

DECISION **ADB(D)16-2021**

adopting the second amended version of the SESAR JU 2021-2023 Single Programming Document (SPD)

THE ADMINISTRATIVE BOARD OF THE SESAR JOINT UNDERTAKING (SESAR JU),

Having regard to:

- Council Regulation (EC) No 219/2007 of 27 February 2007, on the establishment of a Joint Undertaking to develop the new generation of the European Air Traffic Management system (SESAR) ⁽¹⁾, as amended by Council Regulation (EC) No 1361/2008 of 16 December 2008 ⁽²⁾ and by Council Regulation (EU) No 721/2014 of 16 June 2014 ('SESAR JU Regulation') ⁽³⁾, and the SESAR JU Statutes annexed thereto ('SESAR JU Statutes'),
- Regulation (EU, Euratom) 2018/1046 of the European Parliament and of the Council of 18 July 2018 on the financial rules applicable to the general budget of the Union, amending Regulations (EU) No 1296/2013, (EU) No 1301/2013, (EU) No 1303/2013, (EU) No 1304/2013, (EU) No 1309/2013, (EU) No 1316/2013, (EU) No 223/2014, (EU) No 283/2014, and Decision No 541/2014/EU and repealing Regulation (EU, Euratom) No 966/2012 ⁽⁴⁾, and in particular Articles 6, 12, 18, 53, 70 and 110 thereof,
- Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 - the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC ('H2020 Regulation') ⁽⁵⁾, and in particular Articles 23 and 25 thereof,
- Regulation (EU) No 1290/2013 of the European Parliament and of the Council of 11 December 2013 laying down the rules for participation and dissemination in 'Horizon 2020 - the Framework Programme for Research and Innovation (2014-2020)' and repealing Regulation (EC) No 1906/2006 ('the Rules for Participation') ⁽⁶⁾, and in particular Article 11 thereof,
- Communication from the Commission C(2020) 2297 final on the strengthening of the governance of Union Bodies under Article 70 of the Financial Regulation 2018/1046 and on the guidelines for the Single Programming Document and the Consolidated Annual Activity Report for decentralised agencies,
- The SESAR JU Financial Rules adopted by the Administrative Board on 9 October 2019 (ref. ADB(D)-21-2019), and in particular Articles 25, 31, and 33 thereof,

⁽¹⁾ OJ L 64, 2.3.2007, p. 1.

⁽²⁾ OJ L 352, 31.12.2008, p. 12.

⁽³⁾ OJ L 192, 1.7.2014, p. 1.

⁽⁴⁾ OJ L 193, 30.7.2018, p. 1.

⁽⁵⁾ OJ L 347, 20.12.2013, p. 104.

⁽⁶⁾ OJ L 347, 20.12.2013, p. 81.

Whereas:

- (1) By decision ADB(D)14-2020 of 15 December 2020, the SESAR JU Administrative Board adopted the SESAR JU Single Programming Document 2021-2023 ('2021-2023 SPD'). It was subsequently amended by the decision of the SESAR JU Administrative Board ADB(D)09-2021 of 25 May 2021.
- (2) The budgeted payment appropriations for Wave 2 projects (reporting period 1) were underestimated. The second amended version of the SPD 2021-2023 refers to transfer of unused appropriations from previous years to cover this under estimation with an amendment to the budget being required for this purpose. In accordance with SESAR JU Financial Rules, Article 12, given the needs of the SJU, the cancelled appropriations may be entered in the estimates of revenue and expenditure up to the following three financial years.
- (3) In accordance with SESAR JU Financial Rules, Article 25.1.b, the Executive Director may transfer appropriations from one chapter to another and within each chapter without limit. Transfers inside Title 3 (within ED authority) have been authorised to cope with urgent payments.
- (4) The 2021-2023 SPD comprises the 2021 Annual Work Programme ⁽⁷⁾, the 2021-2023 Multi-Annual Work Programme, the 2021 Budget and the 2021 Staff Establishment Plan, and serves as the amended financing decision for the activities to be performed by the SESAR JU in 2021.
- (5) Considering the urgency of the matter and in order to proceed with the projects-related payment, in accordance with Article 9 of the Rules of Procedure of the Administrative Board, an urgent written procedure for the adoption of the second amended SESAR JU 2021-2023 SPD was launched on 16 September 2021 and concluded on 23 September 2021 with a positive result,

HAS DECIDED AS FOLLOWS:

Article 1

The SESAR JU 2021-2023 SPD adopted by ADB decision no. ADB(D)14-2020 of 15 December 2020, as amended on 25 May 2021, is replaced by the second amended SESAR JU 2021-2023 SPD annexed to this decision.

Article 2

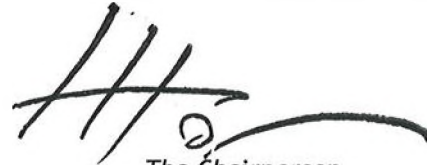
Upon adoption, the second amended SESAR JU 2021-2023 SPD shall be made publicly available on the website of the SESAR JU.

This decision shall enter into force on the day following that of its adoption.

Done in Brussels, 23 September 2021.

⁽⁷⁾ 2021 Annual Work Plan in the meaning of Article 2(1)(22) of Regulation (EU) No 1290/2013 of the European Parliament and of the Council ('Horizon 2020 Rules for Participation').

For the SESAR JU Administrative Board



*The Chairperson
Henrik Hololei*

Annexes:

- Annex I - Second amended version of the SESAR JU 2021-2023 SPD
- Annex II - Detailed technical breakdown of amended SESAR JU 2021 budget



Annex I

Adopted second amended version of the SESAR JU 2021-2023 SPD

Due to its size, the second amended version of the SESAR JU's 2021-2023 Single Programming Document referred to in the title of this annex is provided in a separate document.

Annex II

Detailed technical breakdown of second amended SESAR JU 2021 budget

The following tables provide the necessary detailed breakdown of the second amended SESAR JU 2021 budget for the purpose of upload into the ABAC systems.

Expenditure

SESAR JU expenditure budget per Title in 2021 – Commitment & Payment appropriations

EXPENDITURE	Initial Budget 2021		Amendment 1		Final Budget 2021 Amendment 2	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title I	5.644.386	5.644.386	5.671.227	5.671.227	5.671.227	5.671.227
Title II	3.180.287	3.180.287	3.647.863	3.647.863	3.647.863	3.647.863
Title III	2.520.000	37.960.808	2.521.500	37.962.308	2.521.500	58.862.308
Title IV	-	-	21.307.334	-	21.307.334	-
TOTAL EXPENDITURE	11.344.673	46.785.481	33.147.925	47.281.399	33.147.925	68.181.399

Table 1: SESAR JU expenditure budget per Title in 2021 – Commitment & Payment appropriations

Detailed SESAR JU expenditure budget 2021 – Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Initial budget 2021	Budget 2021 Amendment 1	Transfers done within ED authority	Budget 2021 Amendment 2 C2 re-inscription unused appropriations previous years (SAP inscription)	Final budget 2021 Amendment 2
Title I - Staff expenditure	5.644.386,26	6.671.227,36	-	-	6.671.227,36
11 Salaries & allowances	5.259.846,25	5.286.687,35	-	-	5.286.687,35
- of which establishment plan posts	4.798.730,00	4.798.730,00	-	-	4.798.730,00
- of which external personnel	461.116,25	487.957,35	-	-	487.957,35
Contract agents	82.268,00	82.268,00	-	-	82.268,00
Interim staff	243.158,90	270.000,00	-	-	270.000,00
Seconded national experts (SNE)	135.689,35	135.689,35	-	-	135.689,35
12 Expenditure relating to Staff recruitment	5.000,00	5.000,00	-	-	5.000,00
13 Mission expenses	200.000,00	200.000,00	-	-	200.000,00
14 Socio-medical infrastructure	-	-	-	-	-
15 Training	50.000,00	50.000,00	-	-	50.000,00
16 External Services	93.840,00	93.840,00	-	-	93.840,00
17 Receptions and events	-	-	-	-	-
19 Other Staff related expenditure	35.700,00	35.700,00	-	-	35.700,00
Title II - Infrastructure and operating expenditure	3.180.287,13	3.647.863,46	-	-	3.647.863,46
20 Rental of buildings and associated costs ^[1]	856.295,02	908.181,45	-	-	908.181,45
Office and parking rental	418.031,14	418.031,14	-	-	418.031,14
Office and parking charges	127.344,96	127.344,96	-	-	127.344,96
Real estate tax	57.222,00	57.222,00	-	-	57.222,00
Regional tax	37.142,28	37.142,28	-	-	37.142,28
Cleaning and reception	173.946,41	173.946,41	-	-	173.946,41
Security maintenance contract	40.113,57	92.000,00	-	-	92.000,00
Works and repairs	2.494,66	2.494,66	-	-	2.494,66
21 Information and communication technology	1.638.840,00	1.687.152,00	-	-	1.687.152,00
IT system maintenance and unified communication	1.207.400,00	1.255.712,00	30.000,00	-	1.285.712,00
Software	42.000,00	42.000,00	-	-	42.000,00
Hardware	65.000,00	65.000,00	30.000,00	-	35.000,00
Website	124.440,00	124.440,00	-	-	124.440,00
ICT support	200.000,00	200.000,00	-	-	200.000,00
22 Movable property and associated costs	2.562,24	2.562,24	-	-	2.562,24
23 Current administrative expenditure	272.389,87	639.767,77	-	-	639.767,77
Administrative supplies and postage	31.563,03	31.563,03	-	-	31.563,03
Photocopier and printer consumables	1.428,00	1.428,00	-	-	1.428,00
Subscription to publications, newspapers, memberships	17.785,38	17.785,38	-	-	17.785,38
Insurances	10.622,10	18.000,00	-	-	18.000,00
Office hospitality	15.917,63	15.917,63	-	-	15.917,63
Packaging and transport	216,21	216,21	-	-	216,21
Bank charges	5.100,00	5.100,00	-	-	5.100,00
Contingencies 2	-	-	-	-	-
Translation of official documents	14.912,21	14.912,21	-	-	14.912,21
Quality Management	-	-	-	-	-
Legal support	50.000,00	50.000,00	-	-	50.000,00
Audit fiscal and accounting support	124.845,31	484.845,31	-	-	484.845,31
24 Postage / Telecommunications	-	-	-	-	-
25 Meeting expenses	10.200,00	10.200,00	-	-	10.200,00
26 Running costs in connection with operational activities	-	-	-	-	-
27 Information and publishing	400.000,00	400.000,00	-	-	400.000,00
28 Studies	-	-	-	-	-
Title III - Operational expenditure	2.520.000,00	2.521.500,00	-	-	2.521.500,00
SESAR 1 - 3.1 Studies/Development Conducted By the SJU	-	-	-	-	-
SESAR 1 - 3.2 Studies/Development Conducted By EUROCONTROL	-	-	-	-	-
SESAR 1 - 3.3 Studies/Development Conducted By Other Members	-	-	-	-	-
SESAR 2020 3.1 - Providing Strategic Steering to the SESAR programme	1.100.000,00	1.100.000,00	-	-	1.100.000,00
SESAR 2020 3.2 - Deliver Exploratory Research	110.000,00	110.000,00	-	-	110.000,00
SESAR 2020 3.3 - Deliver Industrial Research and Validation	-	-	-	-	-
SESAR 2020 3.4 - Deliver Very Large-Scale Demonstration activities	-	-	-	-	-
SESAR 2020 3.5 - Deliver SESAR Outreach	1.310.000,00	1.311.500,00	-	-	1.311.500,00
Title IV - Unused appropriations not required in current Year	-	21.307.334,32	-	-	21.307.334,32
Running costs	-	17.607.334,32	-	-	17.607.334,32
Operational costs	-	3.700.000,00	-	-	3.700.000,00
TOTAL EXPENDITURE	11.344.673,38	33.147.925,13	-	-	33.147.925,13

Table 2: Detailed SESAR JU expenditure in 2021 – Commitment appropriations

Detailed SESAR JU expenditure budget 2021 – Payment appropriations

EXPENDITURE	Payment appropriations				
	Initial budget 2021	Budget 2021 Amendment 1	Transfers done within ED authority	Budget 2021 Amendment 2 C2 re-inscription unused appropriations previous years (SAP inscription)	Final budget 2021 Amendment 2
Title I - Staff expenditure	5.644.386,25	5.671.227,35	-	-	5.671.227,35
11 Salaries & allowances	5.259.846,25	5.286.687,35	-	-	5.286.687,35
- of which establishment plan posts	4.798.730,00	4.798.730,00	-	-	4.798.730,00
- of which external personnel	461.116,25	487.957,35	-	-	487.957,35
Contract agents	82.268,00	82.268,00	-	-	82.268,00
Interim staff	243.158,90	270.000,00	-	-	270.000,00
Seconded national experts (SNE)	135.689,35	135.689,35	-	-	135.689,35
12 Expenditure relating to Staff recruitment	5.000,00	5.000,00	-	-	5.000,00
13 Mission expenses	200.000,00	200.000,00	-	-	200.000,00
14 Socio-medical infrastructure	-	-	-	-	-
15 Training	50.000,00	50.000,00	-	-	50.000,00
16 External Services	93.840,00	93.840,00	-	-	93.840,00
17 Receptions and events	-	-	-	-	-
19 Other Staff related expenditure	35.700,00	35.700,00	-	-	35.700,00
Title II - Infrastructure and operating expenditure	3.180.287,13	3.647.863,46	-	-	3.647.863,46
20 Rental of buildings and associated costs ⁽¹⁾	856.295,02	908.181,45	-	-	908.181,45
Office and parking rental	418.031,14	418.031,14	-	-	418.031,14
Office and parking charges	127.344,96	127.344,96	-	-	127.344,96
Real estate tax	57.222,00	57.222,00	-	-	57.222,00
Regional tax	37.142,28	37.142,28	-	-	37.142,28
Cleaning and reception	173.946,41	173.946,41	-	-	173.946,41
Security maintenance contract	40.113,57	92.000,00	-	-	92.000,00
Works and repairs	2.494,65	2.494,65	-	-	2.494,65
21 Information and communication technology	1.638.840,00	1.687.152,00	-	-	1.687.152,00
IT system, maintenance and unified communication	1.207.400,00	1.255.712,00	30.000,00	-	1.285.712,00
Software	42.000,00	42.000,00	-	-	42.000,00
Hardware	65.000,00	65.000,00	30.000,00	-	35.000,00
Website	124.440,00	124.440,00	-	-	124.440,00
ICT support	200.000,00	200.000,00	-	-	200.000,00
22 Movable property and associated costs	2.562,24	2.562,24	-	-	2.562,24
23 Current administrative expenditure	272.389,87	639.767,77	-	-	639.767,77
Administrative supplies and postage	31.563,03	31.563,03	-	-	31.563,03
Photocopier and printer consumables	1.428,00	1.428,00	-	-	1.428,00
Subscription to publications, newspapers, memberships	17.785,38	17.785,38	-	-	17.785,38
Insurances	10.622,09	17.999,99	-	-	17.999,99
Office hospitality	15.917,63	15.917,63	-	-	15.917,63
Packaging and transport	216,21	216,21	-	-	216,21
Bank charges	5.100,00	5.100,00	-	-	5.100,00
Contingencies 2	-	-	-	-	-
Translation of official documents	14.912,21	14.912,21	-	-	14.912,21
Quality Management	-	-	-	-	-
Legal support	50.000,00	50.000,00	-	-	50.000,00
Audit fiscal and accounting support	124.845,31	484.845,31	-	-	484.845,31
24 Postage / Telecommunications	-	-	-	-	-
25 Meeting expenses	10.200,00	10.200,00	-	-	10.200,00
26 Running costs in connection with operational activities	-	-	-	-	-
27 Information and publishing	400.000,00	400.000,00	-	-	400.000,00
28 Studies	-	-	-	-	-
Title III - Operational expenditure	37.960.807,87	37.962.307,87	0,00	20.900.000,00	58.862.307,87
SESAR 1 - 3.1 Studies/Development Conducted By the SJU	-	-	-	-	-
SESAR 1 - 3.2 Studies/Development Conducted By EUROCONTROL	-	-	-	-	-
SESAR 1 - 3.3 Studies/Development Conducted By Other Members	-	-	-	-	-
SESAR 2020 3.1 - Providing Strategic Steering to the SESAR programme	1.038.507,00	1.038.507,00	90.000,00	500.000,00	1.448.507,00
SESAR 2020 3.2 - Deliver Exploratory Research	21.739.254,82	21.739.254,82	20.459.295,78	13.300.000,00	14.579.959,04
SESAR 2020 3.3 - Deliver Industrial Research and Validation	9.486.392,59	9.486.392,59	20.112.250,24	6.550.000,00	36.148.642,83
SESAR 2020 3.4 - Deliver Very Large-Scale Demonstration activities	4.574.356,46	4.574.356,46	787.749,76	-	5.362.106,22
SESAR 2020 3.5 - Deliver SESAR Outreach	1.122.297,00	1.123.797,00	350.704,22	550.000,00	1.323.092,78
Title IV - Unused appropriations not required in current Year	-	-	-	-	-
Running costs	-	-	-	-	-
Operational costs	-	-	-	-	-
TOTAL EXPENDITURE	48.785.481,25	47.281.398,68	0,00	20.900.000,00	68.181.398,68

Table 3: Detailed SESAR JU expenditure in 2021 – Payment appropriations

Revenue

SESAR JU revenue in 2021 – Commitment & Payment appropriations

REVENUES	Initial Budget 2021		Amendment 1		Final Budget 2021 Amendment 2	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
EU contribution (EFTA included)	3.345.851	41.301.467	3.345.851	41.301.467	3.345.851	41.301.467
Other revenue	7.998.823	5.484.014	29.802.074	5.979.931	29.802.074	26.879.931
TOTAL REVENUES	11.344.673	46.785.481	33.147.925	47.281.399	33.147.925	68.181.399

Table 4: SESAR JU revenue in 2021 – Commitment & Payment appropriations

Detailed SESAR JU revenue in 2021 – Commitment & Payment appropriations

REVENUES	Initial budget 2021		Budget 2021 Amendment 1		Budget 2021 Amendment 2 C2 re-inscription unused appropriations previous years		Final budget 2021 Amendment 2	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
1 REVENUE FROM FEES AND CHARGES								
2 EU CONTRIBUTION	3.345.850,75	40.215.644,95	3.345.850,75	40.215.644,95	-	-	3.345.850,75	40.215.644,95
- of which Administrative (Title I and Title II) (Budget line 4100)	3.345.850,75	3.252.833,00	3.345.850,75	3.252.833,00			3.345.850,75	3.252.833,00
- of which Operational (Title III) (Budget line 1200 - EU)		36.962.811,95	-	36.962.811,95			-	36.962.811,95
- of which assigned revenues deriving from additional budget (Budget line 1300)			-	-			-	-
3 THIRD COUNTRIES CONTRIBUTION (incl. EFTA and candidate countries)	-	1.085.822,41		1.085.822,41	-	-	-	1.085.822,41
- of which EFTA (Budget line 1200 - EFTA)		1.085.822,41		1.085.822,41		-	-	1.085.822,41
- of which Candidate Countries						-	-	-
4 OTHER CONTRIBUTIONS (Budget lines 2100 + 3100)	4.287.011,00	3.310.408,00	4.287.011,00	3.310.408,00			4.287.011,00	3.310.408,00
- of which delegation agreement, ad hoc grants	-	-			-	-	-	-
5 ADMINISTRATIVE OPERATIONS	-	-			-	-	-	-
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT	-	-			-	-	-	-
7 CORRECTION OF BUDGETARY IMBALANCES	-	-			-	-	-	-
8 INTERESTS GENERATED	-	-			-	-	-	-
9 BUDGET OUTTURN AND UNUSED APPROPRIATIONS FROM PREVIOUS YEARS	3.711.811,63	2.173.605,89	25.515.063,38	2.669.523,32	-	20.900.000,00	25.515.063,38	23.569.523,32
- of which for the running costs	1.191.811,63	2.173.605,89	19.293.563,38	2.668.023,32			19.293.563,38	2.668.023,32
- of which for the operational costs	2.520.000,00		6.221.500,00	1.500,00		20.900.000,00	6.221.500,00	20.901.500,00
TOTAL REVENUES	11.344.673,38	46.785.481,25	33.147.925,13	47.281.398,68	-	20.900.000,00	33.147.925,13	68.181.398,68

Table 5: Detailed SESAR JU revenue in 2021 – Commitment & Payment appropriations