181,45	51.886,43
2110	Office and parking rental	418.031,14			418.031,14	-
2120	Office and parking charges	127.344,96			127.344,96	-
2130	Real estate tax	57.222,00			57.222,00	-
2140	Regional tax	37.142,28			37.142,28	-
2160	Cleaning and reception	173.946,41			173.946,41	-
2170	Security maintenance contract	40.113,57		51.886,43	92.000,00	51.886,43
2180	Works and repairs	2.494,66			2.494,66	-
	21 Information and communication technology	1.638.840,00	-	48.312,00	1.687.152,00	48.312,00
2710	IT system maintenance and unified communication	1.207.400,00		48.312,00	1.255.712,00	48.312,00
2720	Software	42.000,00			42.000,00	-
2730	Hardware	65.000,00			65.000,00	-
2740	Website	124.440,00			124.440,00	-
2750	ICT support	200.000,00			200.000,00	-
2210	22 Movable property and associated costs	2.562,24			2.562,24	-
	23 Current administrative expenditure	272.389,87	-	367.377,90	639.767,78	367.377,90
2610	Administrative supplies and postage	31.563,03			31.563,03	-
2620	Photocopier and printer consumables	1.428,00			1.428,00	-
2630	Subscription to publications, newspapers, memberships	17.785,38			17.785,38	-
2640	Insurances	10.622,10		7.377,90	18.000,00	7.377,90
2650	Office hospitality	15.917,63			15.917,63	-
2660	Packaging and transport	216,21			216,21	-
2670	Bank charges	5.100,00			5.100,00	-
2680	Contingencies 2	-			-	-
2810	Translation of official documents	14.912,21			14.912,21	-
2811	Quality Management				-	-
2820	Legal support	50.000,00			50.000,00	-
2830	Audit fiscal and accounting support	124.845,31		360.000,00	484.845,31	360.000,00
	24 Postage / Telecommunications				-	-
2510	25 Meeting expenses	10.200,00			10.200,00	-
	26 Running costs in connection with operational activities				-	-
2310	27 Information and publishing	400.000,00			400.000,00	-
	28 Studies				-	-
	Title III - Operational expenditure	2.620.000,00	-	1.500,00	2.621.500,00	1.500,00
3100	SESAR 1 - 3.1 Studies/Development Conducted By the SJU				-	-
3200	SESAR 1 - 3.2 Studies/Development Conducted By EUROCONTROL				-	-
3300	SESAR 1 - 3.3 Studies/Development Conducted By Other Members				-	-
3400	SESAR 2020 3.1 - Providing Strategic Steering to the SESAR programme	1.100.000,00			1.100.000,00	-
3500	SESAR 2020 3.2 - Deliver Exploratory Research	110.000,00			110.000,00	-
3600	SESAR 2020 3.3 - Deliver Industrial Research and Validation			1.500,00	1.311.500,00	1.500,00
3700	SESAR 2020 3.4 - Deliver Very Large-Scale Demonstration activities				-	-
3800	SESAR 2020 3.5 - Deliver SESAR Outreach	1.310.000,00				
4100	Title IV - Unused appropriations not required in current Year	-	11.269.781,07	10.037.553,25	21.307.334,32	21.307.334,32
	Running costs		7.569.781,07	10.037.553,25	17.607.334,32	17.607.334,32
	Operational costs		3.700.000,00		3.700.000,00	3.700.000,00
	TOTAL EXPENDITURE	11.344.673,38	11.269.781,07	10.533.470,68	33.147.925,14	21.803.261,76

Table 2: Detailed SESAR JU expenditure in 2021 – Commitment appropriations

Detailed SESAR JU expenditure budget 2021 – Payment appropriations

ABAC Budget line	EXPENDITURE	Payment appropriations				
		Initial budget 2021	C2 Budget outturn (SAP inscription)	C2 re-inscription unused appropriations previous years (SAP inscription)	Final budget amendment 1	Total budget inscriptions C2
	Title I - Staff expenditure	5.644.386,25	-	26.841,10	5.671.227,35	26.841,10
	11 Salaries & allowances	5.259.846,25	-	26.841,10	5.286.687,35	26.841,10
1110	- of which establishment plan posts	4.798.730,00			4.798.730,00	-
	- of which external personnel	461.116,25	-	26.841,10	487.957,35	26.841,10
1210	Contract agents	82.268,00			82.268,00	-
1220	Interim staff	243.158,90		26.841,10	270.000,00	26.841,10
1410	Seconded national experts (SNE)	135.689,35			135.689,35	-
1630	12 Expenditure relating to Staff recruitment	5.000,00			5.000,00	-
1510	13 Mission expenses	200.000,00			200.000,00	-
	14 Socio-medical infrastructure				-	-
1610	15 Training	50.000,00			50.000,00	-
1640	16 External Services	93.840,00			93.840,00	-
	17 Receptions and events				-	-
1620	19 Other Staff related expenditure	35.700,00			35.700,00	-
	Title II - Infrastructure and operating expenditure	3.180.287,13	-	467.576,33	3.647.863,46	467.576,33
	20 Rental of buildings and associated costs ⁽¹⁾	856.295,02	-	51.886,43	908.181,45	51.886,43
2110	Office and parking rental	418.031,14			418.031,14	-
2120	Office and parking charges	127.344,96			127.344,96	-
2130	Real estate tax	57.222,00			57.222,00	-
2140	Regional tax	37.142,28			37.142,28	-
2160	Cleaning and reception	173.946,41			173.946,41	-
2170	Security maintenance contract	40.113,57		51.886,43	92.000,00	51.886,43
2180	Works and repairs	2.494,65			2.494,65	-
	21 Information and communication technology	1.638.840,00	-	48.312,00	1.687.152,00	48.312,00
2710	IT system maintenance and unified communication	1.207.400,00		48.312,00	1.255.712,00	48.312,00
2720	Software	42.000,00			42.000,00	-
2730	Hardware	65.000,00			65.000,00	-
2740	Website	124.440,00			124.440,00	-
2750	ICT support	200.000,00			200.000,00	-
2210	22 Movable property and associated costs	2.562,24			2.562,24	-
	23 Current administrative expenditure	272.389,87	-	367.377,90	639.767,77	367.377,90
2610	Administrative supplies and postage	31.563,03			31.563,03	-
2620	Photocopier and printer consumables	1.428,00			1.428,00	-
2630	Subscription to publications, newspapers, memberships	17.785,38			17.785,38	-
2640	Insurances	10.622,09		7.377,90	17.999,99	7.377,90
2650	Office hospitality	15.917,63			15.917,63	-
2660	Packaging and transport	216,21			216,21	-
2670	Bank charges	5.100,00			5.100,00	-
2680	Contingencies 2				-	-
2810	Translation of official documents	14.912,21			14.912,21	-
2811	Quality Management				-	-
2820	Legal support	50.000,00			50.000,00	-
2830	Audit fiscal and accounting support	124.845,31		360.000,00	484.845,31	360.000,00
	24 Postage / Telecommunications				-	-
2510	25 Meeting expenses	10.200,00			10.200,00	-
	26 Running costs in connection with operational activities				-	-
2310	27 Information and publishing	400.000,00			400.000,00	-
	28 Studies				-	-
	Title III - Operational expenditure	37.960.807,87	-	1.500,00	37.962.307,87	1.500,00
3100	SESAR 1 - 3.1 Studies/Development Conducted By the SJU			-	-	-
3200	SESAR 1 - 3.2 Studies/Development Conducted By EUROCONTROL			-	-	-
3300	SESAR 1 - 3.3 Studies/Development Conducted By Other Members			-	-	-
3400	SESAR 2020 3.1 - Providing Strategic Steering to the SESAR programme	1.038.507,00			1.038.507,00	-
3500	SESAR 2020 3.2 - Deliver Exploratory Research	21.739.254,82			21.739.254,82	-
3600	SESAR 2020 3.3 - Deliver Industrial Research and Validation	9.486.392,59			9.486.392,59	-
3700	SESAR 2020 3.4 - Deliver Very Large-Scale Demonstration activities	4.574.356,46			4.574.356,46	-
3800	SESAR 2020 3.5 - Deliver SESAR Outreach	1.122.297,00		1.500,00	1.123.797,00	1.500,00
4100	Title IV - Unused appropriations not required in current Year		-			-
	Running costs				-	-
	Operational costs				-	-
	TOTAL EXPENDITURE	46.785.481,25	-	495.917,43	47.281.398,68	495.917,43

Table 3: Detailed SESAR JU expenditure in 2021 – Payment appropriations

Revenue

SESAR JU revenue in 2021 – Commitment & Payment appropriations

REVENUES	Initial Budget 2021		Amendment 1	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
EU contribution (EFTA included)	3.345.850,75	41.301.467,36	3.345.850,75	41.301.467,36
Other revenue	7.998.822,63	5.484.013,89	29.802.074,39	5.979.931,32
TOTAL REVENUES	11.344.673,38	46.785.481,25	33.147.925,14	47.281.398,68

Table 4: SESAR JU revenue in 2021 – Commitment & Payment appropriations

Detailed SESAR JU revenue in 2021 – Commitment & Payment appropriations

REVENUES	Initial budget 2021		C2 Budget outturn (SAP inscription)		C2 re-inscription unused		Final budget amendment 1		Total budget inscriptions C2	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
1 REVENUE FROM FEES AND CHARGES										
2 EU CONTRIBUTION	3.345.850,75	40.215.644,95		-	-	-	3.345.850,75	40.215.644,95	-	-
- of which Administrative (Title I and Title II) (Budget line 4100)	3.345.850,75	3.252.833,00					3.345.850,75	3.252.833,00	-	-
- of which Operational (Title III) (Budget line 1200 - EU)		36.962.811,95					-	36.962.811,95	-	-
- of which assigned revenues deriving from additional budget (Budget line 1300)							-	-	-	-
3 THIRD COUNTRIES CONTRIBUTION (incl. EFTA and candidate countries)	-	1.085.822,41		-	-	-	-	1.085.822,41	-	-
- of which EFTA (Budget line 1200 - EFTA)		1.085.822,41		-		-	-	1.085.822,41	-	-
- of which Candidate Countries						-			-	-
4 OTHER CONTRIBUTIONS (Budget lines 2100 + 3100)	4.287.011,00	3.310.408,00					4.287.011,00	3.310.408,00	-	-
- of which delegation agreement, ad hoc grants	-	-			-	-	-	-	-	-
5 ADMINISTRATIVE OPERATIONS	-	-			-	-	-	-	-	-
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT	-	-			-	-	-	-	-	-
7 CORRECTION OF BUDGETARY IMBALANCES	-	-			-	-	-	-	-	-
8 INTERESTS GENERATED	-	-			-	-	-	-	-	-
9 BUDGET OUTTURN AND UNUSED APPROPRIATIONS FROM PREVIOUS YEARS	3.711.811,63	2.173.605,89	11.269.781,07		10.533.470,68	495.917,43	25.515.063,39	2.669.523,32	21.803.251,75	495.917,43
- of which for the running costs	1.191.811,63	2.173.605,89	7.569.781,07		10.531.970,68	494.417,43	19.293.563,39	2.668.023,32	18.101.751,75	494.417,43
- of which for the operational costs	2.520.000,00		3.700.000,00		1.500,00	1.500,00	6.221.500,00	1.500,00	3.701.500,00	1.500,00
TOTAL REVENUES	11.344.673,38	46.785.481,25	11.269.781,07	-	10.533.470,68	495.917,43	33.147.925,14	47.281.398,68	21.803.251,75	495.917,43

Table 5: Detailed SESAR JU revenue in 2021 – Commitment & Payment appropriations